

# Angular analysis of $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ at LHCb with $1 \text{ fb}^{-1}$

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## Abstract

The angular distribution and differential branching fraction of the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  decay are studied using a data sample, collected by the LHCb experiment, that corresponds to an integrated luminosity of  $1 \text{ fb}^{-1}$ . A first measurement of the zero-crossing point of the forward-backward asymmetry of the dimuon system is also presented.



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1 Changes since v2rX:

- 2 • There have been a large number of cosmetic changes made in this draft  
3 of the ANA note: the zero crossing point measurement has been moved  
4 after the angular analysis results; the discussion of the S-wave and  
5 the threshold terms has been moved after the angular analysis results.  
6 There have also been changes made to the text in several places to  
7 hopefully improve the readability of the document.

- 8 • A bug has been found and corrected in the estimation of the zero-  
9 crossing point of  $A_{\text{FB}}$ . This results in a small change in the zero-crossing  
10 point, changing the value of the crossing point from  $5.0^{+0.9}_{-1.4}$  to  $4.9 \pm 0.9$ .

11 The bug related to the use of weighted datasets in RooFit. It was  
12 discovered that when cloning a weighted dataset, information about  
13 the weights was lost (even though the dataset still had a flag set to  
14 say that it was weighted). Without the weights applied the forward  
15 backward asymmetry is reduced, reducing the gradient of  $A_{\text{FB}}$  in the  
16 region around the zero-crossing point and increasing the error on  $q_0^2$ . As  
17 expected, the value of  $q_0^2$  itself is almost unchanged by turning on/off  
18 the weights to correct for the acceptance correction. The effect is largest  
19 for low  $q^2$  where the acceptance effects in  $\cos \theta_\ell$  can be large.

- 20 • A p-value of the data with respect to the SM hypothesis has been  
21 calculated for the  $q^2$  bins using toy pseudo-experiments (Sec. 15.5).
- 22 • The systematic uncertainties on the angular observables have been re-  
23 evaluated using toy-experiments (Sec. 18.13).
- 24 • A summary of the final results has been added.

25 Changes since v3r0:

- 26 • Two problems were spotted with the systematic Tables. 57-65 in Ap-  
27 pendix H:

- 28 1. There was a problem identified with the systematic associated to  
29 the  $B p_T$  re-weighting (due to a broken ROOT ntuple). The large  
30 systematic uncertainty that (mistakenly) appeared in the v3r0  
31 has been reduced to a negligible level.
- 32 2. Two bugs were also identified in the script that makes the ta-  
33 bles. The first bug resulted in the systematic uncertainties being  
34 assigned with the wrong sign. The second bug resulted in the



35 sign and magnitude of some of the systematic uncertainties being  
36 assigned the wrong value. The overall impact of the two bugs  
37 does not significantly change the conclusions that we drew from  
38 Appendix H.

- 39 • The text describing the systematic uncertainties has also been updated  
40 in an attempt to make the description more complete.

41 Changes since v3r1:

- 42 • A true p-value test has been added in Sec. 15.5. This test is based on  
43 the point-to-point dissimilarity method described in Ref. [1].
- 44 • The differential branching fraction description has been re-written.
- 45 • A key has been added linking the description of the systematic uncer-  
46 tainties in the text to the tables of numbers in Secs. 7.5 and 19.0.1.
- 47 • Sec. 9.3 has been added, showing the signal angular resolution obtained  
48 using simulated events.
- 49 • A short paragraph explaining the differences between the zero crossing  
50 result in this ANA note and the preliminary result (in which the bug  
51 described above was present) has been added.

# 1 Introduction

This analysis note describes the angular analysis of  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  with  $1 \text{ fb}^{-1}$  of integrated luminosity collected by the LHCb experiment in 2011. This data set corresponds to the entirety of the `Reco 12-Stripping 17` dataset.

## 1.1 Angular observables

The decay  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  is a flavour changing neutral current process that proceeds via electroweak box or penguin diagrams in the Standard Model (SM). Beyond the SM, new particles can enter in loop-order diagrams with comparable amplitudes and lead to deviations from SM predictions. A number of angular observables in  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  decays can be theoretically predicted, with good control over the relevant form-factor uncertainties. These observables include the forward-backward asymmetry of the dimuon system,  $A_{\text{FB}}$ , and the fraction of longitudinal polarisation of the  $K^{*0}$ ,  $F_{\text{L}}$ , as a function of the dimuon invariant mass-squared ( $q^2$ ). This pair of observables has previously been measured by LHCb with  $370 \text{ pb}^{-1}$  [2][3] of integrated luminosity and by BaBar [4], Belle [5] and CDF [6][7]. A preliminary result has already been presented by LHCb with  $1 \text{ fb}^{-1}$  [8].

In the SM,  $A_{\text{FB}}$  varies as a function of  $q^2$  and changes sign at a well defined point,  $q_0^2$ . This zero-crossing point comes from the interplay between the  $\mathcal{O}_7$  (electromagnetic penguin) operator, which dominates as  $q^2 \rightarrow 0$ , and  $\mathcal{O}_9$  and  $\mathcal{O}_{10}$  (the vector and axial-vector) operators, which dictate the behaviour at high- $q^2$ . In the SM the zero-crossing point is predicted to be [9]:

$$q_{0,\text{S.M.}}^2 = 3.97 \underbrace{_{-0.03}^{+0.03}}_{\text{F.F.}} \overbrace{_{-0.09}^{+0.09}}^{\text{S.L.}} \underbrace{_{-0.27}^{+0.29}}_{\text{S.D.}} \text{ GeV}^2/c^4$$

where the three uncertainties come from: the uncertainty on the form-factors (F.F.); the uncertainty on the unknown, ‘sub-leading’ (S.L.),  $\Lambda/m_b$  corrections; and the uncertainty on the short distance parameters (S.D.), including the uncertainty on  $m_t$  and  $m_W$  and on the scale- $\mu$ .

$A_{\text{FB}}$  and  $F_{\text{L}}$  can be extracted from fits to the angular distribution of the muons, kaon and pion from the dimuon and  $K^{*0}$  decays. Two additional observables can be extracted from a fit to the data if the angle,  $\phi$ , between the decay planes of the dimuon and the  $K^{*0}$  systems in the  $B^0$  rest frame, is included. These observables are  $A_T^2$ , the asymmetry between the transverse  $K^{*0}$  amplitudes and  $A_{Im}$ , formed from the imaginary components of the transversity amplitudes of the  $K^{*0}$  [10]. The four angular observables are discussed in greater detail later in this note.  $A_T^2$  in particular can have

86 large sensitivity to the presence of new virtual particles that can modify the  
87 contribution from right-handed currents ( $\mathcal{C}'_7$ ,  $\mathcal{C}'_9$  and  $\mathcal{C}'_{10}$ ). The observable  
88  $S_3 = \frac{1}{2}(1 - F_L)A_T^2$  is sometimes used in literature instead of  $A_T^2$  [11]. It has  
89 been shown in several papers [10, 12] that hadronic uncertainties cancel out,  
90 to a certain extent, when ratios of observables with the same form factor  
91 dependence are used. The observable  $A_T^2$  is an example of these 'clean'  
92 observables. Other observables are  $A_T^{Re} = (4/3) \times A_{FB}/(1 - F_L)$  and  $A_T^{Im} =$   
93  $2 \times A_{Im}/(1 - F_L)$ . We will refer to the 'clean' set of observables  $A_T^2$ ,  $A_T^{Im}$   
94 and  $A_T^{Re}$  as transverse observables. The different choices of variable will be  
95 discussed in much greater detail later in this document.

## 96 1.2 Analysis strategy

97 The analysis strategy follows that outlined in Ref. [13]. A cut based pre-  
98 selection and multivariate selection are performed to reject combinatorial  
99 background (Sec. 3). Specific peaking backgrounds are then rejected using  
100 mass and particle identification criteria (Sec. 3.4). The  $q^2$  regions which are  
101 dominated by  $J/\psi$  and  $\psi(2S)$  resonances, which are difficult to be treated  
102 theoretically, are removed (Sec. 3.4). The effect of the event reconstruction,  
103 trigger and candidate selection on the angular distributions of the  $B^0$   
104 daughters is then accounted for by performing an acceptance correction using  
105 simulated events (Sec. 11). The simulation used has a set of data-derived  
106 corrections applied which remove the effect of data-simulation differences  
107 which are observed in control channels (see Sec. 10). Finally, in each  $q^2$  bin,  
108 a fit is made to the angular distribution of the daughter particles (the kaon,  
109 pion and the muons) and the  $K^+\pi^-\mu^+\mu^-$  invariant mass to separate signal  
110 and background and to estimate the angular observables (Secs. 4 and 9).  
111 The angular basis is defined such that CP averaged quantities are measured  
112 throughout unless explicitly stated.

113 The decay  $B^0 \rightarrow K^{*0}J/\psi$  is used throughout the analysis as a high statis-  
114 tics control channel, both for branching fraction normalisation and for val-  
115 idating the acceptance correction and the fitting procedure.  $B^0 \rightarrow K^{*0}J/\psi$   
116 events are selected using the same trigger, stripping and offline selection re-  
117 quirements as the signal, but with the  $J/\psi$ -veto reversed to reject  $B^0 \rightarrow$   
118  $K^{*0}\mu^+\mu^-$  candidates.

119 In summary, this analysis note covers four separate analyses of the  $B^0 \rightarrow$   
120  $K^{*0}\mu^+\mu^-$  data set. These are:

- 121 1. a measurement of the differential branching fraction of  
122  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  in bins of  $q^2$ ;

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<sup>1</sup>Charge conjugation is implied throughout, unless explicitly stated otherwise.

- 123 2. a measurement of  $A_T^2$ ,  $A_T^{Re}$ ,  $A_T^{Im}$  (or equivalently  $S_3$ ,  $A_{FB}$  and  $S_9$ ) and  
124  $F_L$  in bins of  $q^2$ ;
- 125 3. a measurement of  $A_9$ , a T-odd CP asymmetry between  $B^0$  and  $\bar{B}^0$   
126 decays;
- 127 4. a measurement of the zero-crossing point of  $A_{FB}$  from an  
128 “unbinned counting experiment”.

129 The measurement of the differential branching fraction is described in  
130 Sec. 7. The extraction of the angular observables is described in Sec. 9. The  
131 zero-crossing point extraction is described in Sec. 21.

132 The use of the transverse observables, has implications in the fit, since  
133 the transverse variables appear as e.g.  $(1 - F_L(q^2))A_T^2(q^2)$  in the angular  
134 distribution. This is discussed in more details in Section 8.7.

135 The contribution of a possible  $S$ -wave  $K^+ \pi^-$  system interfering with the  
136  $K^{*0}(892)$ , leading to a modified angular distribution, is also explored and  
137 discussed in Section ???. In all previous analysis of  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ , terms  
138 proportional to  $m_{\mu^+ \mu^-}^2/q^2$  in the angular distribution have been completely  
139 neglected. For the first time, at low- $q^2$  an attempt is made to account for  
140 the effect of neglecting these terms. This is discussed in detail in Section 17.

141 To summarise the main differences with the preliminary results shown at  
142 Moriond 2012, are:

- 143 1. Transverse observables are measured, as well as the non transverse  
144 observables already measured for the preliminary result. This is moti-  
145 vated by the fact that for transverse observables there is a reduced form  
146 factor dependence, making this observables cleaner from the theoreti-  
147 cal point of view. A discussion on the observables and the implications  
148 can be found in Sec. 8.6 and Sec. 8.7.
- 149 2. The T-odd asymmetry  $A_9$  is measured.
- 150 3. The S-wave contribution is estimated using the asymmetry in  $\cos \theta_K$ ,  
151 and added as systematic. This is described in Sec. 16.
- 152 4. The effect of the threshold terms, arising from non-zero lepton masses,  
153 are considered in the lowest  $q^2$  bin. A correction is applied and de-  
154 scribed in Sec. 17.
- 155 5. The Feldman-Cousins method is used to evaluate the uncertainty on the  
156 observables, in contrast with the MINOS error used for the preliminary  
157 result. This is described in Sec. 15.

158 6. The statistical uncertainty on the zero-crossing point is reduced. Due to  
159 a wrong behaviour of the code that calculated the statistical uncertainty  
160 on the zero-crossing point for the preliminary result, the weights were  
161 not included in the computation.

### 162 **1.3 Data sets**

163 This analysis is based on data corresponding to  $1 \text{ fb}^{-1}$  of integrated lumi-  
164 nosity collected by the LHCb detector in 2011. Candidates have been re-  
165 constructed with `Reco 12` and stripped with `Stripping 17`. The multivariate  
166 selection described in Sec. 3.3 has been tuned using  $36 \text{ pb}^{-1}$  of integrated  
167 luminosity from `Reco 08` collected by LHCb in 2010. The data used to tune  
168 the multivariate selection is not used in the subsequent analysis. The multi-  
169 variate selection is the same as described in Ref. [2].

170 The signal acceptance correction is evaluated using 50 M fully simulated  
171  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  Monté Carlo (MC) events from MC10. These events have  
172 been generated as a phase-space decay, neglecting the physics in the angular  
173 distribution. In addition samples of  $\mathcal{O}(1 M)$ , fully simulated, exclusive decays  
174 from MC10 are used to understand the contribution of peaking backgrounds  
175 to the final analysis.

## 176 2 Mass windows and $q^2$ -binning

177 This section describes the  $K^+ \pi^- \mu^+ \mu^-$  and  $K^+ \pi^-$  mass windows used in  
178 the analysis. It also describes the choice of  $q^2$ -binning.

### 179 2.1 Definition of mass windows used in the analysis

180 Candidates are only considered for the analysis if they have a  $K^+ \pi^- \mu^+ \mu^-$  in-  
181 variant mass  $m_{K^+ \pi^- \mu^+ \mu^-} > 5150 \text{ MeV}/c^2$  and a  $K^+ \pi^-$  invariant mass  $792 <$   
182  $m_{K^+ \pi^-} < 992 \text{ MeV}/c^2$  ( $\pm 100 \text{ MeV}/c^2$  from the nominal  $K^{*0}$  mass). Candi-  
183 dates are considered as being in a ‘signal’ mass window if the  $K^+ \pi^- \mu^+ \mu^-$   
184 invariant mass is in the range  $5230 < m_{K^+ \pi^- \mu^+ \mu^-} < 5330 \text{ MeV}/c^2$ . The  
185 term upper sideband is used to refer to events with  $K^+ \pi^- \mu^+ \mu^-$  invariant  
186 masses  $5350 < m_{K^+ \pi^- \mu^+ \mu^-} < 5800 \text{ MeV}/c^2$ . The term lower sideband is used  
187 to refer to events with  $K^+ \pi^- \mu^+ \mu^-$  invariant masses  $5150 < m_{K^+ \pi^- \mu^+ \mu^-} <$   
188  $5230 \text{ MeV}/c^2$ .

### 189 2.2 $q^2$ -Binning

190 The choice of  $q^2$  binning remains the same for this analysis as described in  
191 Ref. [13], apart for the treatment of the first  $q^2$ -bin, which is now restricted to  
192  $q^2 > 0.1 \text{ GeV}^2/c^4$ . This is motivated by the fact that the below  $0.1 \text{ GeV}^2/c^4$   
193 the efficiency to reconstruct, trigger and select the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  decay  
194 varies rapidly (making it difficult to appropriately model the acceptance).

195 Requiring that  $q^2 > 0.1 \text{ GeV}^2/c^4$  also significantly reduces the impact of  
196 the threshold terms that appear in the angular distribution at low- $q^2$ . The  
197  $q^2$  binning is shown in Table. 1. This binning scheme was designed to match  
198 the binning used by BaBar, Belle and CDF. Due to limited MC-statistics  
199 the upper  $q^2$  bin is limited to the range  $16.0 < q^2 < 19.0 \text{ GeV}^2/c^4$  and is  
200 not extended to the kinematic limit. Results will also be quoted in the  
201 theoretically favoured  $1 < q^2 < 6 \text{ GeV}^2/c^4$  range, which is far enough from  
202 the photon pole (at  $q^2 \sim 0$ ) and the  $c\bar{c}$  resonances for QCD factorisation  
203 to be used reliably. It is also relatively free from contributions from light-  
204 resonances. Further, for  $q^2 > 1 \text{ GeV}^2/c^4$ , the threshold terms in the angular  
205 distribution can be neglected.

Binning	$q^2$ region ( $\text{GeV}^2/c^4$ )
$q^2$ -binning scheme	$0.1 < q^2 < 2$
	$2 < q^2 < 4.3$
	$4.3 < q^2 < 8.68$
	$10.09 < q^2 < 12.86$
	$14.18 < q^2 < 16$
	$16 < q^2 < 19$
	$1 < q^2 < 6$

Table 1: Definition of  $q^2$  bins used in the analysis. These include six  $q^2$  bins covering  $0.1 < q^2 < 19 \text{ GeV}^2/c^4$  and the theoretically favoured region  $1 < q^2 < 6 \text{ GeV}^2/c^4$ .

## 206 **3 Selection**

207 The offline event selection procedure follows that described in Ref. [14]. The  
208 only significant difference is an introduction of a cut on the transverse mo-  
209 mentum of the four daughter particles (the kaon, pion and two muons), with  
210  $p_T > 250 \text{ MeV}/c$ , at the stripping level. This cut has a small impact on  
211 the input and output of the subsequent multivariate selection (based on a  
212 BDT). The stripping and offline selections are described briefly below. In  
213 addition to the MVA selection, cuts are applied to remove specific “peaking”  
214 backgrounds. These criteria are detailed in Sec. 3.4 and have been updated  
215 from the  $0.37 \text{ fb}^{-1}$  analysis [2] to reflect changes in the particle identification  
216 performance between **Reco 10** and **Reco 12**.

### 217 **3.1 Trigger**

218 Candidates are only considered for the offline analysis if they have passed  
219 through the following triggers: **L0Mu** at **L0**; **Hlt1TrackAllL0** or  
220 **Hlt1TrackMuon** at **HLT 1**; **Hlt2Topo [2,3,4]BodyBBDT**,  
221 **Hlt2TopoMu [2,3,4]BodyBBDT**, **Hlt2SingleMuon** or **Hlt2DiMuonDetached** at  
222 **HLT 2**. At all stages the offline-candidates are required to be **TOS**, i.e. the  
223 trigger decision is due solely to the presence of the candidate in the event.  
224 The trigger requirements are unchanged from the preliminary result with  
225  $1 \text{ fb}^{-1}$  [14]. This choice of triggers only selects candidates in events with an  
226 **SPD** multiplicity  $< 600$ .

### 227 **3.2 Stripping and pre-selection**

228 This analysis uses candidates from the **StrippingBd2KstarMuMu** stripping  
229 line in **Reco 12-Stripping 17**. The cut based selection used in the strip-  
230 ping is close to that of the previous analysis (**Reco 10-Stripping 13b**). The  
231 only difference is a  $p_T > 250 \text{ MeV}/c$  cut on the muons, kaon and pion. The  
232 stripping selection requirements are included for reference in Table. 2.

233 Candidates from the stripping line are required to pass a further cut-  
234 based pre-selection (prior to the multivariate selection) to remove patholog-  
235 ical events. These requirements are summarised in Table. 3.

### 236 **3.3 Multivariate Offline Selection**

237 The combinatorial background is reduced offline using a multivariate classi-  
238 fier: a boosted decision tree (BDT). The training and validation of the BDT  
239 is detailed in Ref. [14]. Briefly, the following information is input to the BDT:



Particle	Selection Requirement
$B^0$	$4850 < m_{K^+\pi^-\mu^+\mu^-} < 5780 \text{ MeV}/c^2$
$B^0$	$\text{DIRA} > 0.9999$
$B^0$	Vertex $\chi^2/\text{NDOF} < 6$
$B^0$	IP $\chi^2 < 16$
$B^0$	FD $\chi^2 > 121$
$K^{*0}$	$600 < m_{K^+\pi^-} < 2000 \text{ MeV}/c^2$
$K^{*0}$	Vertex $\chi^2/\text{NDOF} < 12$
$K^{*0}$	FD $\chi^2 > 9$
$\mu^+\mu^-$	FD $\chi^2 > 9$
$\mu^+\mu^-$	Vertex $\chi^2/\text{NDOF} < 12$
Track	$\chi^2/\text{dof} < 5$
Track	IP $\chi^2 > 9$
Track	$p_T > 250 \text{ MeV}/c^2$
$\mu^\pm$	<code>IsMuonLoose True</code>

Table 2: Cut based selection used in `StrippingBd2KstarMuMu` for `Stripping17`.

Particle	Selection Requirement
Track	$0 < \theta < 400 \text{ mrad}$
Track	KL Distance $> 5000$
Track Pairs	$\theta > 1 \text{ mrad}$
$\mu^+\mu^-$	<code>IsMuon True</code>
$K$	<code>hasRich True</code>
$K$	$\text{DLL}_{K\pi} > -5$
$\pi$	<code>hasRich True</code>
$\pi$	$\text{DLL}_{K\pi} < 25$
PV	$ X - \langle X \rangle  < 5 \text{ mm}$
PV	$ Y - \langle Y \rangle  < 5 \text{ mm}$
PV	$ Z - \langle Z \rangle  < 200 \text{ mm}$

Table 3: Pre-selection cuts applied to stripped candidates.

- 240 • the  $B^0$  pointing to the primary vertex, flight-distance and IP  $\chi^2$  with  
241 respect to the primary vertex,  $p_T$  and vertex quality ( $\chi^2$ );
- 242 • the  $K^{*0}$  and dimuon flight-distance and IP  $\chi^2$  with respect to the pri-  
243 mary vertex (associated to the  $B^0$ ),  $p_T$  and vertex quality ( $\chi^2$ );
- 244 • the impact parameter  $\chi^2$  and the  $\Delta LL(K - \pi)$  and  $\Delta LL(\mu - \pi)$  of the  
245 four final state particles.

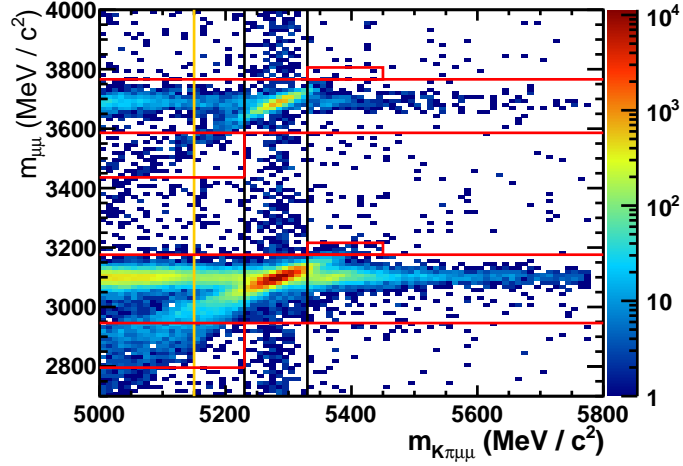
246 When training the BDT selection,  $B^0 \rightarrow K^{*0} J/\psi$  candidates from the 2010  
247 data were used as a proxy for the signal and  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  candidates  
248 from the upper mass sideband were used as a background sample. Half of  
249 the candidates were used for training (corresponding to  $18 \text{ pb}^{-1}$ ) and the  
250 remaining half used to test the performance of the BDT.

### 251 3.4 Specific background and vetoes

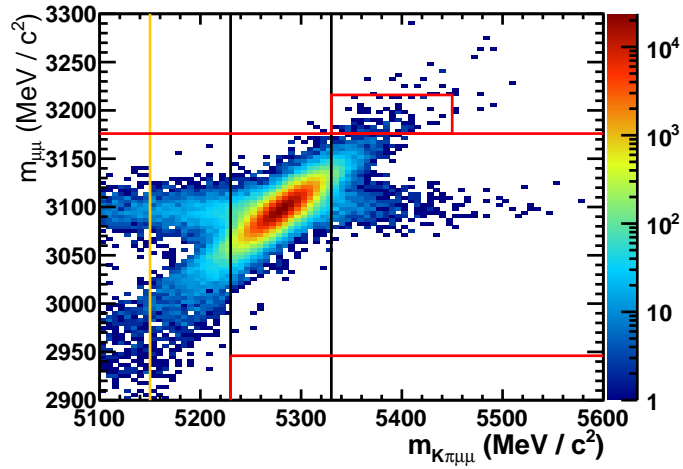
252 The decays  $B^0 \rightarrow K^{*0} J/\psi$  and  $B^0 \rightarrow K^{*0} \psi(2S)$  are treated separately in  
253 the analysis due to the different underlying physics that contributes in the  
254 decays. Event in the regions  $2946 < m_{\mu^+ \mu^-} < 3176 \text{ MeV}/c^2$  and  $3586 <$   
255  $m_{\mu^+ \mu^-} < 3766 \text{ MeV}/c^2$  for  $B^0 \rightarrow K^{*0} J/\psi$  and  $B^0 \rightarrow K^{*0} \psi(2S)$  are removed  
256 from the analysis. In addition the vetoes were extended to the region  $2796 <$   
257  $m_{\mu^+ \mu^-} < 3176 \text{ MeV}/c^2$  and  $3436 < m_{\mu^+ \mu^-} < 3766 \text{ MeV}/c^2$  for the events  
258  $m_{K^+ \pi^- \mu^+ \mu^-} < 5230 \text{ MeV}/c^2$ , to account for the radiative tail of the  $J/\psi$  decay.  
259 The vetoes were also extended to the region  $3176 < m_{\mu^+ \mu^-} < 3201 \text{ MeV}/c^2$ ,  
260 to account for a misreconstructed tail of the  $J/\psi$  decay. This is shown in  
261 Fig. 1. Combinatorial background events are also removed by extending  
262 the veto regions. In order to correct for this, the remaining candidates in  
263 the bins of  $q^2$  adjacent to the  $J/\psi$  and  $\psi(2S)$  in the affected  $K^+ \pi^- \mu^+ \mu^-$   
264 invariant masses regions are re-weighted according to the fraction of the  $q^2$   
265 bin removed by the extending the vetoes. This re-weighting assumes that  
266 the background candidates are uniformly distributed in  $q^2$  within the  $q^2$  bin.  
267 This assumptions seems to hold well at the current level of precision.

268 In addition a number of specific backgrounds were considered in this  
269 analysis and the following additional vetoes have been applied:

- 270 •  $B^0 \rightarrow K^* \mu^+ \mu^-$  with  $K \leftrightarrow \pi$  misidentification. This is dealt with by  
271 requiring  $KDLL_{K\pi} + 10 < \pi DLL_{K\pi}$  for events where the  $K^+ \pi^-$  mass  
272 is in the range  $792 < m_{K(\rightarrow\pi)\pi(\rightarrow K)} < 992$  after swapping the kaon and  
273 pion mass hypothesis.
- 274 •  $B^0 \rightarrow J/\psi K^*$  where a muon is misidentified and swapped with the pion  
275 or kaon. This background is removed by rejecting candidates where



(a) Data



(b) MC10

Figure 1: The  $K\pi\mu^+\mu^-$  versus  $\mu^+\mu^-$  invariant mass distribution of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates that lie close to the  $J/\psi$  mass in the data (left) and in  $B^0 \rightarrow K^{*0}J/\psi$  MC (right). The charmonium veto regions are indicated by the red lines. The yellow line indicates the extent of the lower mass sideband used for the angular analysis.

276 the pion/kaon passes the `IsMuon` requirements or has  $DLL_{\mu\pi} > 5.0$   
 277 if the  $K^+\mu^-$  or  $\pi^-\mu^+$  mass is in the range  $[3036, 3156]$   $\text{MeV}/c^2$ , after  
 278 exchanging the  $\pi/K$  with the muon mass hypothesis.

279 •  $B_s \rightarrow \phi\mu^+\mu^-$  where a  $K$  from the  $\phi$ -meson is misidentified as a  $\pi$ . Such  
 280 events are removed by applying the following cuts for events that fall  
 281 in the region  $5321 < m_{KK\pi\pi} < 5411$   $\text{MeV}/c^2$ :  $\pi DLL_{K\pi} > -50$  for  
 282 events in the region  $1010 < m_{KK} < 1030$   $\text{MeV}/c^2$  and  $\pi DLL_{K\pi} > 20$   
 283 for events in the region  $1030 < m_{KK} < 1075$   $\text{MeV}/c^2$ .

284 •  $B^+ \rightarrow K^+\mu^+\mu^-$  combined with a soft pion coming from elsewhere in  
 285 the event. This background peaks on the right of the signal window,  
 286 in the upper mass sideband, and is removed by vetoing the region of  
 287  $K^+\mu^+\mu^-$  invariant mass  $5220 < m_{K\mu^+\mu^-} < 5340$   $\text{MeV}/c^2$ .

288 •  $\Lambda_b \rightarrow pK^-\mu^+\mu^-$  where either the proton is identified as a pion or the  
 289 proton is identified as a kaon and the kaon as a pion. This background  
 290 is removed by rejecting candidates with  $\pi/K DLL_p > 20$  and both  
 291  $5575 < m_{K^+p^-\mu^+\mu^-} < 5665$   $\text{MeV}/c^2$  and  $1490 < m_{K^+p^-} < 1550$   $\text{MeV}/c^2$ ,  
 292 after exchanging the pion mass with the proton (or pion with kaon,  
 293 kaon with proton) mass hypothesis.

294 Peaking backgrounds from  $B^0 \rightarrow \rho^0\mu^+\mu^-$ ,  $B^+ \rightarrow K^{*+}\mu^+\mu^-$ ,  $B_s \rightarrow$   
 295  $f_0\mu^+\mu^-$  and  $B_s^0 \rightarrow K^{*0}\mu^+\mu^-$  have also been studied using simulated events  
 296 (correcting for the PID performances observed in data) and found to be  
 297 negligible.

298 Partially reconstructed  $B \rightarrow K^+\pi^-\mu^+\mu^- + X$  where one or more parti-  
 299 cles from a  $B$ -meson decay are not reconstructed are removed by requiring  
 300 that candidates have an invariant mass  $m_{K^+\pi^-\mu^+\mu^-} > 5150$   $\text{MeV}/c^2$ . Finally  
 301 cascade decays where  $B^0$  decays semileptonically to a  $D$  meson that in turn  
 302 decays semileptonically, sits in the lower mass sideband. This background  
 303 is largely removed by requiring  $m_{K^+\pi^-\mu^+\mu^-} > 5150$   $\text{MeV}/c^2$ . This has been  
 304 validated using older MC studies [15]. Further it has been checked that the  
 305 angular distribution of candidates below the signal mass window, but with  
 306  $m_{K^+\pi^-\mu^+\mu^-} > 5150$   $\text{MeV}/c^2$ , is consistent with those appearing in the upper  
 307 mass sideband.

308 The background from a possible broad S-wave  $K^+\pi^-$  system or from the  
 309 tail of  $K_0^*(1430)$  is discussed in Sec 16.

310 The level of peaking background remaining after applying the full selec-  
 311 tion requirements and vetoes, is given in Table 4. These backgrounds are  
 312 ignored in the subsequent angular analysis, but are including in the branch-  
 313 ing fraction determination. A systematic uncertainty is assigned to the result

314 of the angular analysis to reflect the assumption that these backgrounds can  
 315 be neglected.

316 The level of  $\Lambda_b \rightarrow pK^- \mu^+ \mu^-$  was estimated using  $\Lambda_b \rightarrow pK^- J/\psi$  events  
 317 in data. These decays were isolated in data in the upper B mass sideband.  
 318 The level of events inside the B mass window was extracted using the B  
 319 mass distribution of  $\Lambda_b \rightarrow pK^- \mu^+ \mu^-$  simulated events. From this the ratio  
 320 of  $\Lambda_b \rightarrow pK^- J/\psi$  and  $B^0 \rightarrow K^{*0} J/\psi$  in data in the signal region was found  
 321 to be approximately 1.5%. Assuming the same ratio for the  $\mu^+ \mu^-$  mode,  
 322 the level of  $\Lambda_b \rightarrow pK^- \mu^+ \mu^-$  events is 1.5% of the signal yield. The veto  
 323 applied (above) rejects 50% of simulated  $\Lambda_b \rightarrow pK^- \mu^+ \mu^-$  events, reducing  
 324 this peaking background to the level of  $\approx 0.75\%$ .

Background	Background Level (%)	Signal Loss (%)
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$ (with $K \leftrightarrow \pi$ )	$0.85 \pm 0.02$	0.11
$B^0 \rightarrow K^{*0} J/\psi$ (with $\pi \leftrightarrow \mu$ )	$0.27 \pm 0.08$	0.05
$B^0 \rightarrow K^{*0} J/\psi$ (with $K \leftrightarrow \mu$ )	$0.00 \pm 0.00$	0.03
$B_s^0 \rightarrow \phi \mu^+ \mu^-$	$1.23 \pm 0.50$	0.32
$B^+ \rightarrow K^+ \mu^+ \mu^-$	$0.14 \pm 0.03$	–
$\Lambda_b \rightarrow pK^- \mu^+ \mu^-$	$0.75 \pm 0.15$	0.47
Total	$3.24 \pm 0.53$	0.98

Table 4: The level of exclusive peaking backgrounds with respect to the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  signal (as scaled from the relative efficiency in MC and the PDG branching fraction).

### 325 3.5 Multiple Candidates

326 After applying the multivariate selection and peaking background vetoes it  
 327 is still possible to have multiple candidates in the final data sample. This in-  
 328 cludes situations where the  $K$  and the  $\pi$  are swapped (as only a loose PID re-  
 329 quirement is made). Multiple candidates surviving the selection were treated  
 330 by weighting each candidate by the inverse of the number of candidates in  
 331 that event. After the selection 98% (98%) of events in the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$   
 332 ( $B^0 \rightarrow K^{*0} J/\psi$ ) signal mass window have just one candidate. In the upper  
 333 mass sideband, 98% (97%) of events have just one candidate.

## 334 4 $K^+ \pi^- \mu^+ \mu^-$ and $K^+ \pi^-$ invariant mass dis- 335 tributions

### 336 4.1 $K^+ \pi^- \mu^+ \mu^-$ invariant mass distribution

337 The mass model used for the signal and background is explored using  $B^0 \rightarrow$   
338  $K^{*0} J/\psi$  events and  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  MC. The background mass distribu-  
339 tion is parametrised by an exponential to model the combinatorial back-  
340 ground. In the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  analysis candidates are only considered  
341 if they have  $m_{K^+ \pi^- \mu^+ \mu^-} > 5150 \text{ MeV}/c^2$ . In this section, this requirement  
342 has been relaxed to highlight the contribution from partially reconstructed  
343  $B$  decays. A `RooExpAndGauss` model is used to model this background  
344 shape, describing an exponential rise to a threshold with a Gaussian fall  
345 off above the threshold. This is empirically seen to describe well the data  
346 for  $m_{K^+ \pi^- \mu^+ \mu^-} < 5150 \text{ MeV}/c^2$ .

347 The signal mass distribution is parametrised by the sum of two Crystal  
348 Ball shapes [16], with both tails on the left hand side of the distribution.  
349 The nominal  $B^0$  mass,  $\mu_{B^0}$ , and shape parameters  $\alpha$  and  $n$  are assumed  
350 to be common between the two crystal ball shapes, but the widths of the  
351 distributions  $\sigma_1$  and  $\sigma_2$  are allowed to float in the fit to  $B^0 \rightarrow K^{*0} J/\psi$ .  
352 The signal shape parameters are then fixed to their best fit values when  
353 fitting the invariant mass distribution of  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  decays. Again,  
354 the choice of signal model is empirical and we use the minimal model that  
355 well describes the mass distribution in data and in SM  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  MC.  
356 The  $K^+ \pi^- \mu^+ \mu^-$  invariant mass distribution of  $B^0 \rightarrow K^{*0} J/\psi$  decays in the  
357  $J/\psi$  mass window is shown in Fig. 2. A fit to the data with the full double  
358 Crystal Ball model is overlaid. For  $B^0 \rightarrow K^{*0} J/\psi$  a second signal component  
359 is included for  $B_s^0 \rightarrow \bar{K}^{*0} J/\psi$  decays that is suppressed by  $f_s/f_d$  and a CKM  
360 factor. In the fit the fraction of  $B_s^0$  decays is constrained from Ref. [17] to be  
361  $0.7 \pm 0.2\%$ . This  $B_s^0$  contribution is not included in the fit to  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ .

362 The  $q^2$ -dependence of the  $K^+ \pi^- \mu^+ \mu^-$  invariant mass distribution is ex-  
363 plored using SM MC. There is a small difference in the signal mass resolution  
364 between low and high- $q^2$ . Differences are visible at the level of 5%, but there  
365 is no dramatic worsening of the resolution in  $q^2$ . This is treated as a source  
366 of systematic.

### 367 4.2 $K^+ \pi^-$ invariant mass distribution

368 Fig. 3 shows the two dimensional,  $K^+ \pi^- \mu^+ \mu^-$  versus  $K^+ \pi^-$  invariant mass  
369 distribution for  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  candidates and  $J/\psi$  candidates. The contri-

370 bution from the  $K^{*0}(892)$  is visible in both figures as are contributions from  
 371 higher  $K^*$  states around the  $K^*(1430)$ . There is also clear evidence for a  
 372 broad structure that extends between the  $K^{*0}(892)$  and the  $K^*(1430)$  that  
 373 can be partially attributed to the tails of the  $K^{*0}(892)$  and the higher states  
 374 and to the presence of a  $K\pi$   $S$ -wave. No attempt is made here to disentangle  
 375 the overlapping higher mass states. The effect of a  $K\pi$   $S$ -wave is discussed  
 376 later.

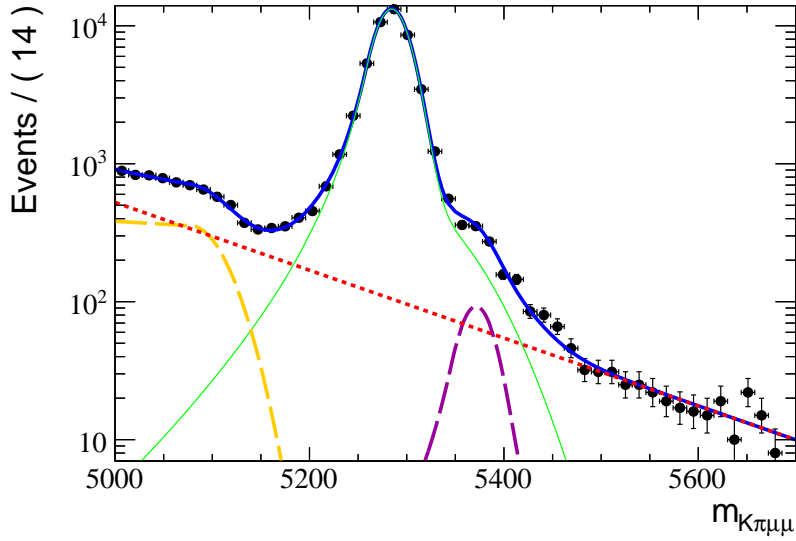
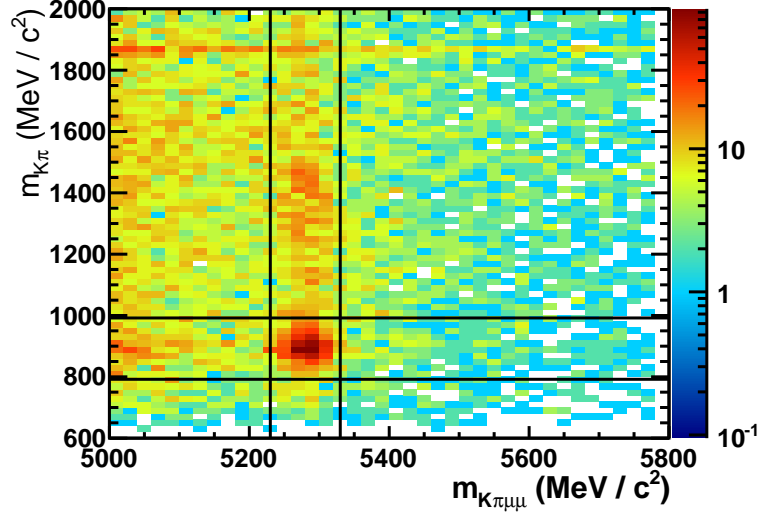
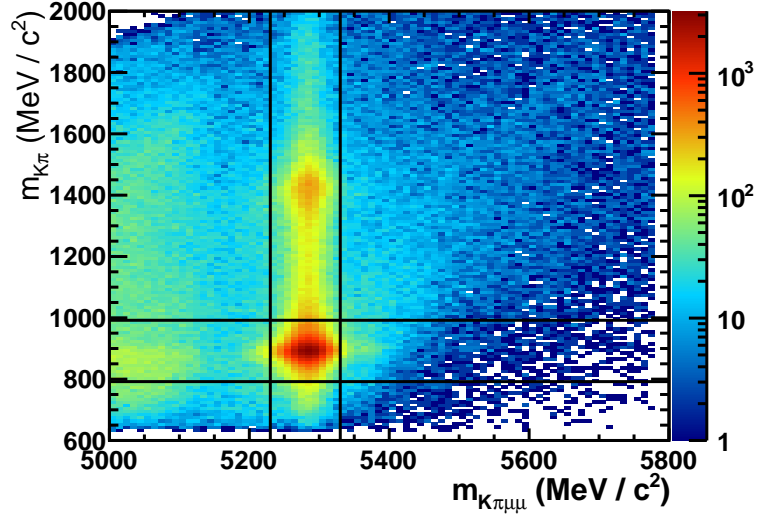


Figure 2: The  $K^+\pi^-\mu^+\mu^-$  invariant mass of  $B^0 \rightarrow K^{*0} J/\psi$  candidates fitted with a: double Crystal Ball shape for the signal component (thin-green line) and  $B_s^0 \rightarrow \bar{K}^{*0} J/\psi$  (long-dashed purple line); an exponential shape to model combinatorial background (dotted-red line) and a `RooExpAndGauss` shape to model low-mass partially reconstructed backgrounds (dashed-yellow line). The full fit model (blue line) has a  $P(\chi^2) = 6\%$ .



(a)  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$



(b)  $B^0 \rightarrow K^{*0} J/\psi$

Figure 3: The  $K^+ \pi^- \mu^+ \mu^-$  versus  $K^+ \pi^-$  invariant mass distribution for candidates outside the  $J/\psi$  and  $\psi(2S)$  vetoes (left) and for candidates in the  $J/\psi$  veto region (right). The solid lines represent the signal  $K^+ \pi^- \mu^+ \mu^-$  and the  $K^+ \pi^-$  mass window used in the subsequent analysis.



## 377 5 Event yields

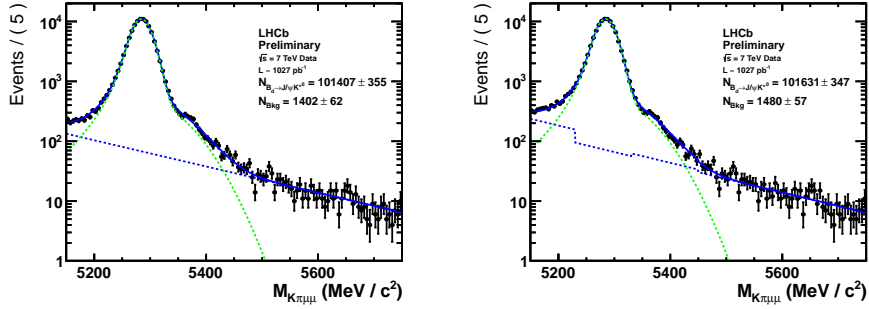
378 The  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution of  $B^0 \rightarrow K^{*0}J/\psi$  candidates is  
 379 shown in Fig. 4. The same selection, including the peaking vetoes (apart for  
 380 the  $J/\psi$  veto) are applied to the  $B^0 \rightarrow K^{*0}J/\psi$  and to the signal. The yield  
 381 of  $B^0 \rightarrow K^{*0}J/\psi$  in about  $1\text{fb}^{-1}$  is  $101407 \pm 355$  events, which is in agreement  
 382 with what is expected. The line-shape from a fit to the distribution is then  
 383 used to estimate the  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  yield in the full  $q^2$  window and in each  
 384 of the six bins used in the angular analysis. In the fit to  $B^0 \rightarrow K^{*0}\mu^+\mu^-$ , the  
 385 shape parameters are floated, but constrained to the result of the fit to  $B^0 \rightarrow$   
 386  $K^{*0}J/\psi$ . This implicitly assumes that the width of the signal distribution is  
 387 independent of  $q^2$  (see Sec. 4). The effect from multiple candidates has been  
 388 neglected here.

389 The  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution, after applying the vetoes  
 390 for peaking backgrounds, of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates is shown in Fig. 5.  
 391 The  $K^+\pi^-\mu^+\mu^-$  invariant mass distributions of the six  $q^2$  bins are shown in  
 392 Figs. 6(a)-(f). Table. 5 lists the signal and background yield in a  $\pm 50\text{ MeV}/c^2$   
 393 signal mass window in each of the  $q^2$ -bins. Note, the uncertainty on the  
 394 background yield appearing in the table is smaller than the square-root of  
 395 the background yield as it is scaled appropriately from the background yield,  
 396 in the full mass window. In total, 883 signal candidates are seen with  $0.1 <$   
 397  $q^2 < 19\text{ GeV}^2/c^4$ . The results of these fits are provided for reference only, they  
 398 are not used in the angular analysis, where the inclusion of the signal angular  
 399 distribution and re-weighting of the candidates for the detector acceptance  
 400 can impact the signal-to-background ratio.

401 The yield has scaled as expected from the  $0.37\text{fb}^{-1}$  analysis where 337  
 402 signal candidates were observed in the signal mass window.

$q^2$ ( $\text{GeV}^2/c^4$ ) range	Signal Yield	Background Yield
$0.1 < q^2 < 2$	$139.9 \pm 13.4$	$26 \pm 3.7$
$2 < q^2 < 4.3$	$72.6 \pm 10.8$	$35.6 \pm 4.2$
$4.3 < q^2 < 8.68$	$270.8 \pm 18.9$	$56 \pm 5.5$
$10.09 < q^2 < 12.86$	$168.1 \pm 15$	$39 \pm 4.5$
$14.18 < q^2 < 16$	$115.1 \pm 11.7$	$14.2 \pm 2.9$
$16 < q^2 < 19$	$116.3 \pm 12.5$	$23.1 \pm 3.6$
$1 < q^2 < 6$	$197 \pm 17.1$	$72.2 \pm 5.9$
$0.1 < q^2 < 19$	$883.3 \pm 34.3$	$193.8 \pm 10.2$

Table 5: The signal and background yields resulting from a fit to the  $K^+\pi^-\mu^+\mu^-$  invariant mass distributions of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates in the six  $q^2$ -bins used in the analysis, the theoretically ‘favoured’  $1 < q^2 < 6 \text{ GeV}^2/c^4$  range and in the full  $q^2$ -range.



(a)  $3036 < m_{J/\psi} < 3156 \text{ MeV}/c^2$

(b) Inverted veto

Figure 4: The  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution of  $B^0 \rightarrow K^{*0}J/\psi$  candidates in the data after the full selection has been applied. The fitted signal (green dotted) and background shapes are as described in Sec. 4. The left plot requires candidates in the di-mu mass region  $3036 < m_{J/\psi} < 3156 \text{ MeV}/c^2$  as in the previous analysis. The right plot applies the inverse of the  $J/\psi$  veto region, in order to fully capture the radiative tail. The background model is modified to account for the additional combinatorial background.

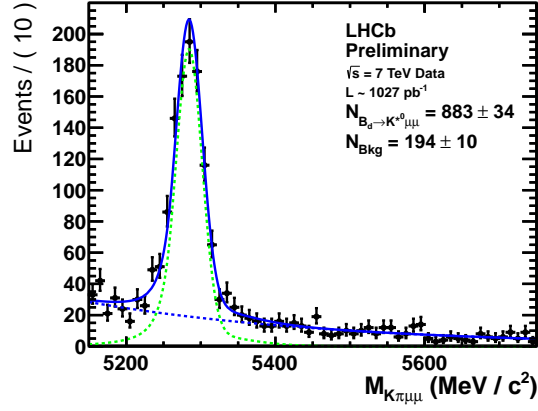


Figure 5: The  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates, in the range  $0.1 < q^2 < 19 \text{ GeV}^2/c^4$ , in the data after the full selection has been applied. The fitted signal (green dotted) and background shapes are as described in Sec. 4.

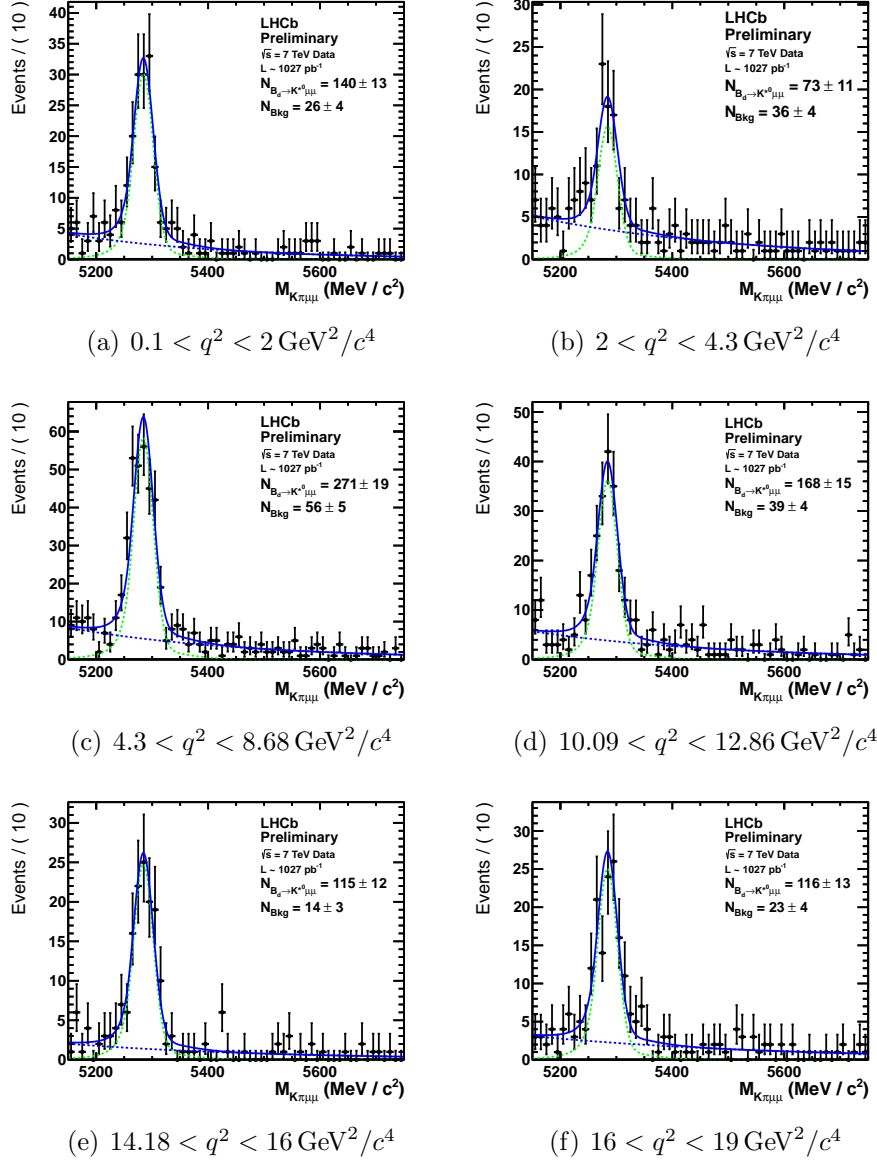


Figure 6: The  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates in the data in the six  $q^2$ -bins used in the analysis. The fitted signal (green dotted) and background shapes are described in Sec. 4. The signal has a significance greater than 5 “sigma” in all six  $q^2$ -bins.

## 403 6 $q^2$ spectrum of signal candidates

404 The  $q^2$  spectrum of signal candidates is unfolded using the  $sPlot$  technique  
 405 with the  $K^+\pi^-\mu^+\mu^-$  invariant mass as the discriminating variable. The  
 406 resulting distribution is shown in Fig. 7.

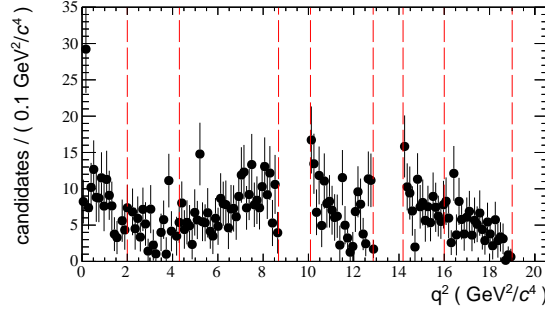


Figure 7: The background subtracted  $q^2$  distribution of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  signal candidates obtained using the  $sPlot$  technique. The dashed lines indicate the boundaries between the different  $q^2$  bins used in this analysis.

407 If the background subtraction is performed independently in the  $q^2$  bins,  
 408 the average  $q^2$  value of the signal in each  $q^2$  bin is given in Table. 6.

	$\langle q^2 \rangle$
$0.10 < q^2 < 2.00 \text{ GeV}^2/c^4$	$0.8 \text{ GeV}^2/c^4$
$2.00 < q^2 < 4.30 \text{ GeV}^2/c^4$	$3.1 \text{ GeV}^2/c^4$
$4.30 < q^2 < 8.68 \text{ GeV}^2/c^4$	$6.7 \text{ GeV}^2/c^4$
$10.09 < q^2 < 12.86 \text{ GeV}^2/c^4$	$11.3 \text{ GeV}^2/c^4$
$14.18 < q^2 < 16.00 \text{ GeV}^2/c^4$	$15.0 \text{ GeV}^2/c^4$
$16.00 < q^2 < 19.00 \text{ GeV}^2/c^4$	$17.2 \text{ GeV}^2/c^4$
$1.00 < q^2 < 6.00 \text{ GeV}^2/c^4$	$3.5 \text{ GeV}^2/c^4$

Table 6: The background subtracted mean  $q^2$  value of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  signal candidates in the  $q^2$  bins. The values have been obtained using the  $sPlot$  technique.

## 409 7 Differential branching fraction

410 The differential branching fraction as a function of  $q^2$ ,  $d\mathcal{B}/dq^2$  receives similar  
 411 enhancements from “new physics” to the angular observables. However, the  
 412 sensitivity to the “new physics” in  $d\mathcal{B}/dq^2$ , is limited by the large uncertainty  
 413 ( $\mathcal{O}(30\%)$ ) on the hadronic form factors.

414 The partial branching fraction,  $\mathcal{B}_k$ , in the  $q^2$  bin can be estimated by  
 415 comparing the yield of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates in the  $q^2$  bin to the number  
 416 of  $B^0 \rightarrow K^{*0}J/\psi$  candidates in the total sample. The partial branching  
 417 fraction is then given by

$$\mathcal{B}_k = \mathcal{B}(B^0 \rightarrow K^{*0}J/\psi) \times \mathcal{B}(J/\psi \rightarrow \mu^+\mu^-) \times \frac{N_{K^{*0}\mu^+\mu^-;k}}{N_{K^{*0}J/\psi}} \frac{\varepsilon_{K^{*0}J/\psi}}{\varepsilon_{K^{*0}\mu^+\mu^-;k}},$$

418 where  $N_{K^{*0}\mu^+\mu^-;k}$  is the number of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates in bin  $k$ ,  
 419  $N_{K^{*0}J/\psi}$ , is the number of  $B^0 \rightarrow K^{*0}J/\psi$  candidates in the full data sam-  
 420 ple and  $\varepsilon_{K^{*0}J/\psi}/\varepsilon_{K^{*0}\mu^+\mu^-;k}$  is the ratio of efficiencies between the two decays.  
 421 This last number would traditionally be take from MC samples. Unfortu-  
 422 nately, whilst  $\varepsilon_{K^{*0}J/\psi}$  is known precisely from simulated events,  $\varepsilon_{K^{*0}\mu^+\mu^-;k}$  is  
 423 poorly known because it depends on the unknown angular distribution and  
 424  $q^2$  spectrum.

425 To avoid making any assumption about the unknown angular distribution  
 426 of the  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  decay, event-by-event weights (see Sec. 11) are used  
 427 to estimate the average efficiency of signal candidates in each  $q^2$  bin. The  
 428 procedure is described below.

### 429 7.1 Determining $d\mathcal{B}/dq^2$ using event-by-event weights

430 The yield in each  $q^2$  bin is extracted by using an extended unbinned maximum  
 431 likelihood fit to the  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution to the candidates  
 432 in the  $q^2$  bin. In this likelihood fit, the candidates are weighted to account  
 433 for the detector acceptance in the same manner in which they are for the  
 434 angular analysis. As in the angular analysis the weights are normalized to  
 435 be on average one, i.e. that

$$\sum_{i=0}^{N_k} \alpha_k w_i = N_k \quad (1)$$

436 where  $w_i$  is the event-by-event weight. The factor  $\alpha$  used for the normaliza-  
 437 tion of the event weights. The procedure to calculate the partial branching  
 438 fraction in each bin then consists of the following steps:

- 439 • Each event is weighted in the extended likelihood fit to the  $K^+\pi^-\mu^+\mu^-$   
440 invariant mass;
- 441 • The weights are normalised such that the sum of the weights is the num-  
442 ber of events (scaling the weights by a normalisation factor  $\alpha_{K^{*0}\mu^+\mu^-}$ );
- 443 • The procedure is repeated for  $B^0 \rightarrow K^{*0}J/\psi$  (with a normalisation  
444 factor  $\alpha_{K^{*0}J/\psi}$ );
- 445 • The differential branching fraction is extracted from the number of  
446 events that come from the two likelihood fits and the ratio of the nor-  
447 malisation factors.

448 In the  $q^2$  bin,  $\mathcal{B}_k$  is then given by

$$\mathcal{B}_k = \mathcal{B}(B^0 \rightarrow K^{*0}J/\psi) \times \mathcal{B}(J/\psi \rightarrow \mu^+\mu^-) \times \frac{N'_{K^{*0}\mu^+\mu^-;k}}{N'_{K^{*0}J/\psi}} \frac{\alpha_{K^{*0}J/\psi}}{\alpha_{K^{*0}\mu^+\mu^-;k}}, \quad (2)$$

449 where  $N'_{K^{*0}\mu^+\mu^-;k}$  and  $N'_{K^{*0}J/\psi}$  denote the  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  and  $B^0 \rightarrow K^{*0}J/\psi$   
450 event yields in the  $q^2$  bin that come from the weighted likelihood fit.

451 The resulting differential branching fraction in the  $q^2$  bin is then given by

$$\frac{d\mathcal{B}_k}{dq^2} = \frac{1}{q_{\max.;k}^2 - q_{\min.;k}^2} \mathcal{B}_k .$$

452 The contributions from the decays  $B_s^0 \rightarrow \bar{K}^{*0}\mu^+\mu^-$  and  $B_s^0 \rightarrow \phi\mu^+\mu^-$   
453 (where one kaon is identified as a pion) are included in the fit, but are fixed  
454 to the expected level of background from Sec. 3.4.  $B_s^0 \rightarrow \bar{K}^{*0}\mu^+\mu^-$  is assumed  
455 to be at the level of  $f_{B_s^0} = 1 \pm 1\%$  ( $\simeq (f_s/f_d)|V_{td}/V_{ts}|^2$ ) of the signal.  $B_s^0 \rightarrow$   
456  $\phi\mu^+\mu^-$  at the level of  $f_\phi = 1.2 \pm 0.5\%$  of the signal. The line-shape of the  
457  $\bar{B}_s^0 \rightarrow K^{*0}\mu^+\mu^-$  is assumed to be the same as the  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  signal.  
458 A template for the shape of the  $B_s^0 \rightarrow \phi\mu^+\mu^-$  line-shape has been taken  
459 from SM MC. The uncertainty on the line-shape of this background is small  
460 compared to the uncertainty on the yield, therefore no systematic uncertainty  
461 on the shape is considered, but the level of each background is varied within  
462 its uncertainty.

## 463 7.2 Unbinned maximum likelihood fit for the differen- 464 tial branching fraction

465 Summarising the contributions, the log-likelihood is given by:

$$\begin{aligned}
-\log L = & - \sum_{i=0}^N \alpha w_i \log \left[ \frac{N'_{\text{sig}}}{(1 + f_\phi + f_{B_s^0})N'_{\text{sig}} + N'_{\text{bkg}}} M(m_{K^+\pi^-\mu^+\mu^-} | \sigma_1, \sigma_2, \alpha, n) \right. + \\
& \frac{f_{B_s^0} \times N'_{\text{sig}}}{(1 + f_\phi + f_{B_s^0})N'_{\text{sig}} + N'_{\text{bkg}}} M(m_{K^+\pi^-\mu^+\mu^-} | \sigma_1, \sigma_2, \alpha, n) \quad + \\
& \frac{f_\phi \times N'_{\text{sig}}}{(1 + f_\phi + f_{B_s^0})N'_{\text{sig}} + N'_{\text{bkg}}} F_\phi(m_{K^+\pi^-\mu^+\mu^-}) \quad + \\
& \left. \frac{N'_{\text{bkg}}}{(1 + f_\phi + f_{B_s^0})N'_{\text{sig}} + N'_{\text{bkg}}} E(m_{K^+\pi^-\mu^+\mu^-} | p_0) \right] - \\
& \log P(N | (1 + f_\phi + f_{B_s^0})N'_{\text{sig}} + N'_{\text{bkg}})
\end{aligned} \tag{3}$$

466 where  $M(m_{K^+\pi^-\mu^+\mu^-} | \sigma_1, \sigma_2, \alpha, n)$  is the double crystal ball mass model for  
467 the signal described above,  $E(m_{K^+\pi^-\mu^+\mu^-} | p_0)$  is an exponential model for the  
468 combinatorial background,  $N'_{\text{sig}}$  is the effective number of signal candidates  
469 and  $N'_{\text{bkg}}$  the effective number of background candidates.  $F_\phi$  is the template  
470 for the  $B_s^0 \rightarrow \phi\mu^+\mu^-$  line-shape. The  $B_s^0 \rightarrow K^{*0}\mu^+\mu^-$  line-shape is fixed to be  
471 the same as the signal line-shape, but is shifted in  $K^+\pi^-\mu^+\mu^-$  invariant mass  
472 by the  $B_s^0 - B^0$  mass difference. The weights are normalised as described  
473 above.

### 474 **7.3 Results from fits to the $1 \text{ fb}^{-1}$ data sample**

475 The differential branching ratio as a function of  $q^2$  is summarised in Table 7.  
476 It is consistent with previous results (from LHCb, the B-factories and CDF)  
477 and with the SM prediction.



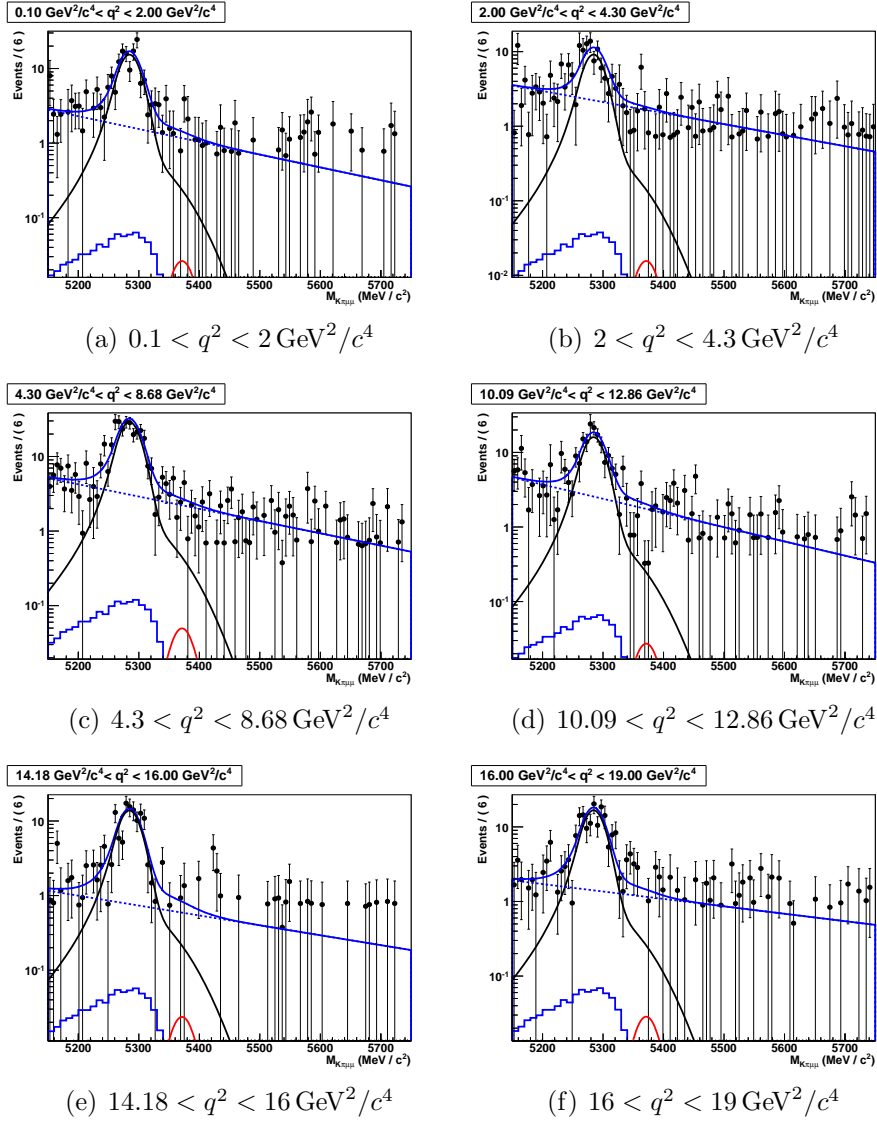


Figure 8: Mass fit to the invariant  $K^+\pi^-\mu^+\mu^-$  mass used to determine the differential branching ratio. The mass fit is described in more detail in Section 4.

$q^2$ -bin	$d\mathcal{B}/dq^2(10^{-7}c^4/GeV^2)$
$0.10 < q^2 < 2.00 \text{ GeV}^2/c^4$	$0.61 \pm 0.08$
$2.00 < q^2 < 4.30 \text{ GeV}^2/c^4$	$0.30 \pm 0.05$
$4.30 < q^2 < 8.68 \text{ GeV}^2/c^4$	$0.50 \pm 0.05$
$10.09 < q^2 < 12.86 \text{ GeV}^2/c^4$	$0.43 \pm 0.05$
$14.18 < q^2 < 16.00 \text{ GeV}^2/c^4$	$0.55 \pm 0.07$
$16.00 < q^2 < 19.00 \text{ GeV}^2/c^4$	$0.38 \pm 0.05$
$1.00 < q^2 < 6.00 \text{ GeV}^2/c^4$	$0.35 \pm 0.04$

Table 7: The measured differential branching fraction for  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  in bins of  $q^2$ . The errors are purely statistical and are the result of the fit described in the text.

## 478 7.4 Cross check of the differential branching fraction

479 As a cross check, the differential branching ratio was calculated from the  
480 event yields in Sec. 5, taking an average efficiency for the signal candidates  
481 in the  $q^2$  bin, rather than weighting the candidates in the fit. The average  
482 efficiency is estimated in two ways: firstly using SM MC and secondly using  
483 the *sPlot* technique [18] to unfold the efficiency distribution of the signal.  
484 The two approaches, of weighting in or after the fit, lead to consistent results.

485 The error estimates on  $N'_{sig}$  coming from the weighted-likelihood fit are  
486 shown to be reliable using toy-experiments. Unlike the angular analysis, the  
487 weights are uncorrelated to the  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution and  
488 the naive scaling of the weights by  $\alpha$  is appropriate,

## 489 7.5 Systematic uncertainties

490 In this section the result of the measurement of the differential branching  
491 ratio including the systematic uncertainty is shown.

492 The systematic uncertainty on  $d\mathcal{B}/dq^2$  has been estimated by repeating  
493 the fits to the  $K^+\pi^-\mu^+\mu^-$  invariant mass with a different, systematically  
494 varied acceptance correction. The difference between  $d\mathcal{B}/dq^2$  in the fit with  
495 the varied acceptance and the nominal one is assigned as a systematic uncer-  
496 tainty. A complete description of the acceptance variations that are tried can  
497 be found in Sec. 18. The mass fits have also been repeated after changing the  
498 peaking background level by one sigma of the estimated uncertainty. This  
499 variation has a negligible effect on the  $d\mathcal{B}/dq^2$ . A 5% variation of the signal  
500 mass resolution has also been considered.

501 Finally, a one side systematic uncertainty is assigned to  $d\mathcal{B}/dq^2$  to account  
502 for the possible S-wave contamination in the  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  decay. The S-

503 wave is indistinguishable from the signal in  $K^+\pi^-\mu^+\mu^-$  and will lead to a  
504 small over-estimate of the differential branching fraction. There will also be  
505 an S-wave contamination in the normalisation channel ( $B^0 \rightarrow K^{*0}J/\psi$ ). This  
506 contamination is however accounted for in the branching fraction that we use  
507 for normalisation, which in reality corresponds to  $\mathcal{B}(B^0 \rightarrow K^+\pi^-J/\psi)$  in the  
508 same  $\pm 100 \text{ MeV}/c^2$  mass window used in our analysis. An upper limit on the  
509 S-wave contamination to  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  is determined to be  $F_S \lesssim 0.07$  at  
510 68% confidence level (see Sec. 16 for details).

511 The dominant source of systematic uncertainty arises from the 4% uncer-  
512 tainty on the  $B^0 \rightarrow K^{*0}J/\psi$  and  $J/\psi \rightarrow \mu^+\mu^-$  branching fractions. The re-  
513 sulting differential branching fraction, including the full list set of systematic  
514 uncertainties is summarised in Table. 8. A breakdown of the contributions  
515 to the total systematic uncertainty is given in Table. 9.

$q^2$ -bin	$d\mathcal{B}/dq^2 (10^{-7}c^4/\text{GeV}^2)$
$0.10 < q^2 < 2.00 \text{ GeV}^2/c^4$	$0.61 \pm 0.08 \pm 0.05^{+0.0}_{-0.05}$
$2.00 < q^2 < 4.30 \text{ GeV}^2/c^4$	$0.30 \pm 0.05 \pm 0.03^{+0.0}_{-0.02}$
$4.30 < q^2 < 8.68 \text{ GeV}^2/c^4$	$0.50 \pm 0.05 \pm 0.04^{+0.0}_{-0.04}$
$10.09 < q^2 < 12.86 \text{ GeV}^2/c^4$	$0.43 \pm 0.05 \pm 0.04^{+0.0}_{-0.03}$
$14.18 < q^2 < 16.00 \text{ GeV}^2/c^4$	$0.57 \pm 0.07 \pm 0.04^{+0.0}_{-0.05}$
$16.00 < q^2 < 19.00 \text{ GeV}^2/c^4$	$0.42 \pm 0.05 \pm 0.04^{+0.0}_{-0.03}$
$1.00 < q^2 < 6.00 \text{ GeV}^2/c^4$	$0.35 \pm 0.04 \pm 0.04^{+0.0}_{-0.03}$

Table 8: The measured differential branching fraction for  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  in bins of  $q^2$ . The first error is statistical, the second systematic, the third error is due to the S-wave contribution.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal	0.61	0.30	0.49	0.42	0.54	0.37	0.34
$B^0$ $p_T$ re-weighting [I]	0.61	0.30	0.49	0.42	0.54	0.38	0.34
PID performance -5% [G]	0.62	0.30	0.49	0.42	0.53	0.37	0.35
PID performance -10% [G]	0.62	0.29	0.49	0.42	0.53	0.38	0.35
PID performance -30% [G]	0.62	0.29	0.49	0.42	0.53	0.38	0.35
PID performance +5% [G]	0.62	0.30	0.49	0.42	0.53	0.37	0.35
PID performance +10% [G]	0.63	0.30	0.50	0.42	0.53	0.36	0.35
PID performance +30% [G]	0.63	0.30	0.50	0.42	0.53	0.36	0.35
Removal of soft tracks [N]	0.60	0.30	0.49	0.43	0.55	0.39	0.34
IsMuon efficiency Down [E]	0.61	0.29	0.49	0.43	0.54	0.38	0.34
IsMuon efficiency Up [E]	0.61	0.30	0.50	0.42	0.53	0.37	0.35
$B^0$ $p$ re-weighting [I]	0.61	0.30	0.49	0.42	0.54	0.38	0.35
IP Smearing [H]	0.61	0.29	0.49	0.43	0.54	0.38	0.34
AC CTK Down [H]	0.63	0.31	0.51	0.44	0.56	0.39	0.36
AC CTK Up [C]	0.60	0.28	0.47	0.41	0.52	0.36	0.33
AC CTL Down [C]	0.63	0.30	0.51	0.44	0.56	0.39	0.35
AC CTL Up [C]	0.60	0.29	0.48	0.41	0.52	0.36	0.33
AC CTL Down CTK Down [C]	0.65	0.32	0.53	0.46	0.58	0.40	0.37
AC CTK Up CTK Up [C]	0.58	0.28	0.46	0.40	0.51	0.35	0.32
AC Non-factorisable Up [C]	0.61	0.29	0.49	0.42	0.54	0.38	0.34
AC Non-factorisable Down [C]	0.61	0.30	0.49	0.43	0.54	0.37	0.35
Tracking efficiency Down [F]	0.62	0.30	0.49	0.42	0.54	0.37	0.35
Tracking efficiency Up [F]	0.61	0.29	0.49	0.42	0.54	0.38	0.34
Trigger efficiency Down [D]	0.61	0.30	0.49	0.42	0.54	0.38	0.35
Trigger efficiency Up [D]	0.61	0.30	0.49	0.42	0.54	0.38	0.35
Signal mass width Up [J]	0.62	0.30	0.50	0.43	0.55	0.38	0.35
Signal mass width Down [J]	0.60	0.29	0.48	0.42	0.53	0.37	0.34
Peaking Bkg [L]	0.62	0.30	0.50	0.43	0.55	0.38	0.35

Table 9: Variation of  $d\mathcal{B}/dq^2$  when systematically varying fit parameters or the weights applied to the input data set. The letter is a key corresponding to the text in Sec. 18.

516 The result of the differential branching fraction measurement in the six  $q^2$ -  
 517 bins is shown in Fig. 9. The SM prediction, and the prediction rate-averaged  
 518 over the  $q^2$  bin, are also indicated on the figure. No SM prediction is included  
 519 for the region between the  $c\bar{c}$  resonances where the assumptions made in the  
 520 prediction break down.

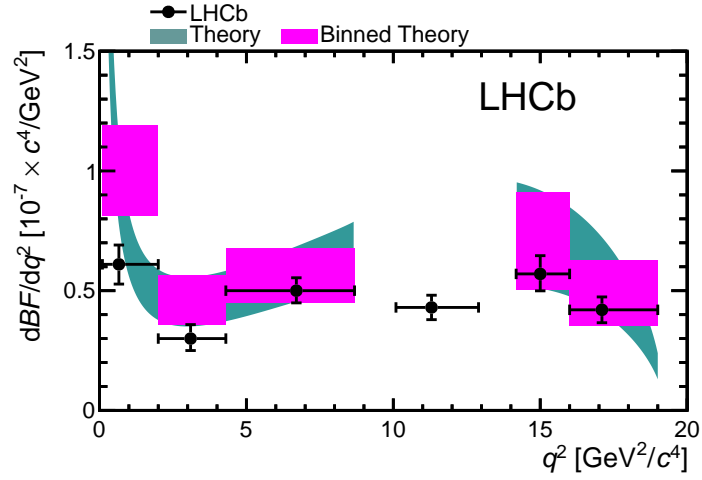


Figure 9: Differential branching fraction as a function of  $q^2$ . Points include both statistical and systematic uncertainties. The theory predictions are described in Ref. [19].

## 521 8 Signal angular distribution

### 522 8.1 Angular basis

523  $B^0 \rightarrow K^{*0}(\rightarrow K\pi)\mu^+\mu^-$  is treated as a pseudo-scalar to vector-vector decay  
 524 and the angular distribution expressed in the Helicity angular basis (the  
 525 decay amplitudes are however typically given as Transversity amplitudes).  
 526 In this basis the decay of the  $B^0$ ,  $K^{*0}$  and dimuon pair are each defined by a  
 527 ‘polar’ and ‘azimuthal’ angle. Taking the decay of the  $K^{*0}$  as an example, the  
 528 ‘polar’ angle is the angle between the  $K^+$  direction in the rest frame of the  
 529  $K^{*0}$  and the direction of the  $K^{*0}$  in the rest frame of its parent, the  $B^0$ . The  
 530 corresponding ‘azimuthal’ angle is a rotation of the plane containing the  $K^+$   
 531 and  $\pi^-$  around the axis defined by the  $K^{*0}$  direction in the  $B^0$  frame. This  
 532 leads to an angular basis with six angles. In practice the physics content of  
 533 the decay can be expressed in terms of just three:  $\theta_\ell$ ,  $\theta_K$  and  $\phi$ . The angle  $\phi$  is  
 534 the angle between the planes defined by the  $\mu^+\mu^-$  and the  $K\pi$  in the  $B^0$  rest  
 535 frame and is related to the ‘azimuthal’ angles of the  $K^{*0}$  and the dimuon in  
 536 their respective frames. The transformation between the  $B^0$  and  $\bar{B}^0$  is made  
 537 using the  $\mathcal{CP}$  operator, i.e. by exchanging particles for their anti-particles  
 538 and by reversing the particle momentum vectors.

#### 539 8.1.1 Nomenclature

540 In the remainder of this note the momentum vector of a particle  $a$  in the rest  
 541 frame of  $f$  is expressed as  $\vec{p}_a^f$  and the sum of, and difference between, the  
 542 momentum of two particles ( $a$  and  $b$ ) in this frame as:

$$\vec{p}_{ab}^f = \vec{p}_a^f + \vec{p}_b^f \quad \text{and} \quad \vec{q}_{ab}^f = \vec{p}_a^f - \vec{p}_b^f \quad .$$

543 The unit normal vector to the plane containing  $a$  and  $b$  in the rest frame of  
 544  $f$  can then also be defined as:

$$\hat{n}_{ab}^f = \frac{\vec{p}_a^f \times \vec{p}_b^f}{|\vec{p}_a^f \times \vec{p}_b^f|} \quad .$$

#### 545 8.1.2 The angle $\theta_\ell$

546 For the  $B^0$  decay the angle  $\theta_\ell$  is defined by the angle between the vector  
 547 defining the direction of the  $\mu^+$  in the dimuon rest frame and the direction  
 548 of the dimuon in the  $B^0$  rest frame. Equivalently this is the angle between  
 549 the  $\mu^+$  and the direction opposite that of the  $B^0$  in the dimuon rest frame:

$$\cos \theta_\ell = \frac{\vec{p}_{\mu^+}^{\mu\mu} \cdot \vec{p}_{\mu^+\mu^-}^B}{|\vec{p}_{\mu^+}^{\mu\mu}| |\vec{p}_{\mu^+\mu^-}^B|}$$

550 or equivalently

$$\cos \theta_\ell = \frac{\vec{q}_{\mu^+\mu^-}^{\mu\mu} \cdot \vec{p}_{\mu^+\mu^-}^B}{|\vec{q}_{\mu^+\mu^-}^{\mu\mu}| |\vec{p}_{\mu^+\mu^-}^B|} = -\frac{\vec{q}_{\mu^+\mu^-}^{\mu\mu} \cdot \vec{p}_B^{\mu\mu}}{|\vec{q}_{\mu^+\mu^-}^{\mu\mu}| |\vec{p}_B^{\mu\mu}|} = -\frac{\vec{q}_{\mu^+\mu^-}^{\mu\mu} \cdot \vec{p}_{K^+\pi^-}^{\mu\mu}}{|\vec{q}_{\mu^+\mu^-}^{\mu\mu}| |\vec{p}_{K^+\pi^-}^{\mu\mu}|} .$$

551 For the  $\bar{B}^0$  decay the angle is instead defined by the angle between the  
 552  $\mu^-$  in the  $\mu^+\mu^-$  rest frame and the direction of the dimuon pair in the rest  
 553 frame of the  $\bar{B}^0$ :

$$\cos \theta_L = \frac{\vec{p}_{\mu^-}^{\mu\mu} \cdot \vec{p}_{\mu^+\mu^-}^B}{|\vec{p}_{\mu^-}^{\mu\mu}| |\vec{p}_{\mu^+\mu^-}^B|} = -\frac{\vec{p}_{\mu^+}^{\mu\mu} \cdot \vec{p}_{\mu^+\mu^-}^B}{|\vec{p}_{\mu^+}^{\mu\mu}| |\vec{p}_{\mu^+\mu^-}^B|} .$$

### 554 8.1.3 The angle $\theta_K$

555 For the  $B^0/\bar{B}^0$  the angle  $\theta_K$  is defined by the angle between the vector  
 556 defining the direction of the  $K$  in the  $K^{*0}/\bar{K}^{*0}$  rest frame and the direction  
 557 of the  $K^{*0}/\bar{K}^{*0}$  in the  $B$  rest frame:

$$\cos \theta_K = \frac{\vec{p}_K^{K\pi} \cdot \vec{p}_{K\pi}^B}{|\vec{p}_K^{K\pi}| |\vec{p}_{K\pi}^B|}$$

558 or

$$\cos \theta_K = \frac{\vec{q}_{K\pi}^{K\pi} \cdot \vec{p}_{K\pi}^B}{|\vec{q}_{K\pi}^{K\pi}| |\vec{p}_{K\pi}^B|} = -\frac{\vec{q}_{K\pi}^{K\pi} \cdot \vec{p}_B^{K\pi}}{|\vec{q}_{K\pi}^{K\pi}| |\vec{p}_B^{K\pi}|} = -\frac{\vec{q}_{K\pi}^{K\pi} \cdot \vec{p}_{\mu^+\mu^-}^{K\pi}}{|\vec{q}_{K\pi}^{K\pi}| |\vec{p}_{\mu^+\mu^-}^{K\pi}|} .$$

### 559 8.1.4 The angle $\phi$

560 The angle  $\phi$  is given by the angle between the plane defined by the daughters  
 561 of the dimuon and the daughters of the  $K^{*0}$ . In the case of the  $B^0$  this is:

$$\cos \phi = \hat{n}_{\mu^+\mu^-}^B \cdot \hat{n}_{K^+\pi^-}^B \quad \text{and} \quad \sin \phi = (\hat{n}_{\mu^+\mu^-}^B \times \hat{n}_{K^+\pi^-}^B) \cdot \frac{\vec{p}_{K^+\pi^-}^B}{|\vec{p}_{K^+\pi^-}^B|}$$

562 For the  $\bar{B}^0$  decay the  $\mathcal{C}$  operator exchanges the  $\mu^+$  and  $\mu^-$ . After applying  
 563 the  $\mathcal{P}$  to reverse the momentum directions:

$$\cos \phi = \hat{n}_{\mu^-\mu^+}^B \cdot \hat{n}_{K^-\pi^+}^B = -\hat{n}_{\mu^+\mu^-}^B \cdot \hat{n}_{K^-\pi^+}^B$$

564 as the  $\mathcal{P}$  operator leaves  $\hat{n}_{\mu^-\mu^+}^B$  unchanged:

$$\mathcal{P}(\hat{n}_{\mu^-\mu^+}^B) = \hat{n}_{\mu^-\mu^+}^B$$

565 and

$$\sin \phi = - \left( \hat{n}_{\mu^-\mu^+}^B \times \hat{n}_{K^-\pi^+}^B \right) \cdot \frac{\vec{p}_{K^-\pi^+}^B}{|\vec{p}_{K^-\pi^+}^B|} = + \left( \hat{n}_{\mu^+\mu^-}^B \times \hat{n}_{K^-\pi^+}^B \right) \cdot \frac{\vec{p}_{K^-\pi^+}^B}{|\vec{p}_{K^-\pi^+}^B|} .$$

## 566 8.2 Differential angular distribution

567 The differential angular distribution of  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates when  
568 neglecting terms proportional to  $\sqrt{m_\mu^2/q^2}$  or  $m_\mu^2/q^2$  is given by:

$$\begin{aligned} \frac{d^4\Gamma[B^0 \rightarrow K^{*0}\mu^+\mu^-]}{d\cos\theta_\ell d\cos\theta_K d\phi dq^2} = \frac{9}{32\pi} & \left[ I_1^s \sin^2\theta_K + I_1^c \cos^2\theta_K + \right. \\ & (I_2^s \sin^2\theta_K + I_2^c \cos^2\theta_K) \cos 2\theta_\ell + \\ & I_3 \sin^2\theta_K \sin^2\theta_\ell \cos 2\phi + I_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + \\ & I_5 \sin 2\theta_K \sin \theta_\ell \cos \phi + I_6 \sin^2\theta_K \cos \theta_\ell + \\ & I_7 \sin \theta_\ell \sin 2\theta_K \sin \phi + I_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + \\ & \left. I_9 \sin^2\theta_K \sin^2\theta_\ell \sin 2\phi \right] \end{aligned}$$

569 where  $I_1$  through  $I_9$  are:



$$\begin{aligned}
I_1^c &= (|A_{0L}|^2 + |A_{0R}|^2) \\
I_1^s &= \frac{3}{4} (|A_{\parallel L}|^2 + |A_{\parallel R}|^2 + |A_{\perp L}|^2 + |A_{\perp R}|^2) \\
I_2^c &= - (|A_{0L}|^2 + |A_{0R}|^2) \\
I_2^s &= \frac{1}{4} (|A_{\parallel L}|^2 + |A_{\parallel R}|^2 + |A_{\perp L}|^2 + |A_{\perp R}|^2) \\
I_3 &= \frac{1}{2} (|A_{\perp L}|^2 - |A_{\parallel L}|^2 + |A_{\perp R}|^2 - |A_{\parallel R}|^2) \\
I_4 &= \frac{1}{\sqrt{2}} (Re(A_{0L}A_{\parallel L}^*) + Re(A_{0R}A_{\parallel R}^*)) \\
I_5 &= \sqrt{2} (Re(A_{0L}A_{\perp L}^*) - Re(A_{0R}A_{\perp R}^*)) \\
I_6 &= 2 (Re(A_{\parallel L}A_{\perp L}^*) - Re(A_{\parallel R}A_{\perp R}^*)) \\
I_7 &= \sqrt{2} (Im(A_{0L}A_{\parallel L}^*) - Im(A_{0R}A_{\parallel R}^*)) \\
I_8 &= \frac{1}{\sqrt{2}} (Im(A_{0L}A_{\perp L}^*) + Im(A_{0R}A_{\perp R}^*)) \\
I_9 &= (Im(A_{\parallel L}A_{\perp L}^*) + Im(A_{\parallel R}A_{\perp R}^*))
\end{aligned}$$

570 i.e. they depend on the  $K^{*0}$  transversity amplitudes, which in turn are  
571 sensitive to the contributions from NP. The  $L$  and  $R$  labels on the  $K^{*0}$   
572 transversity amplitudes refer to the chirality of the lepton current, which can  
573 be both left- and right-handed.

574 Neglecting terms proportional to  $m_\mu^2/q^2$  and possible scalar and tensor  
575 amplitudes there are 6 complex amplitudes that appear in  $I_1$  through  $I_9$ . In  
576 the most general case there would be 6 + 3(tensor) + 1(scalar) + 1(*time-like*)  
577 complex amplitudes.

578 The addition of a broad  $S$ -wave, with  $K\pi$  system in a spin 0 state, mod-  
579 ifies terms in  $I_{1\dots 9}$  according to:

$$A_{0L,R}Y_1^0(\theta_K) \rightarrow \sum_{J=0,1} A_0^J Y_J^0(\theta_K)$$

580 where the index  $J$  refers to the spin of the  $K\pi$  system and the  $Y_J^0(\theta_K)$  are  
581 spherical harmonics. There is no contribution from the  $S$ -wave to terms  
582 in  $A_{\parallel L,R}$  and  $A_{\perp L,R}$  (because these correspond to transverse polarisation of  
583 the  $K^+\pi^-$  system). The  $S$ -wave contribution to the angular observables is  
584 discussed in detail below.

585 **8.3 Combining  $B^0$  and  $\bar{B}^0$  decays**

586 The angular basis has been defined starting with the  $B^0$  decay and applying  
 587 the CP transformation to go from the  $B^0$  to the  $\bar{B}^0$  decay. As a result,  
 588 neglecting any production, detector or direct CP asymmetry, the combined  
 589 angular distribution for the  $B^0$  and the  $\bar{B}^0$  is given by:

$$\frac{d[B^0 + \bar{B}^0]}{d\cos\theta_\ell d\cos\theta_K d\phi dq^2} = \frac{9}{32\pi} \sum_{i=1}^9 (I_i + \bar{I}_i) f_i(\cos\theta_\ell, \cos\theta_K, \phi)$$

590 This is a different angular basis to the one that often appears in literature.  
 591 Using the nomenclature of Ref. [11], this corresponds to describing the angu-  
 592 lar distribution by a sum of  $S_1$  to  $S_9$  when combining  $B^0$  and  $\bar{B}^0$  decays.

593 **8.3.1 CP averages and CP asymmetries ( $A_9$  vs  $S_9$ )**

594 Whilst the angular basis differs from the theory convention, it is identical  
 595 to that of BaBar, Belle and CDF for the angles  $\theta_\ell$  and  $\theta_K$ . It does however  
 596 differ from the CDF  $\phi$  angle definition in Ref. [7]. The CDF  $\phi$  definition does  
 597 not obey the CP transformation needed to measure  $S_9$ . Instead under the  
 598 CDF definition, the difference between  $B^0$  and  $\bar{B}^0$  decays is measured for all  
 599 terms that are ‘odd’ in  $\phi$  (terms 7, 8 and 9). Consequently under the CDF  
 600 definition, for example,  $A_9$  appears in place of  $S_9$  in the angular distribution.  
 601 Explicitly, in the absence of any production, detector or direct CP asymme-  
 602 try:

$$S_9 = \frac{1}{2} (I_9 + \bar{I}_9) \quad \text{and} \quad A_9 = \frac{1}{2} (I_9 - \bar{I}_9) \quad .$$

603 If production, detection or direct CP asymmetries become large then there  
 604 will be a mixing between  $S_9$  and  $A_9$ . This effect is neglected in this analy-  
 605 sis. The angular distributions and the PID likelihoods for kaons and pions  
 606 are compared for  $B^0$  and  $\bar{B}^0$ , using the decay  $B^0 \rightarrow K^{*0} J/\psi$ , as shown in  
 607 Appendix C. No significant discrepancy has been observed.

608 The observable  $A_9$  is a T-odd CP asymmetry. This has little meaning for  
 609 this self-tagging decay, but  $A_9$  could, for example, also be measured in decays  
 610  $B_s^0 \rightarrow \phi \mu^+ \mu^-$  and  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  ( $K^{*0} \rightarrow K_s^0 \pi^0$ ) where it is not possible to  
 611 unambiguously separate the  $B$  and  $\bar{B}$  decays.

612 In terms of NP sensitivity the principle difference between  $S_9$  and  $A_9$  is  
 613 that:

$$S_9 \propto \cos \lambda \sin \delta \quad \text{and} \quad A_9 \propto \sin \lambda \cos \delta \quad ,$$

614 where  $\delta$  is a strong phase and  $\lambda$  is the contribution from the weak phase. In  
615 the SM both the strong phase and the weak phase are small (the weak phase  
616 contribution comes from  $V_{ts}$ ) and so  $A_9$  and  $S_9 \sim 0$ .  $S_9$  remains small in NP  
617 models. It is possible to fit for  $A_9$  in place of  $S_9$  in the LHCb convention by  
618 swapping the sign of  $\phi$  ( $\phi \rightarrow -\phi$ ) for  $\bar{B}^0$  decays only. To avoid confusion  
619 below, the notation  $A_{Im}$  is adopted to refer to either  $S_9$  or  $A_9$  in the angular  
620 distribution.

621 While the principal difference between  $S_9$  and  $A_9$  is a simple sign change  
622 of the  $\phi$  angle for  $B^0$  and  $\bar{B}^0$  decays, it has important experimental conse-  
623 quences. When measuring  $S_9$ , there is a need to understand the combined  
624 acceptance correction for the combination of  $B^0$  and  $\bar{B}^0$  decays. Conversely  
625 when measuring  $A_9$  there is a need to understand the difference between the  
626 acceptance correction for  $B^0$  and  $\bar{B}^0$  decays.

627 If there were to be a significant production, detection or direct CP asym-  
628 metry between the  $B^0$  and  $\bar{B}^0$  that results in a different number of  $B^0$  and  
629  $\bar{B}^0$  decays appearing in the angular analysis, then this would lead to a mixing  
630 between the A's and S's:

$$A_i^{\text{measured}} \approx A_i - S_i(A_{CP} + A_D + \kappa A_P)$$

631 where  $A_P$  is the  $B^0$ - $\bar{B}^0$  production asymmetry,  $A_D$ , the detection asymmetry,  
632  $A_{CP}$  the direct CP asymmetry and  $\kappa$  is a factor to account for the dilution  
633 of  $A_P$  due to mixing.

## 634 8.4 Folding the $\phi$ -angle

635 The differential branching fraction can be greatly simplified by “folding” the  
636  $\phi$ -angle such that  $\hat{\phi} = \phi + \pi$  if  $\phi < 0$ . This cancels terms with with  $\cos \phi$  and  
637  $\sin \phi$  dependencies (but not  $\cos 2\phi$  and  $\sin 2\phi$ ), i.e. the terms  $I_4$ ,  $I_5$ ,  $I_6$  and  
638  $I_8$  above. This cancellation dramatically simplifies the angular expression  
639 and leaves sensitivity to  $F_L$ ,  $A_{FB}$  (through  $I_6$ ),  $A_T^2$  (through  $I_3$ ) and  $A_{Im}$   
640 (through  $I_9$ ).

641 This simplification leads to:

$$\frac{1}{\bar{\Gamma}} \frac{d^4\Gamma}{d \cos \theta_\ell d \cos \theta_K d \hat{\phi} dq^2} = \frac{9}{16\pi} \left[ F_L \cos^2 \theta_K + \frac{3}{4} F_T (1 - \cos^2 \theta_K) + \frac{1}{4} F_T (1 - \cos^2 \theta_K) \cos 2\theta_\ell - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 (1 - \cos^2 \theta_\ell) (1 - \cos^2 \theta_K) \cos 2\hat{\phi} + \frac{4}{3} A_{FB} (1 - \cos^2 \theta_K) \cos \theta_\ell + A_{Im} (1 - \cos^2 \theta_K) (1 - \cos^2 \theta_\ell) \sin 2\hat{\phi} \right]$$

642 where  $A_{FB}$ ,  $F_L$ ,  $A_T^2$  and  $A_{Im}$  are:

$$A_{FB} = \frac{3}{2} \frac{\text{Re}(A_{\parallel L} A_{\perp L}^*) - \text{Re}(A_{\parallel R} A_{\perp R}^*)}{|A_{0L}|^2 + |A_{\parallel L}|^2 + |A_{\perp L}|^2 + |A_{0R}|^2 + |A_{\parallel R}|^2 + |A_{\perp R}|^2}$$

$$F_L = \frac{|A_{0L}|^2 + |A_{0R}|^2}{|A_{0L}|^2 + |A_{\parallel L}|^2 + |A_{\perp L}|^2 + |A_{0R}|^2 + |A_{\parallel R}|^2 + |A_{\perp R}|^2} = 1 - F_T$$

$$A_{Im} = \frac{\text{Im}(A_{\parallel L} A_{\perp L}^*) + \text{Im}(A_{\parallel R} A_{\perp R}^*)}{|A_{0L}|^2 + |A_{\parallel L}|^2 + |A_{\perp L}|^2 + |A_{0R}|^2 + |A_{\parallel R}|^2 + |A_{\perp R}|^2}$$

$$S_3 = \frac{1}{2} \frac{|A_{\perp L}|^2 - |A_{\parallel L}|^2 + |A_{\perp R}|^2 - |A_{\parallel R}|^2}{|A_{0L}|^2 + |A_{\perp L}|^2 + |A_{\parallel L}|^2 + |A_{0R}|^2 + |A_{\perp R}|^2 + |A_{\parallel R}|^2}$$

643  $A_{FB}$  and  $A_{Im}$  can both in principle take different values for  $B^0$  and  $\bar{B}^0$   
644 decays.

## 645 8.5 Angular projections

646 It is also possible (as described in the previous analysis note [13]) to have  
647 sensitivity to these observables by integrating the full differential angular  
648 distribution over all but one of the angles. This leads to:

$$\frac{1}{\bar{\Gamma}} \frac{d^2\Gamma}{d \cos \theta_\ell dq^2} = \frac{3}{4} F_L (1 - \cos^2 \theta_\ell) + \frac{3}{8} (1 - F_L) (1 + \cos^2 \theta_\ell) + A_{FB} \cos \theta_\ell ,$$

$$\frac{1}{\Gamma} \frac{d^2\Gamma}{d \cos \theta_K dq^2} = \frac{3}{2} F_L \cos^2 \theta_K + \frac{3}{4} (1 - F_L) (1 - \cos^2 \theta_K)$$

649 and

$$\frac{1}{\Gamma} \frac{d^2\Gamma}{d\phi dq^2} = \frac{1}{2\pi} [1 + S_3 \cos 2\phi + A_{Im} \sin 2\phi]$$

650 The angular distribution in  $\cos \theta_K$  depends only on a single parameter  $F_L$ ,  
 651 the fraction of longitudinally polarised  $K^{*0}$ . The distribution in  $\cos \theta_L$  has  
 652 two free parameters  $F_L$  and  $A_{FB}$ , the forward-backward asymmetry of the  
 653 muons in the dimuon rest frame. The angle  $\phi$  depends on  $F_L$ ,  $S_3$  and  $A_{Im}$ .

## 654 8.6 Re-parametrisation using $A_T^{Re}$ and $A_T^{Im}$

655 It has for a long-time been suggested in the theory literature that the quan-  
 656 tity:

$$A_T^2 = \frac{|A_{\perp L}|^2 - |A_{\parallel L}|^2 + |A_{\perp R}|^2 - |A_{\parallel R}|^2}{|A_{\perp L}|^2 + |A_{\parallel L}|^2 + |A_{\perp R}|^2 + |A_{\parallel R}|^2}$$

657 is a cleaner observable than  $S_3$  because it is free from  $|A_{0(L/R)}|^2$  and therefore  
 658 has a reduced form factor uncertainty. This can be extracted from a fit to  
 659 the data by replacing  $S_3$  by:

$$S_3 = \frac{1}{2} (1 - F_L) A_T^2 \quad .$$

660 It has also been suggested in Ref.[12] that:

$$A_T^{Re} = 2 \cdot \frac{Re(A_{\parallel L} A_{\perp L}^*) - Re(A_{\parallel R} A_{\perp R}^*)}{|A_{\parallel L}|^2 + |A_{\perp L}|^2 + |A_{\parallel R}|^2 + |A_{\perp R}|^2}$$

661 is theoretically a cleaner observable than  $A_{FB}$  as it does not depend on  
 662  $\Gamma = |A_{0L}|^2 + |A_{\parallel L}|^2 + |A_{\perp L}|^2 + |A_{0R}|^2 + |A_{\parallel R}|^2 + |A_{\perp R}|^2$  and instead only  
 663 contains  $A_{\parallel}$  and  $A_{\perp}$  (reducing hadronic uncertainties). It is also interesting  
 664 to note that this implies:

$$A_{FB} = \frac{3}{4} F_T A_T^{Re} = \frac{3}{4} (1 - F_L) A_T^{Re} \quad .$$

665 From the expression for the projection of  $\cos \theta_\ell$ , if  $\cos \theta_\ell \rightarrow \pm 1$  then:

$$\frac{1}{\Gamma} \frac{d^2\Gamma}{d \cos \theta_\ell dq^2} \rightarrow \frac{3}{4} (1 - F_L) \pm A_{FB} \quad .$$

666 For  $(1\Gamma)(d^2\Gamma/d\cos\theta_{\ell}dq^2)$  to remain positive for all values of  $\cos\theta_{\ell}$  then  $A_{FB} \leq$   
667  $\frac{3}{4}(1 - F_L)$ . This requirement is automatically enforced by  $A_T^{Re}$  if  $-1 < A_T^{Re} <$   
668 1. A similar observable can be found to replace  $A_{Im}$ :

$$A_T^{Im} = 2 \cdot \frac{Im(A_{\parallel L}A_{\perp L}^*) + Im(A_{\parallel R}A_{\perp R}^*)}{|A_{\parallel L}|^2 + |A_{\perp L}|^2 + |A_{\parallel R}|^2 + |A_{\perp R}|^2}$$

669 such that:

$$A_{Im} = \frac{1}{2}F_T A_T^{Im} = \frac{1}{2}(1 - F_L)A_T^{Im}$$

670 which simplifies the fit. A constraint still exists between  $A_T^{Re}$ ,  $A_T^2$  and  $A_T^{Im}$   
671 which can not simply be expressed. The effect of such a re-parametrisation  
672 can be seen in Fig. 10 where the regions of phase-space in which the fit pdf  
673 can go negative are shown for  $A_{FB} = 0.1$  and  $F_L = 0.8$ . These values are  
674 similar to the results of the previous analysis [14] in the region  $2 < q^2 < 4.3$ .  
675 The left plot indicates these regions when fitting with  $A_{Im}$ , the right plot  
676 when fitting with  $A_T^{Im}$ . It is clear that the valid phase-space is larger using  
677 the  $A_T^{Im}$  observable.

## 678 8.7 Observable discussion

679 The physics observables in the angular distribution are all  $q^2$  dependent. In  
680 practice what is measured when using a wide bin of  $q^2$  is the rate average of  
681 each of the observables over the  $q^2$  bin. So for example,

$$\langle F_L \rangle = \int_{q_{\min}^2}^{q_{\max}^2} \frac{1}{\Gamma} \frac{d\Gamma}{dq^2} F_L(q^2) dq^2$$

$$\langle A_{FB} \rangle = \int_{q_{\min}^2}^{q_{\max}^2} \frac{1}{\Gamma} \frac{d\Gamma}{dq^2} A_{FB}(q^2) dq^2$$

682 The situation is more complicated for terms in the angular expression that  
683 contain the product of two  $q^2$ -dependent ‘‘observables’’. This includes  $A_T^{Re}$ ,  
684  $A_T^{Im}$ , when re-parameterising the angular distribution and  $A_T^2$ . Here, the fit  
685 is sensitive to e.g.:

$$\langle (1 - F_L)A_T^2 \rangle = \int_{q_{\min}^2}^{q_{\max}^2} \frac{1}{\Gamma} \frac{d\Gamma}{dq^2} (1 - F_L(q^2))A_T^2(q^2) dq^2$$

686 which is not the same as the product of the two  $q^2$ -averaged values:

$$\langle (1 - F_L)A_T^2 \rangle \neq \langle (1 - F_L) \rangle \times \langle A_T^2 \rangle$$

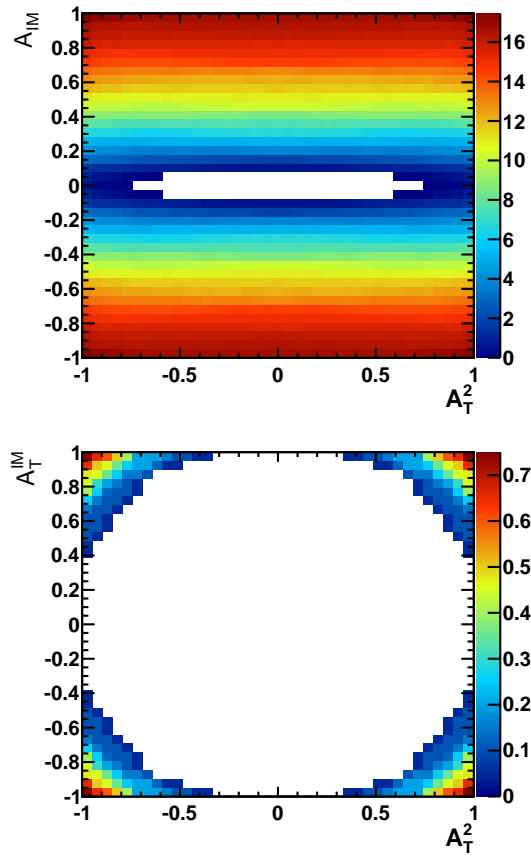


Figure 10: Comparison of the fraction of the pdf that is invalid in regions of phase-space when fitting with the observables  $A_{Im}$  (left) and  $A_T^{Im}$  (right). The observable  $A_T^{Im}$  has a significantly larger valid region, increasing the stability of fits.

687 unless one of the observables is constant over the  $q^2$ -bin. An unfortunate  
688 consequence is that the measured quantities, coming from the maximum  
689 likelihood fit are not exactly the same as the quantity that is predicted by  
690 theorists. They will however tend to be similar unless the  $q^2$ -dependence of  
691 both of  $F_L$  and the observable is large.

However the integrated averaged transverse observables which are fitted on data can be compared with well defined quantities that theorists can predict. One has

$$\begin{aligned}
\langle A_{\text{FB}} \rangle &= \frac{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} A_{\text{FB}}(q^2) dq^2}{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} dq^2} = \frac{3 \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} A_{\text{T}}^{\text{Re}}(q^2) (1 - F_L(q^2)) dq^2}{4 \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} dq^2} \\
&= \frac{3 \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} A_{\text{T}}^{\text{Re}}(q^2) (1 - F_L(q^2)) dq^2}{4 \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma(1 - F_L(q^2))}{dq^2} dq^2} \times \frac{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma(1 - F_L(q^2))}{dq^2} dq^2}{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} dq^2} \\
&= \frac{3 \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} A_{\text{T}}^{\text{Re}}(q^2) (1 - F_L(q^2)) dq^2}{4 \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma(1 - F_L(q^2))}{dq^2} dq^2} \times (1 - \langle F_L \rangle)
\end{aligned}$$

One can then define

$$\langle \tilde{A}_{\text{T}}^{\text{Re}} \rangle = \frac{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma}{dq^2} A_{\text{T}}^{\text{Re}}(q^2) (1 - F_L(q^2)) dq^2}{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma(1 - F_L(q^2))}{dq^2} dq^2} = \frac{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma_{\text{T}}}{dq^2} A_{\text{T}}^{\text{Re}}(q^2) dq^2}{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma_{\text{T}}}{dq^2} dq^2}$$

which can be computed from theoretical models. Similarly one can compare the fitted values of  $A_{\text{T}}^{(2)}$  and  $A_{\text{T}}^{\text{Im}}$  with

$$\langle \tilde{A}_{\text{T}}^{(2)} \rangle = \frac{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma_{\text{T}}}{dq^2} A_{\text{T}}^{(2)}(q^2) dq^2}{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma_{\text{T}}}{dq^2} dq^2}$$

and

$$\langle \tilde{A}_{\text{T}}^{\text{Im}} \rangle = \frac{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma_{\text{T}}}{dq^2} A_{\text{T}}^{\text{Im}}(q^2) dq^2}{\int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{d\Gamma_{\text{T}}}{dq^2} dq^2}$$

692 It has been checked with a very large Monte-Carlo sample that the result  
693 of the fit of a given transverse variable in a  $q^2$  bin is actually equal to a high  
694 accuracy to the average given above.



## 695 9 Measurement of angular observables with 696 likelihood fit

### 697 9.1 Background angular model

698 The background angular model is assumed to be factorisable into three one-  
699 dimensional angular distributions. The full angular model is then given by:

$$\begin{aligned}
 P_{\text{bkg.}}(\cos \theta_l, \cos \theta_K, \phi) &= P_{\text{bkg.}}(\cos \theta_l) P_{\text{bkg.}}(\cos \theta_K) P_{\text{bkg.}}(\phi) \\
 &= \left( \sum_{k=0}^n c_k^l T_k(\cos \theta_l) \right) \left( \sum_{k=0}^n c_k^K T_k(\cos \theta_K) \right) \left( \sum_{k=0}^n c_k^\phi T_k(\phi) \right)
 \end{aligned}$$

700 where  $T_k$  is a  $k^{\text{th}}$  order Chebychev polynomial of the first kind. The angular  
701 distribution is assumed to be independent of the  $K^+\pi^-\mu^+\mu^-$  invariant mass  
702 for  $m_{K\pi\mu^+\mu^-} > 5150 \text{ MeV}/c^2$ .

703 In the likelihood fit for the angular observables, the background shapes  
704 in each of the angles are limited to  $\mathcal{O}(2)$  (i.e. they are parabolic). Higher  
705 order background shapes are investigated as a potential source of systematic  
706 uncertainty.

707 The factorisation assumption is validated using events in the upper  $K^+\pi^-\mu^+\mu^-$   
708 mass sideband and a point-to-point dissimilarity test [1] to form an unbinned  
709 comparison of the angular model and the data. The probability of the test  
710 statistic being smaller than the value observed for the data is 25% (Fig. 11).

### 711 9.2 Background distribution in the sidebands

712 The  $q^2$ -distribution of events in the lower (defined as  $5150 < m_{K^+\pi^-\mu^+\mu^-} <$   
713  $5220 \text{ MeV}/c^2$ ) and upper ( $5350 < m_{K^+\pi^-\mu^+\mu^-} < 5800 \text{ MeV}/c^2$ ) mass sidebands  
714 are shown in Fig. 12(a). The  $\chi^2$  probability for the normalised distributions  
715 of the left and right sidebands to come from the same parent distribution  
716 is 30%, i.e. the two sidebands are statistically compatible with each other.  
717 This is an important check for the method used for the extraction of the  
718 zero-crossing point described in section 21.

719 The angular fit is done independently for the different bins of  $q^2$ , therefore  
720 it is not strictly required that the  $q^2$  distribution is the same for the two  
721 sidebands. However, it is assumed that the sideband angular distributions  
722 describe the combinatorial background in the signal region. Figs. 12 (b), (c)  
723 and (d) show the comparison between the angular distributions for the left  
724 and the right sideband. The  $\chi^2$  probability for the angular distributions of  
725 the two sidebands ranges from 16% to 60%. The angular distributions of

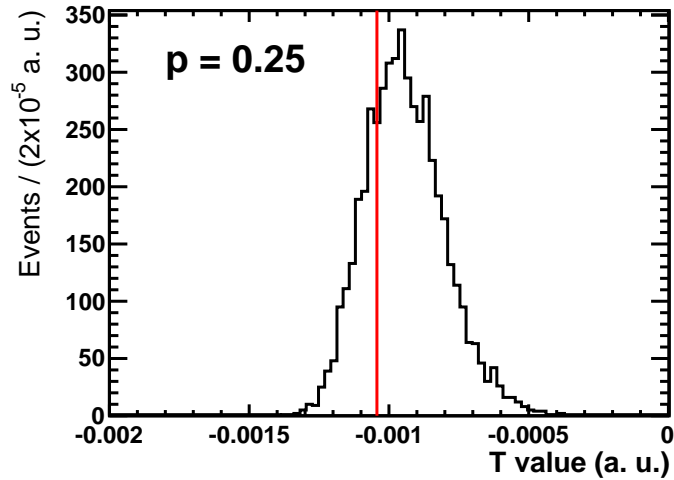


Figure 11: Distribution of the test statistic,  $T$ , from a point-to-point dissimilarity test made using the factorised background angular model in the upper mass sideband. The distribution from toy experiments is shown by the curve and the value in data by the vertical line. The probability,  $P(T \leq T_{\text{data}}) = 25\%$ .

726 the two sidebands are therefore also statistically compatible with each other.  
 727 This also demonstrates that there is no anomalous contamination of double  
 728 semi-leptonic decays in the low-mass sideband (and by extension the signal  
 729 region).

730 If the lower mass sideband is extended down to a  $K^+ \pi^- \mu^+ \mu^-$  invariant  
 731 mass of  $5000 \text{ MeV}/c^2$ , there is no longer good agreement between the back-  
 732 ground angular and  $q^2$  distribution between the upper and lower (left- and  
 733 right-) mass sidebands. This is expected due to contamination from double  
 734 semi-leptonic decays and partially reconstructed backgrounds.

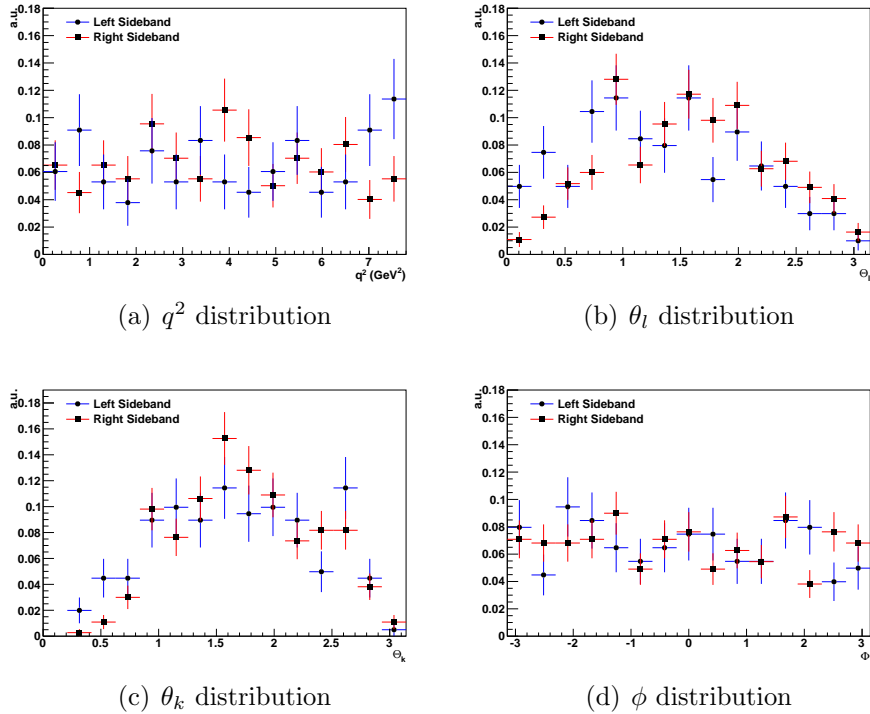


Figure 12: Comparison between the left and the right sideband for the  $q^2$  and the angular distributions.

### 735 9.3 Angular resolution

736 The signal angular resolution is studied using simulated events. The resolu-  
 737 tion in  $\theta_K$ ,  $\theta_\ell$  and  $\phi$  in physics MC (in the  $q^2$  range  $4m_{\mu^2} < q^2 < 19 \text{ GeV}^2/c^4$ )  
 738 is shown in Fig. 13. The resolution is sufficiently good to have a negligible  
 739 impact on the signal angular fit. No large dependence of the resolution on  
 740  $q^2$  is seen.

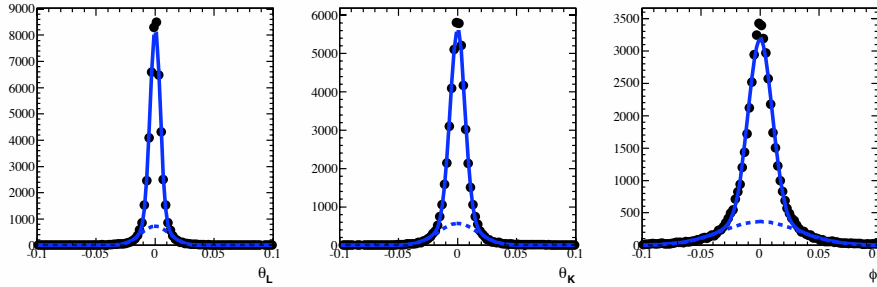


Figure 13: Signal angular resolution in  $\theta_K$ ,  $\theta_\ell$  and  $\phi$  as measured using SM-like simulated events.

#### 741 9.4 $B^0 \leftrightarrow \bar{B}^0$ mis-identification

742 If a  $\bar{B}^0$  decay is mis-identified as a  $B^0$  decay by exchanging the kaon and  
 743 pion, then  $\cos \theta_\ell \rightarrow -\cos \theta_\ell$ ,  $\cos \theta_K \rightarrow -\cos \theta_K$  and  $\phi \rightarrow -\phi$ . This exchange  
 744 has dilutes the measured forward-backward asymmetry and  $A_{Im}$ , but has no  
 745 impact on  $A_T^2$  and  $F_L$ .

$$A_{FB} \rightarrow (1 - 2\omega_{ID})A_{FB}$$

$$A_{Im} \rightarrow (1 - 2\omega_{ID})A_{Im}$$

746 for a  $B^0 \leftrightarrow \bar{B}^0$  (equivalently  $K^{*0}$  to  $\bar{K}^{*0}$ ) mis-identification probability of  $\omega_{ID}$ .  
 747 This dilution would be exact if kaon and pion mass were identical. In practice  
 748  $m_K > m_\pi$  means that the angular distribution in  $\cos \theta_K$  is not identical to  
 749 the distribution of the signal (exchanging  $\cos \theta_K \rightarrow -\cos \theta_K$ ). From Sec. 3.4,  
 750  $\omega_{ID}$  is estimated to be  $0.85 \pm 0.02\%$ . The mis-identification probability is kept  
 751 constant in the fit, but will be varied as a source of systematic uncertainty.

#### 752 9.5 Physical boundaries for angular observables

753 Tables 10 and 11 below outline the physical ranges of the parameters used  
 754 in the angular analysis. The table also indicates which variables are at some  
 755 level intrinsically correlated. For example,  $A_T^{Re}$ ,  $A_T^2$  and  $A_T^{Im}$  are all related  
 756 through  $A_{||L,R}$  and  $A_{\perp L,R}$ . There are three choices of “physics” parameters:

- 757 1. Transverse observables ( $F_L$ ,  $A_T^2$ ,  $A_T^{Re}$  and  $A_T^{Im}$ );
- 758 2.  $F_L$ ,  $A_{FB}$ ,  $S_3$  and  $S_9$  ;
- 759 3.  $F_L$ ,  $A_{FB}$ ,  $S_3$  and  $A_9$  .

760 In Table. 10,  $A_{\text{Im}}$  refers to both  $S_9$  and  $A_9$ .

Parameter	Range	Comments
$A_{\text{FB}}$	$-\frac{3}{4} < A_{\text{FB}} < \frac{3}{4}$	Parameter correlated to $F_L$ , $S_3$ and $A_{\text{Im}}$
$S_3$	$-\frac{1}{2} < S_3 < \frac{1}{2}$	Parameter correlated to $F_L$ , $A_{\text{FB}}$ and $A_{\text{Im}}$
$A_{\text{Im}}$	$-1 < A_{\text{Im}} < 1$	Parameter correlated to $F_L$ , $S_3$ and $A_{\text{FB}}$
$F_L$	$0 < F_L < 1$	Parameter correlated to $A_{\text{FB}}$ , $A_{\text{Im}}$ and $S_3$

Table 10: The ‘‘physics’’ parameters, their allowed ranges and correlations with the other physics parameters.

Parameter	Range	Comments
$A_T^{\text{Re.}}$	$-1 < A_T^{\text{Re.}} < 1$	Parameter correlated to $A_T^2$ and $A_T^{\text{Im.}}$
$A_T^{\text{Im.}}$	$-1 < A_T^{\text{Im.}} < 1$	Parameter correlated to $A_T^2$ and $A_T^{\text{Re.}}$
$A_T^2$	$-1 < A_T^2 < 1$	Parameter correlated to $A_T^{\text{Re.}}$ and $A_T^{\text{Im.}}$
$F_L$	$0 < F_L < 1$	Parameter un-correlated to other parameters

Table 11: The ‘‘transverse’’ parameters, their allowed ranges and correlations with the other physics parameters.

761 In many cases the physical ranges also correspond to a mathematical  
762 boundary. Beyond the physical range the PDF describing the signal can  
763 become negative. For example a larger value of  $A_T^{\text{Re.}}$  can make the PDF  
764 negative at  $\cos \theta_l \sim \pm 1$ . When  $A_{\text{FB}}$ ,  $F_L$ ,  $A_{\text{Im}}$  and  $S_3 = \frac{1}{2}A_T^2(1 - F_L)$  are used  
765 as the choice of variables, there are mathematical boundaries that require:

$$\begin{aligned}
A_{\text{FB}} &\leq \frac{3}{4}(1 - F_L) \quad , \\
A_{\text{Im}} &\leq \frac{1}{2}(1 - F_L) \quad , \\
S_3 &\leq \frac{1}{2}(1 - F_L) \quad .
\end{aligned}$$

766 These constraints can be seen directly in the differential angular distri-  
767 bution and in the expression for  $A_{\text{FB}}$  in terms of the transversity amplitudes.  
768 If  $|A_0|^2 \rightarrow 1$ , then  $|A_{\parallel}|^2$  and  $|A_{\perp}|^2 \rightarrow 0$  and  $A_{\text{FB}} = 0$ . A similar constraint  
769 exists between  $A_{\text{Im}}$  and  $F_L$ ,  $A_{\text{Im}} \leq \frac{1}{2}(1 - F_L)$ . There are also non-trivial  
770 boundary effects between  $A_{\text{FB}}$ ,  $A_{\text{Im}}$  and  $S_3$ , that cannot be expressed easily.

771 **9.6 Unbinned maximum likelihood fit for the**  
 772 **angular observables**

773 The signal fit parameters are estimated by performing an unbinned maxi-  
 774 mum likelihood fit to the data, weighting the candidates to account for the  
 775 detector acceptance. The acceptance weights are defined as the inverse of  
 776 the efficiency and they are applied in an even-by-event basis. The efficiency  
 777 for each event is extracted as a function of the three angles and  $q^2$  using  
 778 phase space MC simulation. This procedure is described in detail in Sec. 11.  
 779 Multiple candidates are also accounted for by weighting each candidate by  
 780 the inverse of the number of candidates in each event. In practice, the log-  
 781 likelihood,

$$-\log L = - \sum_{i=0}^N \alpha \omega_i \log \left[ f_{\text{sig}} P_{\text{sig.}}(m_{K^+\pi^-\mu^+\mu^-}, \vec{\Omega}_i; \vec{\lambda}_{\text{sig}}) + \right. \\ \left. (1 - f_{\text{sig}}) P_{\text{bkg.}}(m_{K^+\pi^-\mu^+\mu^-}, \vec{\Omega}_i, \vec{\lambda}_{\text{bkg}}) \right]$$

782 is minimised, where  $\vec{\lambda}_{\text{sig}}$  are the physics parameters,  $f_{\text{sig}}$  is the signal fraction  
 783 and  $\vec{\Omega} = (\cos \theta_l, \cos \theta_K, \phi)$ . The weights,  $\omega_i$  are normalised such that the sum  
 784 of the weights is the number of candidates, i.e.

$$\sum_{i=0}^N \alpha \omega_i = N \quad .$$

785 in each  $q^2$  bin, where  $\alpha$  is a scale-factor used to normalise the weights. With  
 786 this normalisation the weighted “pseudo-likelihood” has a habit of under-  
 787 covering. This is due to the fact that the correct scaling of the log likeli-  
 788 hood is distorted by the weights. Unfortunately the normalisation applied  
 789 is only a first order correction. Toy Monte Carlo studies showed that the  
 790 under-coverage is approximately given by  $\sum w_i^2 / \sum w_i$ , which in our case  
 791 corresponds to a correction to the error of about 10%.

792 The full signal PDF is given by:

$$P_{\text{sig}}(m_{K^+\pi^-\mu^+\mu^-}, \vec{\Omega}_i, \vec{\lambda}_{\text{bkg}}) = M(m_{K^+\pi^-\mu^+\mu^-} | \sigma_1, \sigma_2, \alpha, n) \times \\ \left( \int_{q_{\text{min}}^2}^{q_{\text{max}}^2} \frac{1}{\Gamma} \frac{d^4 \Gamma}{dq^2 d \cos \theta_l d \cos \theta_K d \phi} dq^2 \right)$$

793 where the signal angular distribution is averaged over the  $q^2$ -bin. The back-  
 794 ground PDF is given by:

$$P_{\text{bkg}}(m_{K^+\pi^-\mu^+\mu^-}, \vec{\Omega}_i, \vec{\lambda}_{\text{bkg}}) = E(m_{K^+\pi^-\mu^+\mu^-} | p_0) \times \left( \sum_{k=0}^n c_k^l T_k(\cos \theta_l) \right) \left( \sum_{k=0}^n c_k^K T_k(\cos \theta_K) \right) \left( \sum_{k=0}^n c_k^\phi T_k(\phi) \right)$$

795 where the background angular distribution is parametrised as the product of  
 796 three Chebychev polynomials (of the first kind).

797 Details of the fit performed in data and of the error computation are given  
 798 in Sec. 15.

## 799 9.7 Free parameters in the likelihood fit

800 In addition to the 4 physics parameters, there are 8 further free parameters  
 801 in each of the likelihood fits. The free parameters are summarised in the  
 802 Table. 12.

Number	Names	Description
2	$c_1^l, c_2^l$	Parameters describing the background in $\cos \theta_l$
2	$c_1^K, c_2^K$	Parameters describing the background in $\cos \theta_K$
2	$c_1^\phi, c_2^\phi$	Parameters describing the background in $\phi$
1	$p_0$	Parameter describing the background mass distribution
1	$f_{\text{sig.}}$	Signal fraction

Table 12: Description of the free parameters in the log-likelihood fit for the angular observables.

## 803 10 Data-MC corrections

804 The MC samples used to estimate the contribution from peaking backgrounds  
805 and detector / selection acceptance effects have been corrected for data-  
806 MC differences. These differences are corrected for in two different ways,  
807 depending on whether or not the correction is required before the application  
808 of the BDT. If the variable is not present in the BDT, the MC is re-weighted  
809 to account for data-MC differences. If the variable is used in the BDT the  
810 variable is adjusted (or replaced) before the application of the BDT. Variables  
811 that are used in the BDT include the:

- 812 • impact parameter of the  $B^0$  and the four final state particles;
- 813 • kaon and pion identification ( $DLL_{K\pi}$ ) of the  $K^+$  and  $\pi^-$ ;
- 814 • muon  $DLL_{\mu\pi}$  of the  $\mu^+$  and  $\mu^-$ .

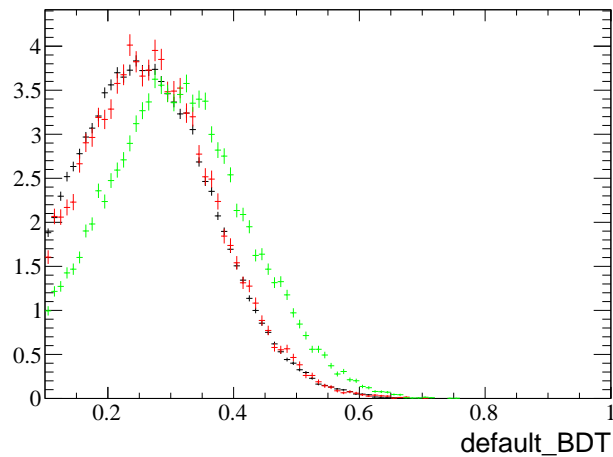
815 There are differences in the impact parameter resolution between data  
816 and the simulation, which have been observed by several analysis. In order  
817 to account for these differences, the track states of each of the simulated  
818 tracks used to reconstruct the offline selected candidates are smeared using  
819 the `Phys/TrackSmearing` tool.

820  
821 The pion and kaon identification performance of the LHCb detector is  
822 studied using the `RICH PIDCalib` tools in data using samples of genuine pi-  
823 ons and kaons selected from the decays  $D^{*+} \rightarrow D^0 \pi^+$  where  $D^0 \rightarrow K^- \pi^+$ . In  
824 order to properly account for the differences in PID performance, the DLL  
825 of pions and kaons in the MC are replaced by sampling from the various  
826 DLL distributions of genuine kaon or pions in the data. For each kaon and  
827 pion a new value of  $DLL_{K-\pi}$  is assigned according to the momentum and  
828 pseudo-rapidity of the particle. This new DLL value is then used in the  
829 BDT. For the DLL variables for muons, an analogous procedure is used, but  
830 using a tag-and-probe approach with  $B^+ \rightarrow J/\psi K^+$ , where  $J/\psi \rightarrow \mu^+ \mu^-$   
831 in data. The  $B^+ \rightarrow J/\psi K^+$  sample is obtained from the stripping line  
832 `MuIDCalib_JpsiKFromBNoPIDNoMIP`, which does not apply any cut on a probe  
833 track.

834 In addition the MC is re-weighted to account for differences in the rel-  
835 ative tracking efficiency between data and MC and for differences in the  
836 efficiency of the `IsMuon` requirement (which is applied in the Stripping). Fi-  
837 nally the MC samples have been re-weighted to account for differences in  
838 the occupancy between data and MC (using the size of the `Rec/Track/Best`  
839 container).



840 The BDT response after the application of the trigger, stripping and  
 841 offline selection, for  $B^0 \rightarrow K^{*0} J/\psi$  candidates is shown in Fig. 14. This  
 842 demonstrates that there is in general an excellent agreement between the MC  
 843 and data (for the control channel) after the MC tuning procedure, whereas  
 844 the agreement before the MC tuning is poor (see also Appendix. A.1).



(a) BDT output distribution

Figure 14: BDT response for offline selected candidates  $B^0 \rightarrow J/\psi K^{*0}$  in the data and the MC. The three distributions are Data (Black), data-corrected simulated events (Red) and uncorrected simulated events (Green)

845 Other data/MC comparisons can be found in the appendix of this note  
 846 (see Sec. A).

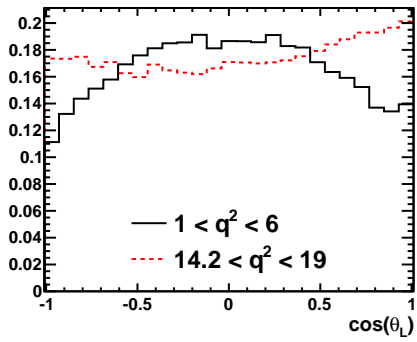
## 847 11 Acceptance correction

848 The reconstruction, trigger and selection each bias the angular and  $q^2$  dis-  
849 tributions that are to be measured. For example, for muon candidates to be  
850 reconstructed, they must have at least the 3 GeV/c momentum required to  
851 traverse the iron muon filter and to leave hits in all the muon stations. This  
852 has the effect of warping the  $\cos\theta_l$  distribution, removing candidates with  
853  $\cos\theta_l$  close to one. Similarly, in  $\cos\theta_K$ , the impact parameter (IP) require-  
854 ments made in the trigger algorithms remove events with extreme values of  
855  $\cos\theta_K$ , as very forward-going hadrons tend to have lower IP. A second effect  
856 in  $\cos\theta_K$  originates from the low boost of backward-going hadrons at ex-  
857 treme  $\cos\theta_K$ , given the minimum momentum required to traverse the dipole  
858 magnet and tracking stations. The acceptance effect in  $\cos\theta_K$  is asymmetric  
859 as the kaon tends to be more energetic than the pion after the boosts.

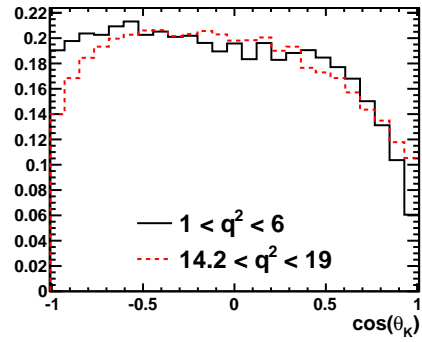
860 In order to correctly determine the physics parameters that describe the  
861 angular distribution, these ‘acceptance effects’ must be accounted for. In the  
862 present analysis this is done by weighting the events that are selected by the  
863 inverse of their efficiency in the maximum-likelihood fit to the angular (or  $q^2$ -)  
864 distribution. The use of event-by-event weights to correct for the acceptance,  
865 rather than describing the acceptance in the fit, is driven by the variation  
866 of the angular efficiency with  $q^2$ . This variation in  $q^2$  can be significant  
867 compared to the size of the  $q^2$ -bins used in the analysis. Consequently it is not  
868 possible to include a single PDF that describes the shape of the acceptance  
869 in  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  in a fit to the angular distribution of the daughters.

870 A factorised approach has been adopted for the angular efficiency. The  
871 factorised approach treats the angular efficiency as a function of  $\cos\theta_l$ ,  $\cos\theta_K$   
872 and  $\phi$  independently. The efficiency in  $q^2$  does not factorise and is instead  
873 binned in  $0.5 \text{ GeV}^2/c^4$   $q^2$ -bins, for the region above  $6.0 \text{ GeV}^2/c^4$ . At low  $q^2$ ,  
874 where the acceptance varies more rapidly,  $0.1 \text{ GeV}^2/c^4$   $q^2$ -bins are taken for  
875 the region below  $1.0 \text{ GeV}^2/c^4$ , and  $0.2 \text{ GeV}^2/c^4$   $q^2$ -bins elsewhere. This bin  
876 size is more than four times narrower than the smallest of the  $q^2$ -bins used  
877 in the analysis. In each of these small  $q^2$ -bins a different angular efficiency is  
878 used to calculate the event weights.

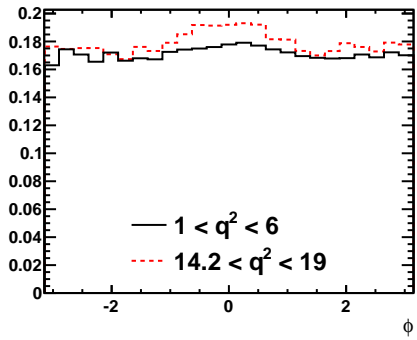
879 After applying the trigger and the full offline selection, approximately  
880 two million events remain in the large  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  phase-space sample  
881 for estimating the acceptance correction. These events were generated flat  
882 in  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  and have a falling distribution in  $q^2$ .



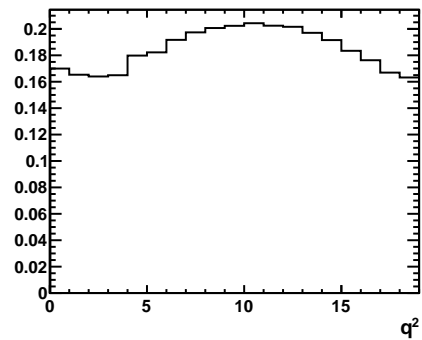
(a)



(b)



(c)



(d)

Figure 15: The reconstruction, trigger and offline selection pseudo-efficiencies as a function of the kinematic variables in  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  SM MC. The variation of the angular efficiencies at low- and high- $q^2$  is included for reference.

## 883 11.1 Exploiting symmetries in the acceptance correc- 884 tion

885 To maximise the available MC statistics, the efficiency distribution is folded  
886 in  $\cos \theta_l$  and in  $\phi$ . The  $\cos \theta_l$  distribution is assumed to be symmetric about  
887  $\cos \theta_l = 0$ . For this assumption not to be true there would need to be both  
888 a large difference in the efficiency for  $\mu^+$  and  $\mu^-$  (that doesn't cancel when  
889 the dipole field is flipped) and a large  $\mathcal{CP}$  asymmetry between  $B^0$  and  $\bar{B}^0$ .

890 The efficiency in the  $\phi$  angle is assumed to be symmetric with respect to  
891 the translation of  $\phi \rightarrow \phi + \pi$ . The combination of folding the efficiency in  $\phi$   
892 and in  $\cos \theta_l$  increases the effective MC statistics by a factor of four.

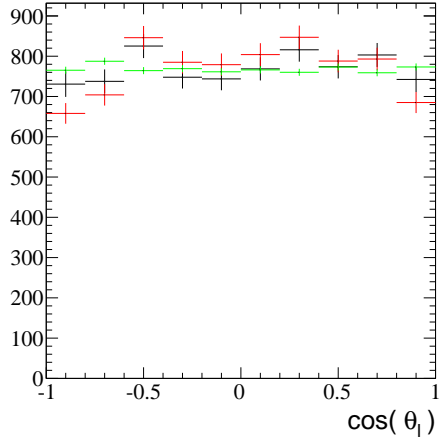
## 893 11.2 Testing the acceptance correction

894 The acceptance correction is verified on MC and later cross-checked using  
895  $B^0 \rightarrow K^{*0} J/\psi$  data (Sec. 13). Offline selected phase space MC events are  
896 used to verify the performance on MC. The generator level distributions of  
897 the phase-space events are flat in  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  and hence provide a  
898 good test of the re-weighting. For a given bin in the angular variables, “ $b$ ”,  
899 the number of events after the acceptance correction is:

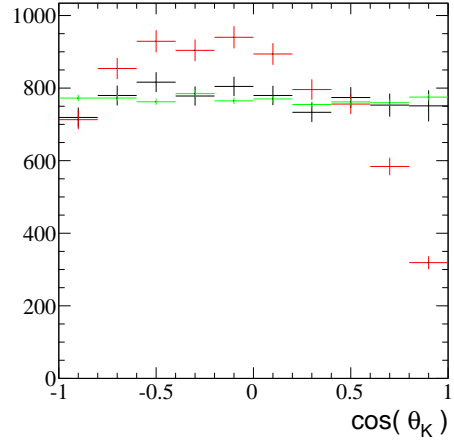
$$N_b = \sum_{i=0}^N \frac{1}{\varepsilon_i(\cos \theta_l, \cos \theta_K, \phi, q^2)}$$

900 If the acceptance correction correctly reproduces the effects of the trigger,  
901 reconstruction, stripping and offline selection then, the distribution of  $N_b$   
902 across the angular variables should be the same as the generator level distri-  
903 bution.

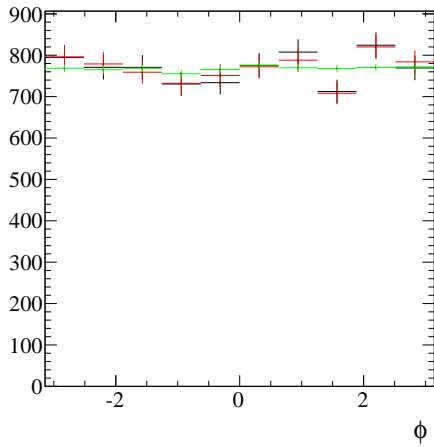
904 The performance of the factorised acceptance correction on an indepen-  
905 dent sample of phase space M is shown in Fig. 16. The generator level  
906 distributions for  $\cos \theta_l$ ,  $\cos \theta_K$ ,  $\phi$  and  $q^2$  are compared to the distributions  
907 after the offline selection, reconstruction, trigger and stripping and to the  
908 distribution of candidates weighting for the expected acceptance effect. Af-  
909 ter the acceptance correction the candidates are flat in  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$   
910 and accurately reproduce the generator level distributions.



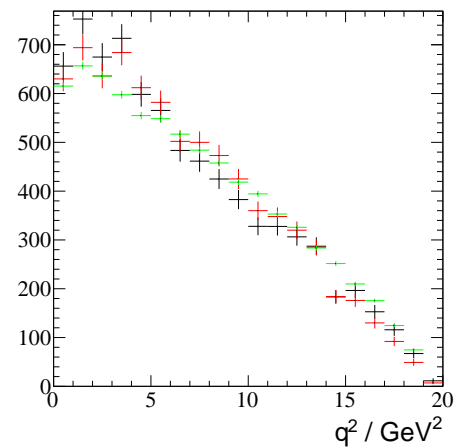
(a)  $\cos \theta_l$



(b)  $\cos \theta_K$



(c)  $\phi$



(d)  $q^2$

Figure 16: The effect of the factorised acceptance correction as a function of the angular variables,  $\cos \theta_l$ ,  $\cos \theta_K$ ,  $\phi$  and of  $q^2$ . Figs (a,b,c,d) show the original distribution before correction (red), the corrected distribution (black) and the expected distribution (green). The corrected distributions match the expected distributions, with increased corrections both towards extreme  $\cos \theta_l$  values and the low  $q^2$  region.

### 911 **11.3 Systematic uncertainty associated with the ac-** 912 **ceptance correction**

913 No evidence is seen indicating that the angular efficiency in each of the 0.5  
914  $\text{GeV}^2/c^4$   $q^2$ -bins can not be factorised into three one-dimensional angular  
915 efficiencies. It is however very difficult to quantify the level to which these  
916 assumptions hold, beyond stating that it appears to hold at the level of  
917  $\sim 5 - 10\%$  (see Appendix B).

918 Practically, a conservative estimate for the systematic uncertainty on the  
919 acceptance correction is estimated by systematically varying the acceptance  
920 correction in  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  by 5%, in a way that would introduce the  
921 maximum bias in the physics parameters: e.g. by fluctuating the efficiency  
922 of events with  $\cos\theta_l \sim \pm 1$  up or down by 5% to introduce a bias in  $A_{\text{FB}}$  or  
923 events with  $\cos\theta_K \sim 0$  up or down by 5% to bias  $F_L$ .

## 924 12 Validation of the angular analysis with toy- 925 MC

926 This section details the results of a toy-MC studies with the expected signal  
927 and background yield in  $1 \text{ fb}^{-1}$  for  $0.1 < q^2 < 2.0 \text{ GeV}^2/c^4$ . This  $q^2$  range has  
928 been chosen for illustrative purposes and similar results are achieved in the  
929 other  $q^2$  bins (with the caveats outlined below). Toy datasets were generated  
930 with  $A_{\text{FB}}$ ,  $F_L$ ,  $S_3$  and  $S_9$  values as measured in Ref. [8] ( $A_{\text{FB}} = -0.02$ ,  $F_L =$   
931  $0.36$ ,  $A_T^2 = -0.16$  and  $S_9 = 0.06$ ). Five hundred datasets were generated.

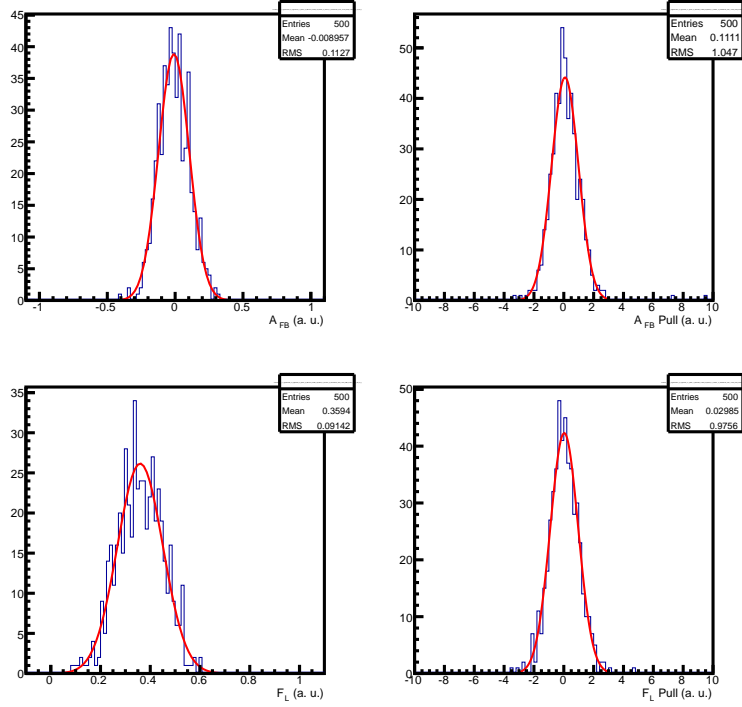
932 An additional 500 datasets were generated including an S-wave compo-  
933 nent with parameter values  $A_S = -0.2$  and  $F_S = 0.08$ , which correspond to  
934 the values seen in  $B^0 \rightarrow K^{*0} J/\psi$ . In each case, the fit pdf did not contain an  
935 S-wave component, effectively constraining  $A_S = 0$  and  $F_S = 0$ . This tests  
936 the impact of the S-wave component on the fit.

937 Signal candidates have been accept-rejected according to the acceptance  
938 correction described in Sec. 11 and re-weighted in the subsequent fit. The  
939 effect of the weighted data on the error matrix was corrected using a ‘sum of  
940 weights’ correction provided by `RooFit`. Background events were generated  
941 flat in the angles but were modelled with a second order polynomial in the  
942 fit.

943 Pulls have been calculated from the difference between the generated  
944 value of  $A_{\text{FB}}$ ,  $F_L$ ,  $S_3$  and  $S_9$ , and the value returned by the likelihood fit,  
945 divided by the parabolic error from the covariance matrix of the likelihood  
946 fit.

### 947 12.1 MC validation for the observables 948 $A_{\text{FB}}$ , $F_L$ , $S_3$ and $S_9$ .

949 The distribution of fit results for each of the observables  $A_{\text{FB}}$ ,  $F_L$ ,  $S_3$  and  $S_9$   
950 are shown in Fig. 17 ( $A_{\text{FB}}$  and  $F_L$ ), Fig. 18 ( $S_3$  and  $S_9$ ). The experimental  
951 uncertainty, pull centre and pull width for each observable are summarised  
952 in Table. 13.



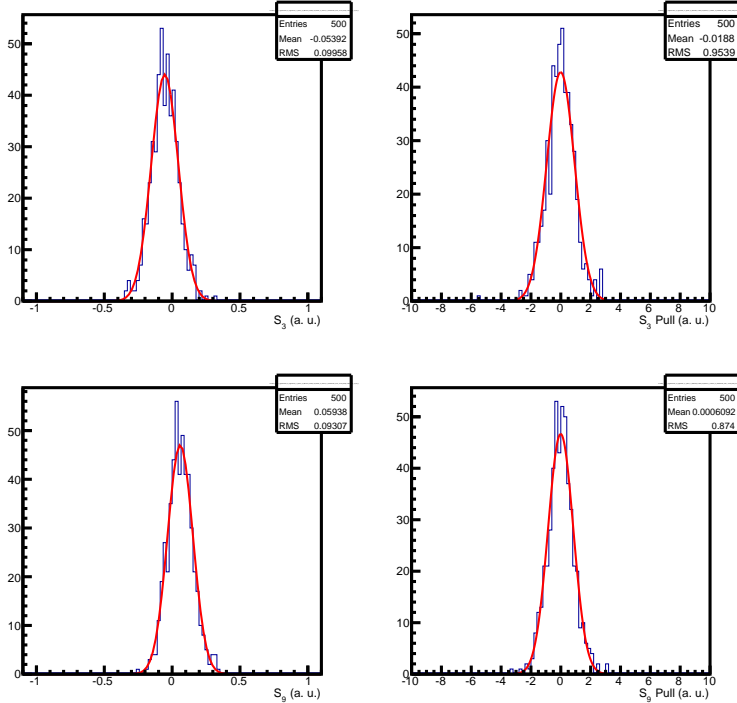
(a)

Figure 17: Distribution of fitted values (left), and pull distribution (right), for the observables  $A_{FB}$  (top) and  $F_L$  (bottom) for 500 toy MC datasets when fitting for  $A_{FB}$  and  $S_9$ .



Observable	Experimental Uncertainty	Pull Centre	Pull Width
$A_{\text{FB}}$	$0.113 \pm 0.005$	$0.083 \pm 0.041$	$0.899 \pm 0.029$
$F_{\text{L}}$	$0.091 \pm 0.004$	$0.029 \pm 0.042$	$0.935 \pm 0.031$
$S_3$	$0.100 \pm 0.004$	$-0.010 \pm 0.042$	$0.930 \pm 0.031$
$S_9$	$0.093 \pm 0.004$	$-0.007 \pm 0.038$	$0.845 \pm 0.027$

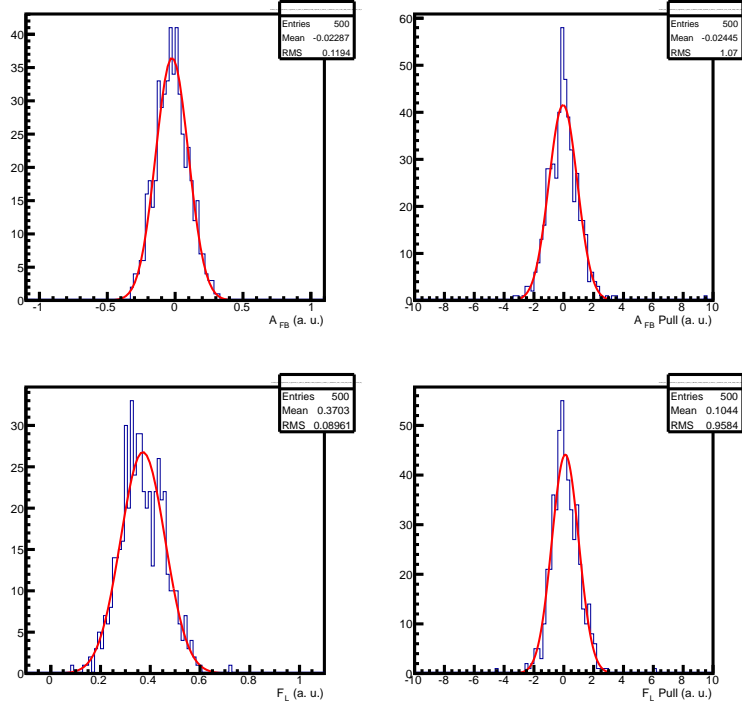
Table 13: Results of fits to 500 toy experiments for the observables  $A_{\text{FB}}$ ,  $F_{\text{L}}$ ,  $S_3$  and  $S_9$ .



(a)

Figure 18: Distribution of fitted values (left), and pull distribution (right), for the observables  $S_3$  (top) and  $S_9$  (bottom) for 500 toy MC datasets when fitting for  $A_{\text{FB}}$  and  $S_9$ .

953 The distribution of fit results, when generating with  $B^0 \rightarrow K^{*0} J/\psi$ -like  
954 swave, for each of the observables are shown in Fig. 19 ( $A_{\text{FB}}$  and  $F_{\text{L}}$ ), Fig. 20  
955 ( $S_3$  and  $S_9$ ). The experimental uncertainty, pull centre and pull width for  
956 each observable are summarised in Table. 14.

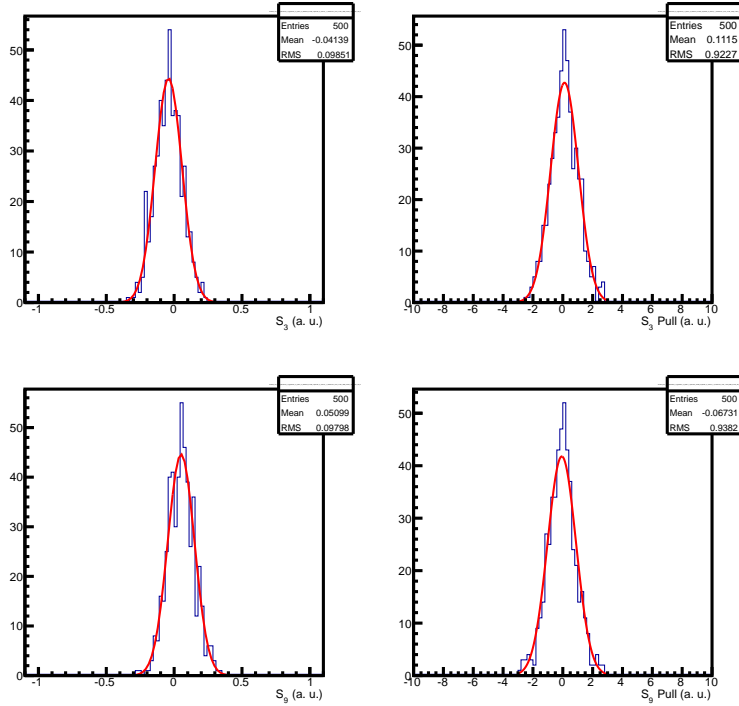


(a)

Figure 19: Distribution of fitted values (left), and pull distribution (right), for the observables  $A_{FB}$  (top) and  $F_L$  (bottom) for 500 toy MC datasets when fitting for  $A_{FB}$  and  $S_9$  in the presence of a  $B^0 \rightarrow K^{*0} J/\psi$ -like s-wave.

Observable	Experimental Uncertainty	Pull Centre	Pull Width
$A_{\text{FB}}$	$0.120 \pm 0.005$	$-0.044 \pm 0.043$	$0.951 \pm 0.032$
$F_{\text{L}}$	$0.089 \pm 0.004$	$0.100 \pm 0.041$	$0.900 \pm 0.030$
$S_3$	$0.099 \pm 0.004$	$0.117 \pm 0.042$	$0.934 \pm 0.031$
$S_9$	$0.098 \pm 0.004$	$-0.065 \pm 0.043$	$0.953 \pm 0.032$

Table 14: Results of fits to 500 toy experiments including the s-wave component for the observables  $A_{\text{FB}}$ ,  $F_{\text{L}}$ ,  $S_3$  and  $S_9$ .



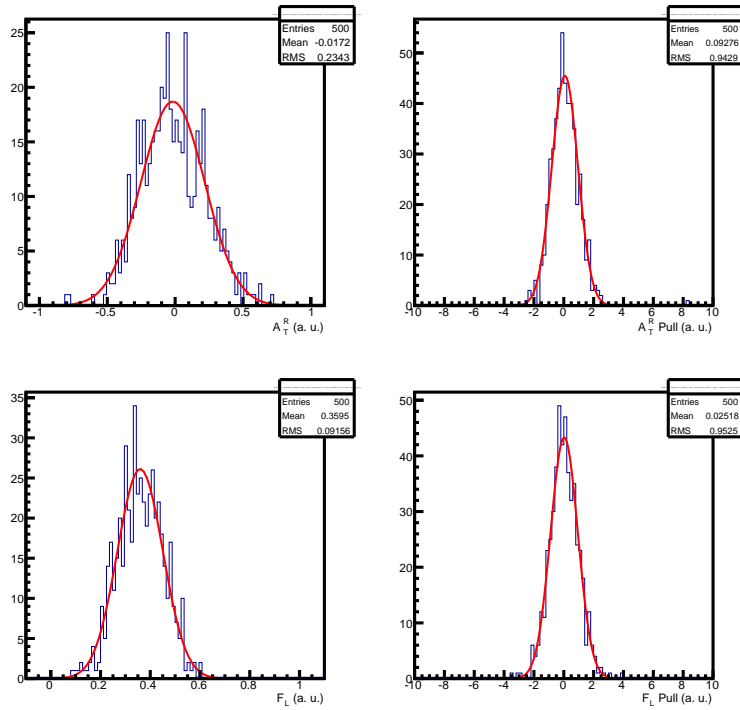
(a)

Figure 20: Distribution of fitted values (left), and pull distribution (right), for the observables  $S_3$  (top) and  $S_9$  (bottom) for 500 toy MC datasets when fitting for  $A_{\text{FB}}$  and  $S_9$  in the presence of a  $B^0 \rightarrow K^{*0} J/\psi$ -like s-wave.

957 **12.2 MC validation for the transverse**  
 958 **observables ( $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  $A_T^{Im}$ )**

959 The study outlined above was repeated, however the fitting scheme was  
 960 changed to fit for the observables  $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  $A_T^{Im}$ .

961 The distribution of fit results for each of the observables are shown in  
 962 Fig. 21 ( $A_T^{Re}$  and  $F_L$ ), Fig. 22 ( $A_T^2$  and  $A_T^{Im}$ ). The experimental uncertainty,  
 963 pull centre and pull width for each observable are summarised in Table. 15.

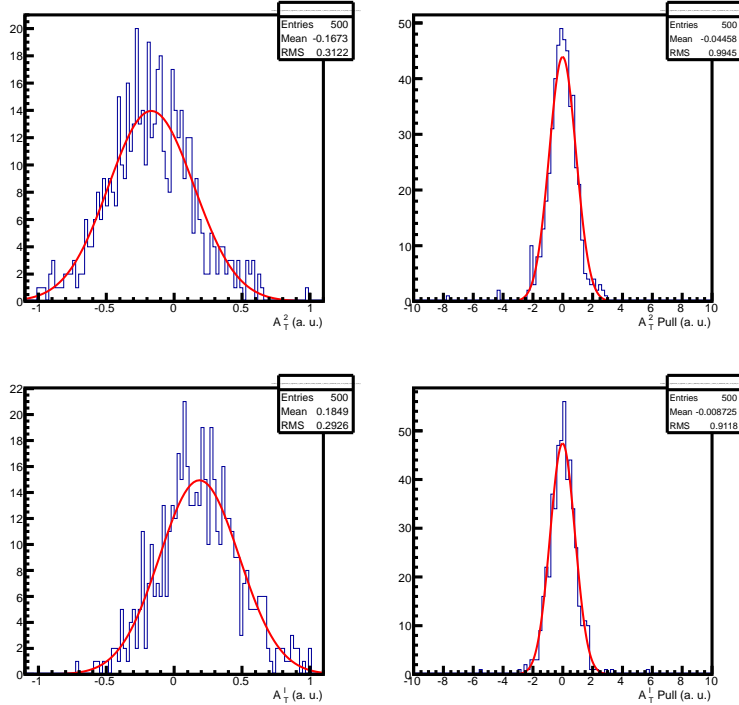


(a)

Figure 21: Distribution of fitted values (left), and pull distribution (right), for the observables  $A_T^{Re}$  (top) and  $F_L$  (bottom) for 500 toy MC datasets when fitting for  $A_T^{Re}$  and  $A_T^{Im}$ .

Observable	Experimental Uncertainty	Pull Centre	Pull Width
$A_T^{Re}$	$0.235 \pm 0.010$	$0.074 \pm 0.039$	$0.876 \pm 0.028$
$F_L$	$0.092 \pm 0.004$	$0.028 \pm 0.041$	$0.913 \pm 0.030$
$A_T^2$	$0.315 \pm 0.014$	$-0.010 \pm 0.041$	$0.900 \pm 0.030$
$A_T^{Im}$	$0.294 \pm 0.013$	$-0.015 \pm 0.038$	$0.833 \pm 0.027$

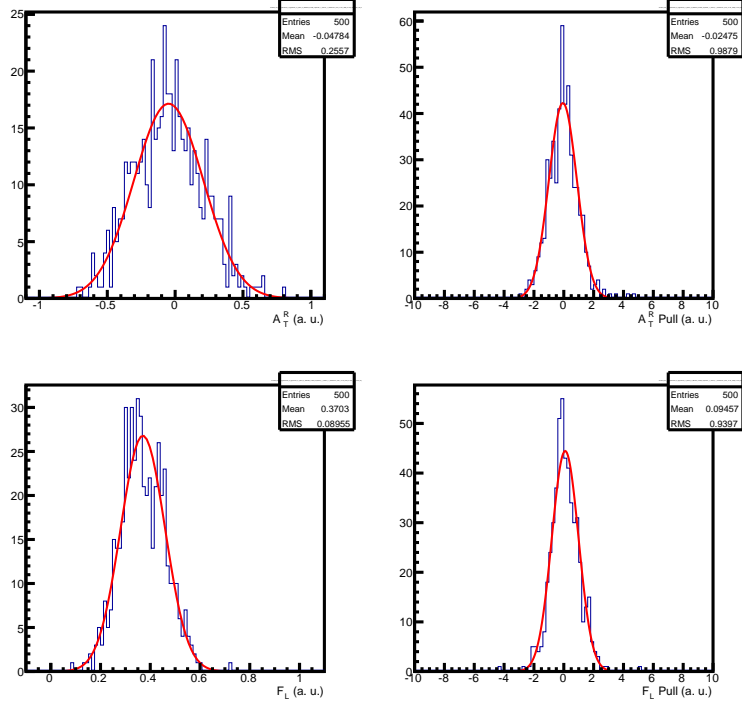
Table 15: Results of fits to 500 toy experiments for the observables  $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  $A_T^{Im}$ .



(a)

Figure 22: Distribution of fitted values (left), and pull distribution (right), for the observables  $A_T^2$  (top) and  $A_T^{Im}$  (bottom) for 500 toy MC datasets when fitting for  $A_T^{Re}$  and  $A_T^{Im}$ .

964 The distribution of fit results, when generating with  $B^0 \rightarrow K^{*0} J/\psi$ -like  
965 swave, for each of the observables are shown in Fig. 23 ( $A_T^{Re}$  and  $F_L$ ), Fig. 24  
966 ( $A_T^2$  and  $A_T^{Im}$ ). The experimental uncertainty, pull centre and pull width for  
967 each observable are summarised in Table. 16.

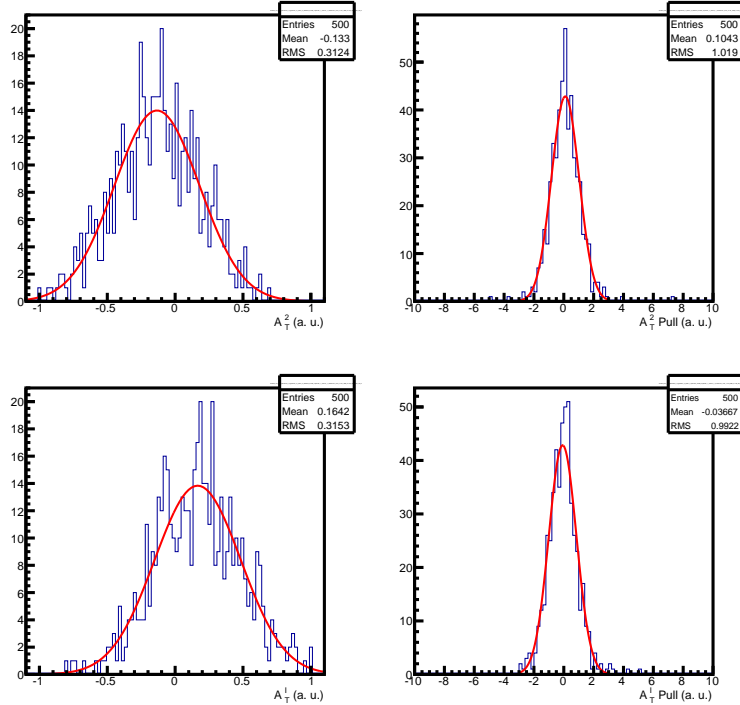


(a)

Figure 23: Distribution of fitted values (left), and pull distribution (right), for the observables  $A_T^{Re}$  (top) and  $F_L$  (bottom) for 500 toy MC datasets when fitting for  $A_T^{Re}$  and  $A_T^{Im}$  in the presence of a  $B^0 \rightarrow K^{*0} J/\psi$ -like s-wave.

Observable	Experimental Uncertainty	Pull Centre	Pull Width
$A_T^{Re}$	$0.256 \pm 0.011$	$-0.059 \pm 0.042$	$0.938 \pm 0.031$
$F_L$	$0.089 \pm 0.004$	$0.092 \pm 0.040$	$0.895 \pm 0.029$
$A_T^2$	$0.314 \pm 0.014$	$0.100 \pm 0.042$	$0.925 \pm 0.031$
$A_T^{Im}$	$0.318 \pm 0.014$	$-0.077 \pm 0.042$	$0.923 \pm 0.031$

Table 16: Results of fits to 500 toy experiments including the s-wave component for the observables  $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  $A_T^{Im}$ .



(a)

Figure 24: Distribution of fitted values (left), and pull distribution (right), for the observables  $A_T^2$  (top) and  $A_T^{Im}$  (bottom) for 500 toy MC datasets when fitting for  $A_T^{Re}$  and  $A_T^{Im}$  in the presence of a  $B^0 \rightarrow K^{*0} J/\psi$ -like s-wave.

968 **13 Validation of the angular analysis with  $B^0 \rightarrow$**   
 969  $K^{*0} J/\psi$

970 The full fitting strategy for  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  has been validated using  $B^0 \rightarrow$   
 971  $K^{*0} J/\psi$  candidates. The angular distribution of these candidates can be well  
 972 described by the same angular distributions (in one, two or three dimensions)  
 973 that were discussed for  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ . The only differences arise from hav-  
 974 ing  $A_{\text{FB}} = 0$  and a single set of amplitudes (with no differentiation between  
 975 left- and right- handedness). These differences have no impact on the form  
 976 of the angular distribution.

977 A fit to the full statistics of the  $B^0 \rightarrow K^{*0} J/\psi$  sample is described in  
 978 Sec. 13.2. A more appropriate comparison to  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  is made by  
 979 then splitting the large  $B^0 \rightarrow K^{*0} J/\psi$  sample in the data into small 100  
 980 event sub-samples, which loosely corresponds to the expected statistics in  
 981 the least occupied  $q^2$  bin.

982 **13.1 Comparison with results from full angular analy-**  
 983 **sis at LHCb and BaBar**

984 The  $B^0 \rightarrow K^{*0} J/\psi$  transversity amplitudes from a full angular analysis at  
 985 LHCb and BaBar can be found in Tables 17 and 18 respectively. Ignoring  
 986 the  $S$ -wave contribution this gives values of:  $F_L$  of 0.57 and 0.56 respectively;  
 987  $A_T^2$  of -0.14 and 0.05 respectively and  $S_9$  of -0.07 and -0.08 respectively.

	Including $S$ -wave	No $S$ -wave
$ A_{\parallel} ^2$	$0.252 \pm 0.020$	$0.253 \pm 0.020$
$ A_{\perp} ^2$	$0.178 \pm 0.022$	$0.191 \pm 0.019$
$\delta_{\parallel} - \delta_0$	$-2.87 \pm 0.11$	$-2.82 \pm 0.12$
$\delta_{\perp} - \delta_0$	$3.02 \pm 0.10$	$3.07 \pm 0.09$

Table 17:  $B^0 \rightarrow K^{*0} J/\psi$  transversity amplitudes from a full angular analysis with  $36 \text{ pb}^{-1}$  of integrated luminosity at LHCb (from Ref. [20]).



	No $S$ -wave
$ A_{\parallel} ^2$	$0.211 \pm 0.010 \pm 0.006$
$ A_{\perp} ^2$	$0.233 \pm 0.010 \pm 0.005$
$\delta_{\parallel} - \delta_0$	$-2.93 \pm 0.08 \pm 0.04$
$\delta_{\perp} - \delta_0$	$2.91 \pm 0.05 \pm 0.03$

Table 18:  $B^0 \rightarrow K^{*0} J/\psi$  transversity amplitudes from a full angular analysis performed by BaBar (from Ref. [21]).

## 988 13.2 Fitting the full $B^0 \rightarrow J/\psi K^{*0}$ sample

989 The full sample of  $B^0 \rightarrow J/\psi K^{*0}$  events were fitted, with and without an  
990  $S$ -wave component, to extract the observables  $A_T^R$ ,  $F_L$ ,  $A_T^2$  and  $A_T^I$  (and  $A_S$   
991 and  $F_S$ ). A comparison with the results from the BaBar collaborations full  
992 angular analysis of  $B^0 \rightarrow J/\psi K^{*0}$  provides a powerful validation of the  
993 fitting procedure. The fit results are summarised in Table. 19. The values  
994 obtained in the present study are in good agreement with those from BaBar,  
995 with  $A_{\text{FB}} \sim 0$ . Note, the errors are not comparable on  $A_T^2$  because of the use  
996 of a partial angular analysis compared to the full angular analysis by BaBar.

Observable	Present result (w/ $S$ -wave)	Present result (w/o $S$ -wave)	BaBar value (w/o $S$ -wave)
$A_T^{Re}$	$0.009 \pm 0.007$	$0.009 \pm 0.007$	N/A
$F_L$	$0.561 \pm 0.002$	$0.552 \pm 0.002$	$0.56 \pm 0.03$
$A_T^2$	$0.042 \pm 0.015$	$0.029 \pm 0.013$	$0.05 \pm 0.03$
$A_T^{Im}$	$-0.362 \pm 0.016$	$-0.313 \pm 0.014$	$-0.34 \pm 0.05$
$A_S$	$-0.174 \pm 0.003$	N/A	N/A
$F_S$	$0.078 \pm 0.006$	N/A	N/A

Table 19: Comparison of  $B^0 \rightarrow J/\psi K^{*0}$  fit results from the present study, with and without the  $S$ -wave component, with the BaBar result from Ref. [21].

997 Note, there is no first principle reason to expect  $B^0 \rightarrow K^{*0} J/\psi$  to have  
998  $A_T^2 = 0$ . It is non-zero in QCD factorisation [22].

999 The one-dimensional projections of the  $K^+ \pi^- \mu^+ \mu^-$  invariant mass,  $\cos \theta_l$ ,  
1000  $\cos \theta_K$  and  $\phi$  distributions with the fitted PDF are shown in Fig. 25. The  
1001 sinusoidal variation of  $\phi$  results from a non-zero value of  $S_9$  (and  $A_T^{Im}$ ). No  
1002 asymmetry is seen in  $\cos \theta_l$ , but a significant asymmetry is visible in  $\cos \theta_K$ .  
1003 This asymmetry results from interference of the  $K^{*0}(892)$  with a broad  $K^+ \pi^-$   
1004  $S$ -wave.

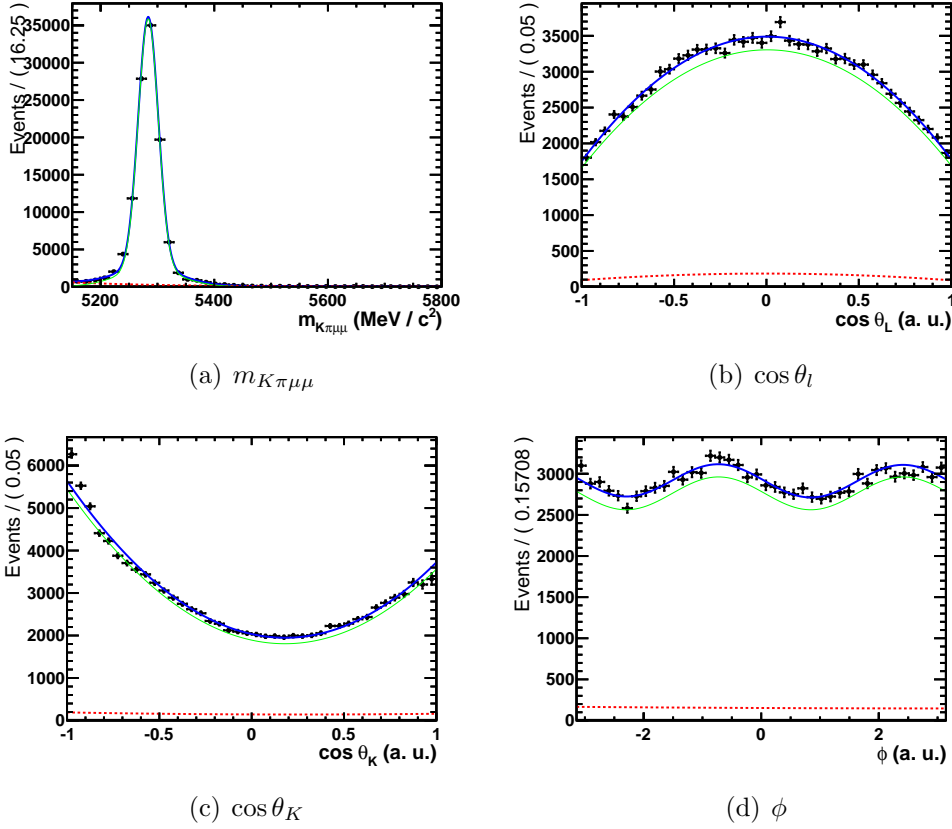


Figure 25: 1D projections of the four fitted quantities for the full  $B^0 \rightarrow J/\psi K^{*0}$  dataset; (a) mass, (b)  $\cos(\theta_L)$ , (c)  $\cos(\theta_K)$  and (d)  $\phi$ . The fitted pdf (blue), the signal-only pdf (green) and background-only pdf (red dash) are overlaid.

1005 The disagreement at  $\cos\theta_K \sim -1$  in Fig. 25 is not understood. The  
 1006 disagreement in the shape is at the level of  $\pm 5\%$  and is covered as a systematic  
 1007 uncertainty. No such disagreement is seen in  $\cos\theta_L$  and  $\phi$ .

### 1008 13.3 Validation using 100 event sub-samples

1009 A further check of the fitting procedure was performed by splitting the full  
 1010  $B^0 \rightarrow J/\psi K^{*0}$  dataset into sub-samples. For this study, 1159 sub-samples  
 1011 of 100 events were used, corresponding roughly to the expected statistics  
 1012 in the least occupied  $q^2$  bin ( $2 < q^2 < 4.3 \text{ GeV}^2/c^4$ ). By fitting each sub-  
 1013 sample individually, the experimental precision and pull distributions in each  
 1014 observable could be analysed in the data. Due to the low level of background  
 1015 in each sub-sample (we expect around 5 background events in the upper

Observable	Experimental Uncertainty	Pull Centre	Pull Width
$A_T^{Re}$	$0.249 \pm 0.006$	$0.017 \pm 0.034$	$0.978 \pm 0.024$
$F_L$	$0.097 \pm 0.002$	$-0.206 \pm 0.041$	$1.160 \pm 0.029$
$A_T^2$	$0.495 \pm 0.017$	$-0.015 \pm 0.032$	$0.903 \pm 0.022$
$A_T^{Im}$	$0.480 \pm 0.017$	$0.207 \pm 0.028$	$0.811 \pm 0.020$

Table 20: Results of 1159 fits to 100 event sub-samples of the  $B^0 \rightarrow J/\psi K^{*0}$  dataset neglecting the  $S$ -wave component.

1016  $B^0$  mass sideband) the polynomial used to model the angular shape of the  
1017 background events was reduced from second to first order. The pull value  
1018 for each sub-sample was calculated using the central value obtained from  
1019 an equivalent fit to the full  $B^0 \rightarrow J/\psi K^{*0}$  dataset. Fits with results at a  
1020 physical boundary are removed, as their errors can not be trusted.

1021 The results of this study, when the  $S$ -wave terms are neglected is sum-  
1022 marised in Table. 20. The pull distribution of  $A_T^2$  and  $A_T^{Im}$  are biased. This  
1023 bias occurs because the experimental uncertainty on the observables is large  
1024 compared to the parameter range.

## 1025 14 Summary of validation studies

1026 The validation studies with toy-MC and  $B^0 \rightarrow K^{*0} J/\psi$  highlight some of the  
1027 difficulties of this analysis:

- 1028 • The impact of the boundaries described in Sec. 9.5 is clearly evident. In  
1029 the toy studies the boundaries show up as a non-Gaussian distribution  
1030 for the results of the toys - which in turn results in pull distributions  
1031 that have a width larger or smaller than one.
- 1032 • In some cases the allowed range of the parameters is small compared  
1033 to the uncertainty on the fits (e.g.  $A_T^2$  for large  $F_L$ ).

1034 This may make it look like the fit performance on toy-MC is poor. It is  
1035 clear that it is not always suitable to trust the covariance matrix returned  
1036 by MINUIT as an estimate of the errors. This is particularly true for any  
1037 parameter that is close to a boundary.

## 1038 15 Angular analysis fit results

1039 This section details the result of the angular fits in the six-plus-one  $q^2$ -bins.  
 1040 Results of fits for both sets of observables,  $\{A_{\text{FB}}, F_{\text{L}}, S_3, S_9$  and  $A_9\}$  and  
 1041  $\{A_{\text{T}}^{\text{Re}}, F_{\text{L}}, A_{\text{T}}^{\text{Im}}$  and  $A_{\text{T}}^2\}$ , are detailed.

1042 The central values for the two sets of observables are shown in Table. 21  
 1043 and Table. 22 respectively.

$q^2(\text{GeV}^2/c^4)$	$A_{\text{FB}}$	$F_{\text{L}}$	$S_3$	$S_9$	$A_9$
$0.10 < q^2 < 2.00$	-0.02	0.37	-0.04	0.05	0.12
$2.00 < q^2 < 4.30$	-0.20	0.74	-0.04	-0.03	0.06
$4.30 < q^2 < 8.68$	0.16	0.57	0.08	0.01	-0.13
$10.09 < q^2 < 12.86$	0.28	0.48	-0.16	-0.01	-0.00
$14.18 < q^2 < 16.00$	0.51	0.33	0.03	0.00	-0.06
$16.00 < q^2 < 19.00$	0.30	0.37	-0.22	0.06	-0.00
$1.00 < q^2 < 6.00$	-0.17	0.65	0.03	0.07	0.03

Table 21: Angular analysis central values for the observables  $A_{\text{FB}}, F_{\text{L}}, S_3, S_9$  and  $A_9$ .

$q^2(\text{GeV}^2/c^4)$	$A_{\text{T}}^{\text{Re}}$	$F_{\text{L}}$	$A_{\text{T}}^2$	$A_{\text{T}}^{\text{Im}}$
$0.10 < q^2 < 2.00$	-0.05	0.37	-0.14	0.16
$2.00 < q^2 < 4.30$	-1.00	0.74	-0.29	-0.23
$4.30 < q^2 < 8.68$	0.50	0.57	0.36	0.05
$10.09 < q^2 < 12.86$	0.71	0.48	-0.60	-0.06
$14.18 < q^2 < 16.00$	1.00	0.33	0.07	0.02
$16.00 < q^2 < 19.00$	0.64	0.37	-0.71	0.18
$1.00 < q^2 < 6.00$	-0.66	0.65	0.17	0.41

Table 22: Angular analysis central values for the observables  $A_{\text{T}}^{\text{Re}}, F_{\text{L}}, A_{\text{T}}^{\text{Im}}$  and  $A_{\text{T}}^2$ .

### 1044 15.1 Error estimation

1045 The estimation of parameter errors is complicated by the presence of math-  
 1046 ematical boundaries in the fit. This is described in Sec. 9. To negate the  
 1047 boundary effects two different methods are pursued when estimating the  
 1048 statistical uncertainties on the angular observables: Feldman-Cousins and  
 1049 MINOS-like  $\Delta LL = \pm \frac{1}{2}$  from the profile-likelihood (in the allowed parame-  
 1050 ter range).

1051 **15.1.1 Feldman-Cousins estimate of the confidence interval**

1052 The Feldman-Cousins technique for determining confidence intervals is de-  
 1053 scribed in Ref. [23]. The application of Feldman-Cousins to estimate the 68%  
 1054 confidence interval is described below, using  $F_L$  as an example. The same  
 1055 process is applied for all four observables in the six-plus-one  $q^2$  bins.

1056 First a fit is performed to estimate the best-fit values for all of the parame-  
 1057 ters, including  $F_L$  and the nuisance parameters,  $\lambda$ . The nuisance parameters  
 1058 include the other angular observables,  $A_{FB}$ ,  $A_{Im}$  and  $S_3$ . This set of fit-  
 1059 parameters will be denoted  $\hat{F}_L$  and  $\hat{\lambda}$ . Next a scan is performed over the full  
 1060 range of  $F_L$  ( $0 < F_L < 1$ ). For each value of  $F_L$ , the likelihood ratio:

$$R^i = \frac{L(\vec{x}|F_L^i, \hat{\lambda}^i)}{L(\vec{x}|\hat{F}_L, \hat{\lambda})}$$

1061 is calculated, where  $\hat{\lambda}^i$  is used to represent the best-fit value for the nuisance  
 1062 parameters with  $F_L$  fixed to be  $F_L^i$ .

1063 At every point in the parameter space 500 toys are generated from  $F_L^i$  and  
 1064  $\hat{\lambda}^i$ , and the likelihood ratio is calculated for each toy. A confidence interval  
 1065 is then determined from the fraction of toys that have  $R_{\text{toy}}^i > R_{\text{data}}^i$ .

1066 Toy-data sets are accept-rejected and then re-weighted to account for the  
 1067 angular acceptance. Without simulating the  $q^2$ -dependence it is not possible  
 1068 to fully reproduce the acceptance effect seen in data. Instead, the acceptance  
 1069 distribution is assumed to be that of the average  $q^2$ -value in the  $q^2$ -bin. The  
 1070 toy-data sets are generated with the maximum likelihood estimate values  
 1071 obtained from the fit to the data with the parameter of interest fixed. When  
 1072 fitting a penalty term has been included in the log-likelihood to penalise com-  
 1073 binations of parameters that are outside the mathematically allowed region  
 1074 of parameter space.

1075 **15.1.2 Potential problems with FC near boundaries**

1076 Problems have been seen with the Feldman-Cousins intervals if parameters  
 1077 are near a mathematical boundary. This is true in several regions of param-  
 1078 eter space, most notably in the  $2 < q^2 < 4.3 \text{ GeV}^2/c^4$   $q^2$ -bin. Whilst FC  
 1079 deals well with having the parameter of interest near a boundary, the fits to  
 1080 the toy-MC can have significant problems if one of other parameters is near  
 1081 the boundary. In cases like this, the minimisation of MINUIT has trouble  
 1082 converging to the correct minimum.

1083 If the MINUIT convergence fails, or the minima exists outside of a valid  
 1084 region of phase-space (i.e. where either the signal or background angular

1085 pdfs go negative), an alternative sequential minimisation is performed.

### 1086 **15.1.3 Falling back on sequential minimisation**

1087 The sequential minimisation is simply a sequence of MINUIT fits where the  
1088 initial parameters of each fit in the sequence are set to the final values of the  
1089 previous fit. The initial parameters for the first fit in the sequence are set to  
1090 sensible values. At the start of each of the fits in the sequence, the partial  
1091 derivatives of the likelihood are computed to estimate sensible step sizes for  
1092 each of the floating parameters. The sequence is ended once the change in  
1093 likelihood value between two fits is less than  $10^{-6}$ , or the sequence is 20 fits  
1094 long.

1095 In some cases it is possible, due to boundary effects and/or parameter  
1096 correlations, that the sequential fit will fail to converge or converge to a local  
1097 minima. To protect against this, the sequential minimisation is performed  
1098 multiple times with a Gaussian fluctuation of the initial signal parameters  
1099 (the parameter values are constrained to the valid region of the phase-space).  
1100 The sequential minimisation that yields the best likelihood value is chosen  
1101 as the best fit result for the signal parameters.

## 1102 **15.2 Candidate distributions**

1103 The distribution of events in mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  in the six  $q^2$ -bins is  
1104 given in Figs. 26-32. The distribution of events in the signal mass window  
1105 and upper mass sideband is shown in Figs. 33-39.

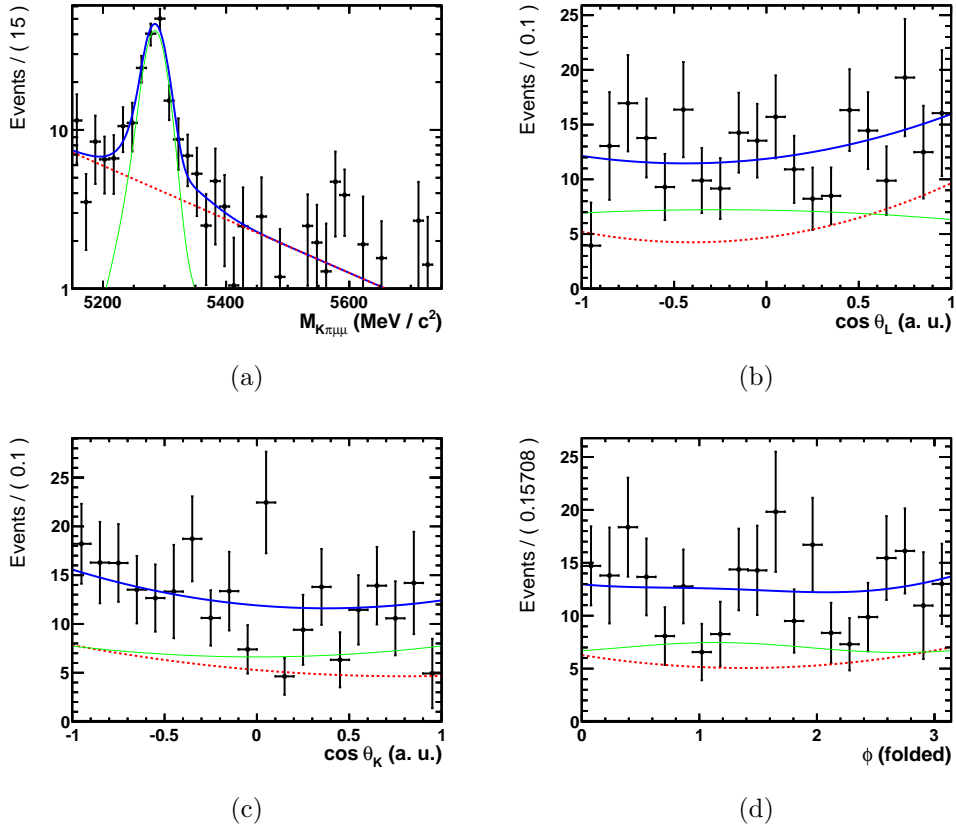


Figure 26: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

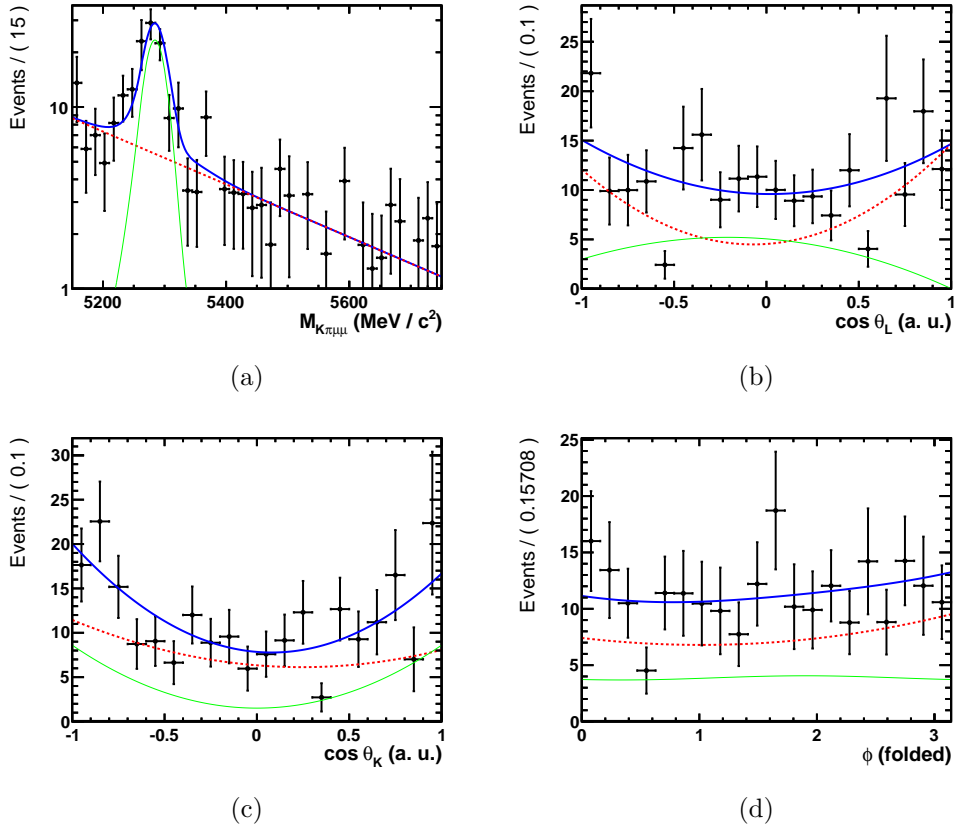


Figure 27: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  distribution of candidates with  $2 < q^2 < 4.3 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.



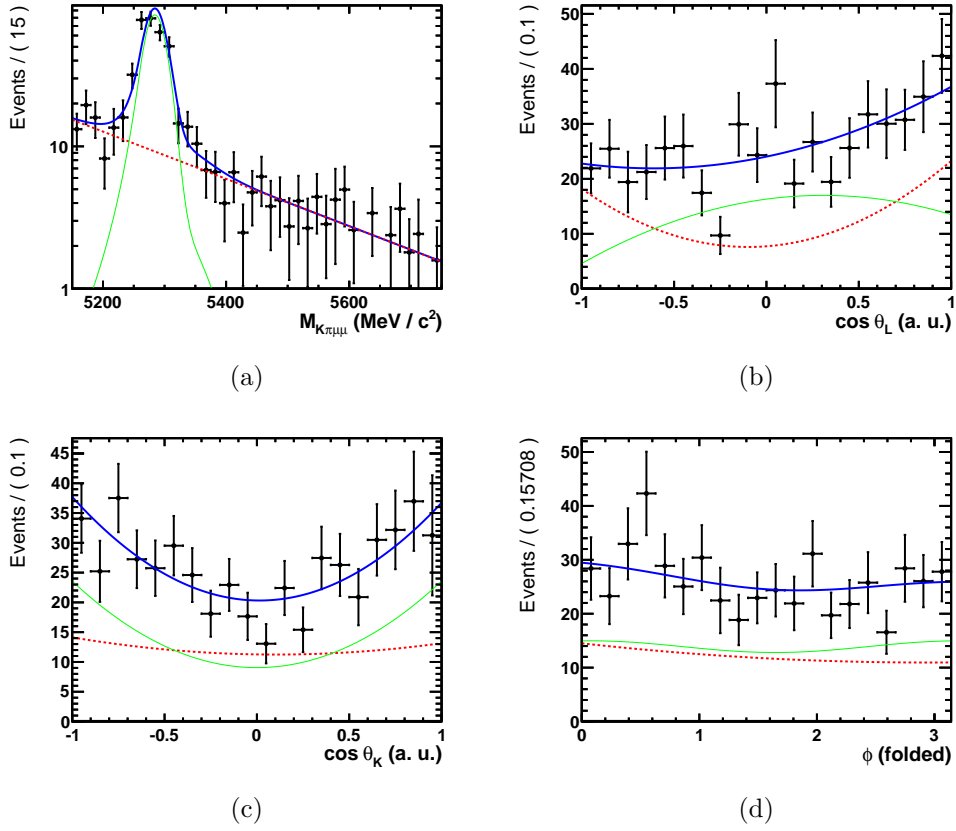


Figure 28: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $4.3 < q^2 < 8.68 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

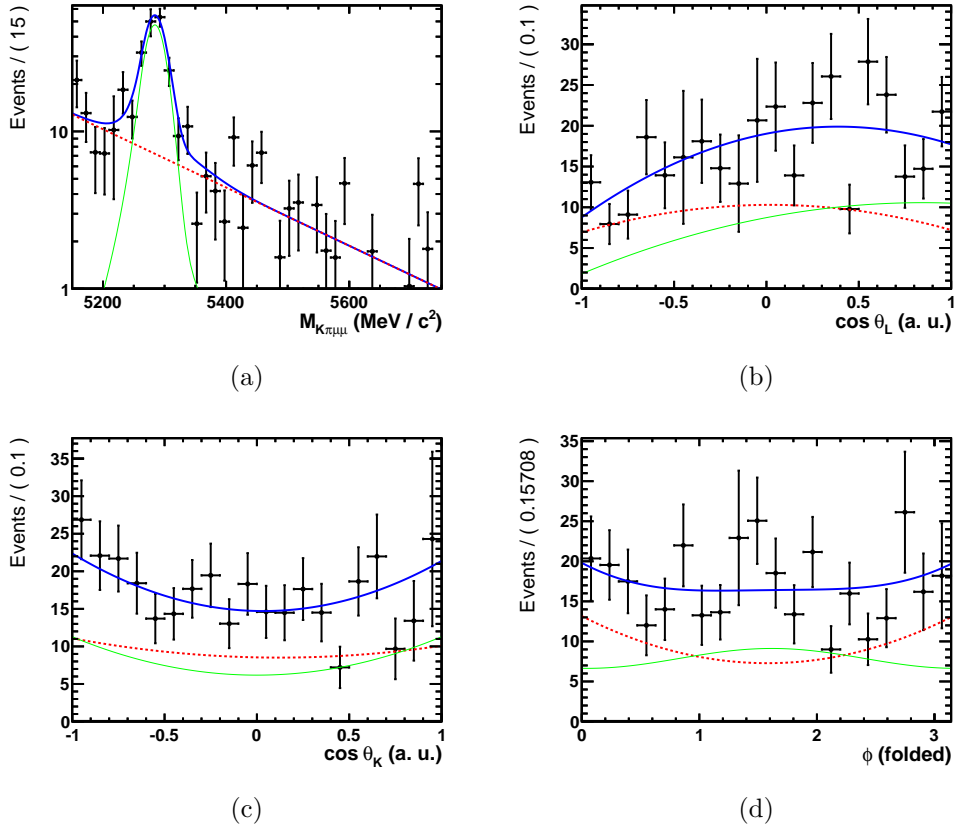


Figure 29: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $10.09 < q^2 < 12.86 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

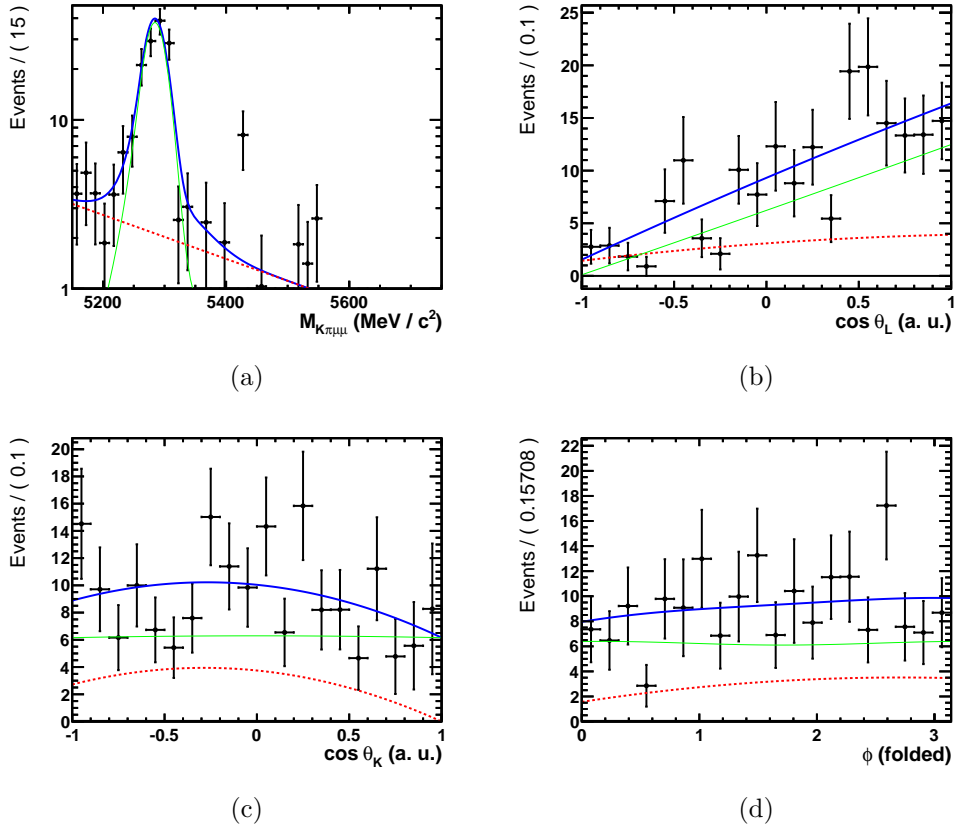
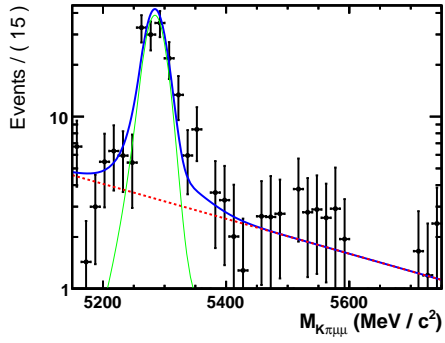
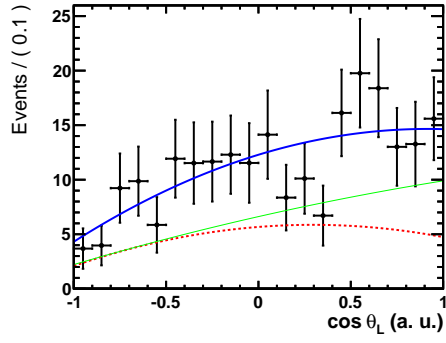


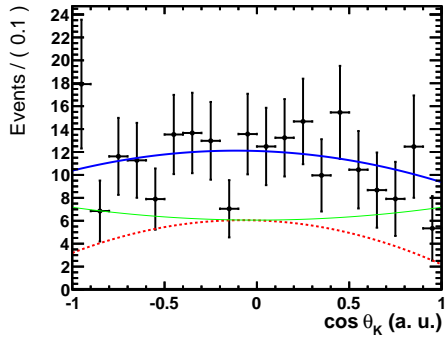
Figure 30: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $14.18 < q^2 < 16 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.



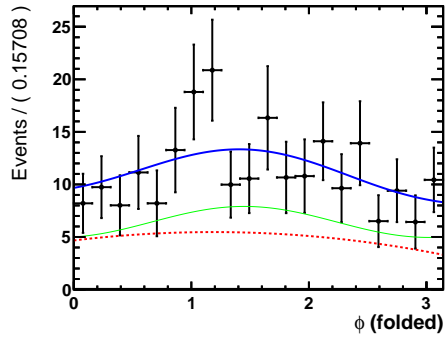
(a)



(b)



(c)



(d)

Figure 31: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  distribution of candidates with  $16 < q^2 < 19 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

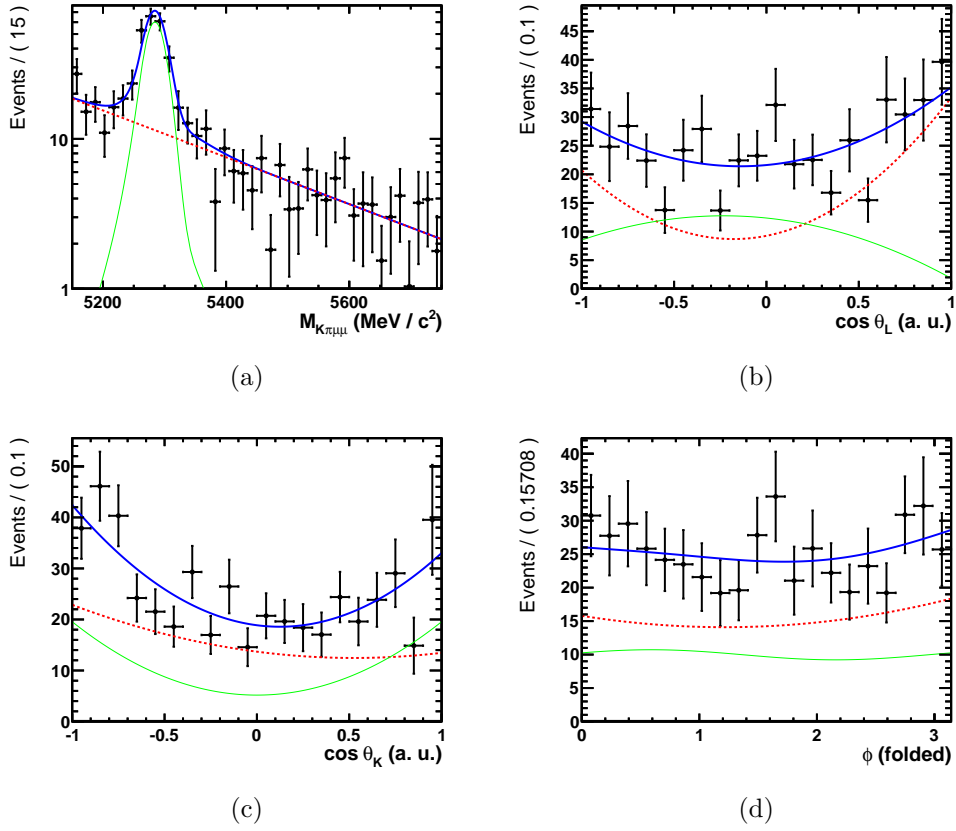
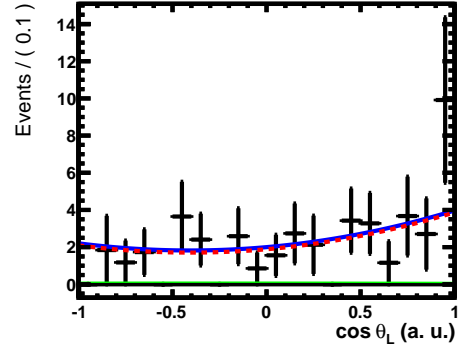
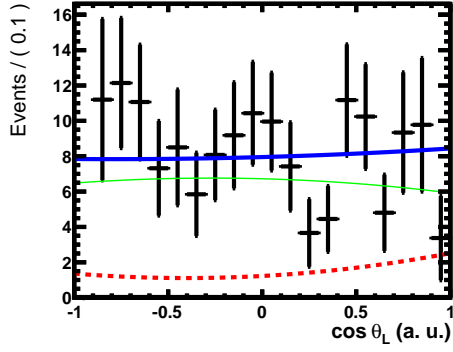
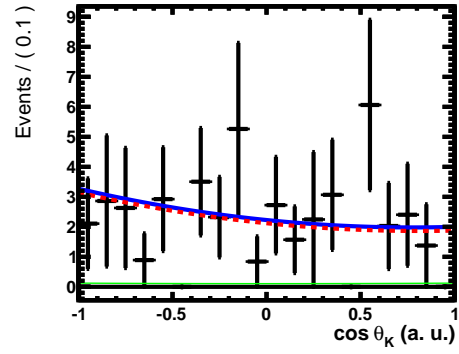
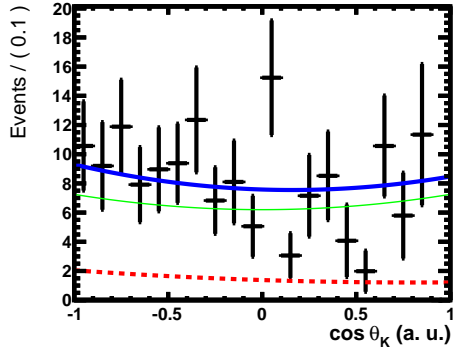


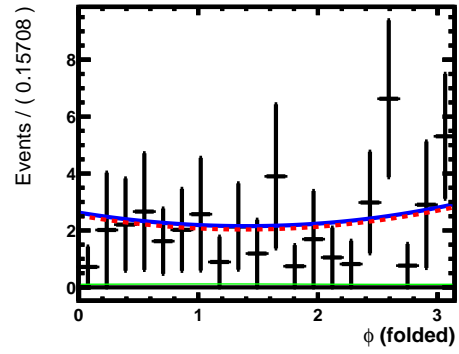
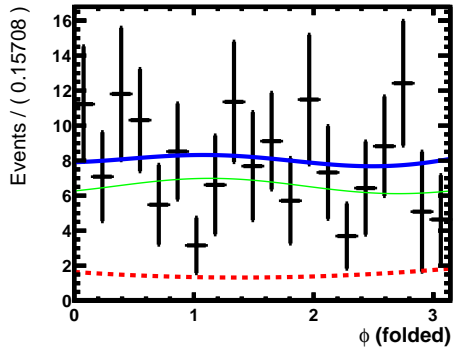
Figure 32: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $1 < q^2 < 6 \text{ GeV}^2/c^4$  in the full mass range. The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.



(a)

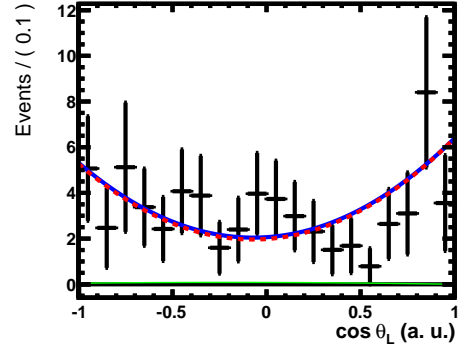
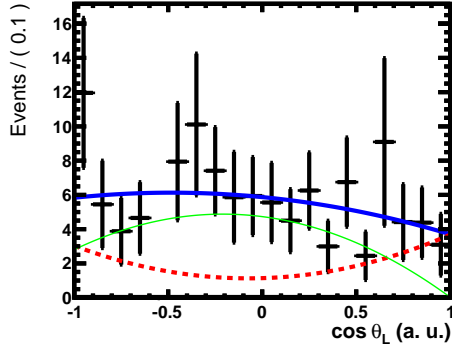


(b)

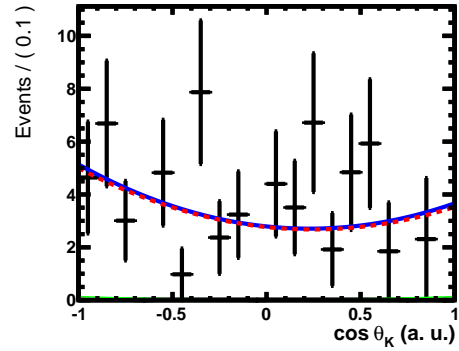
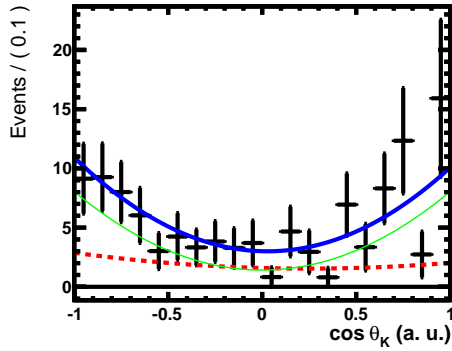


(c)

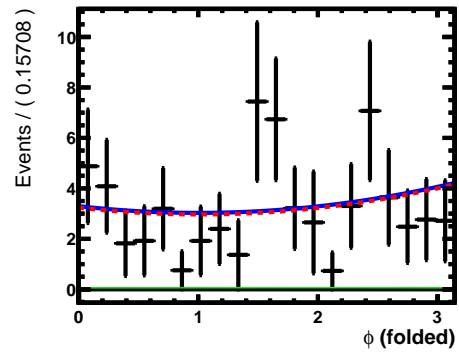
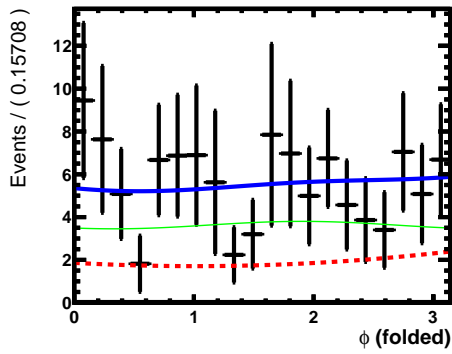
Figure 33: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  distribution of candidates with  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.



(a)



(b)



(c)

Figure 34: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  distribution of candidates with  $2 < q^2 < 4.3 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

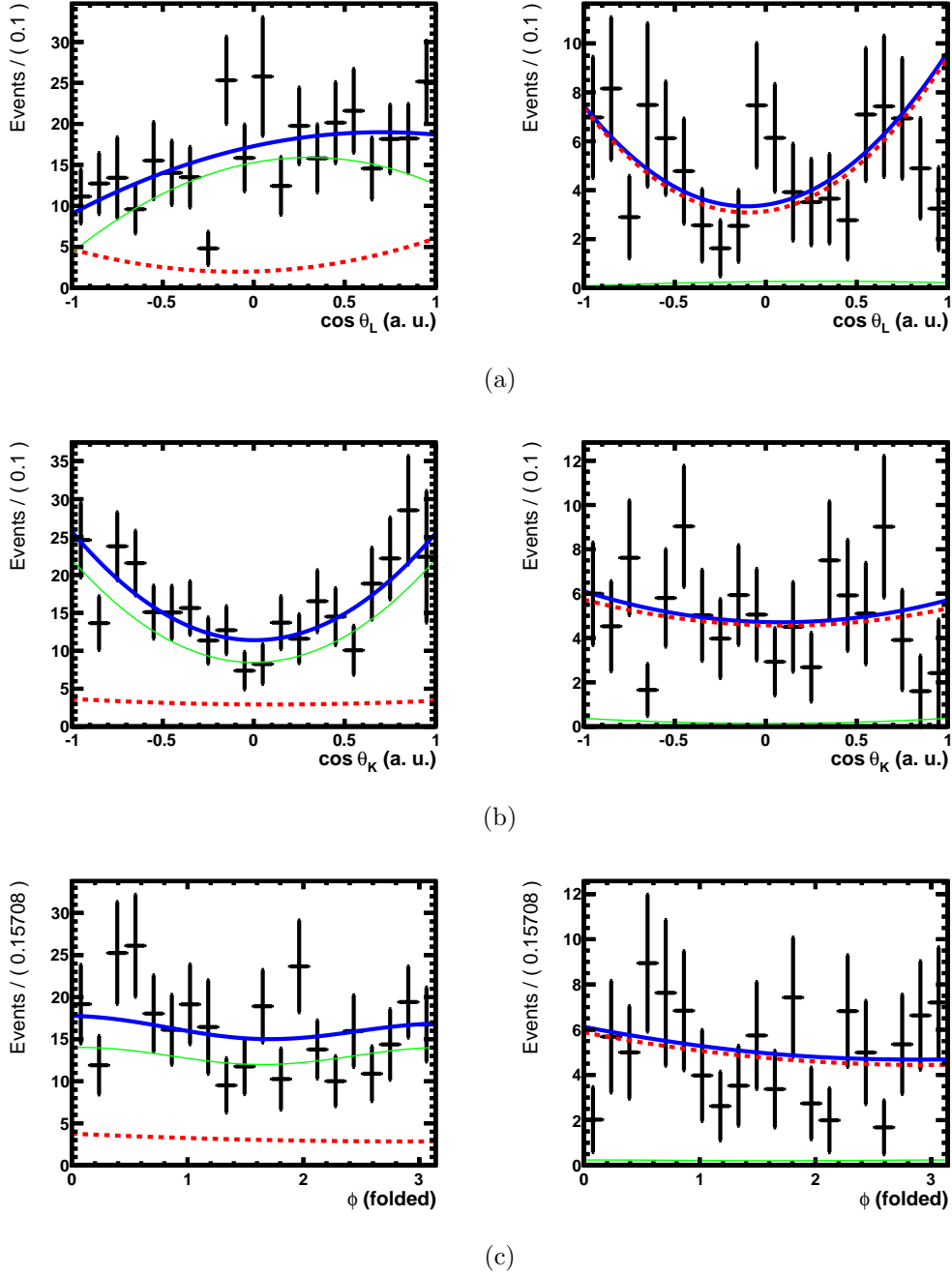


Figure 35: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  distribution of candidates with  $4.3 < q^2 < 8.68 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.



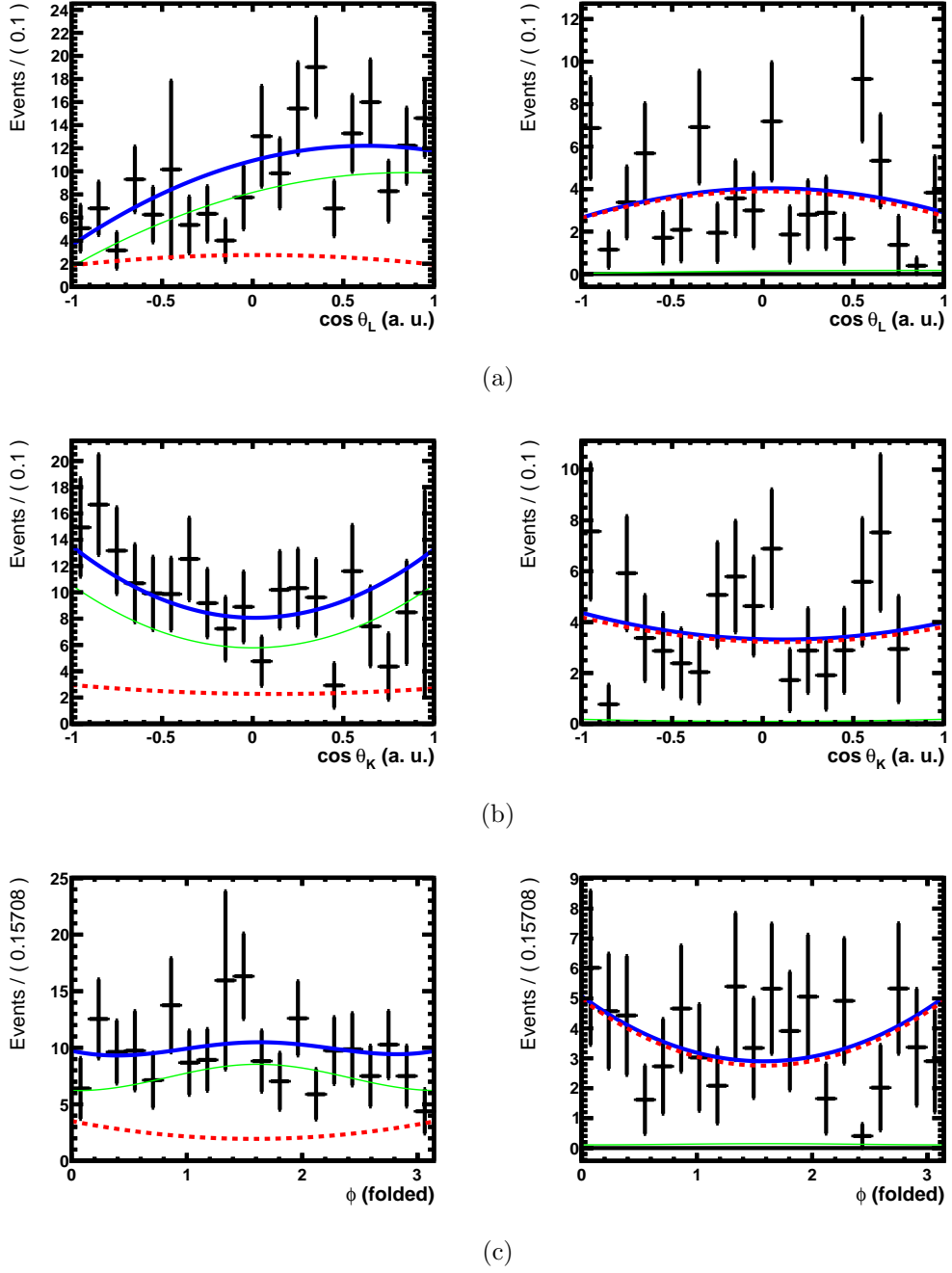


Figure 36: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $10.09 < q^2 < 12.86 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

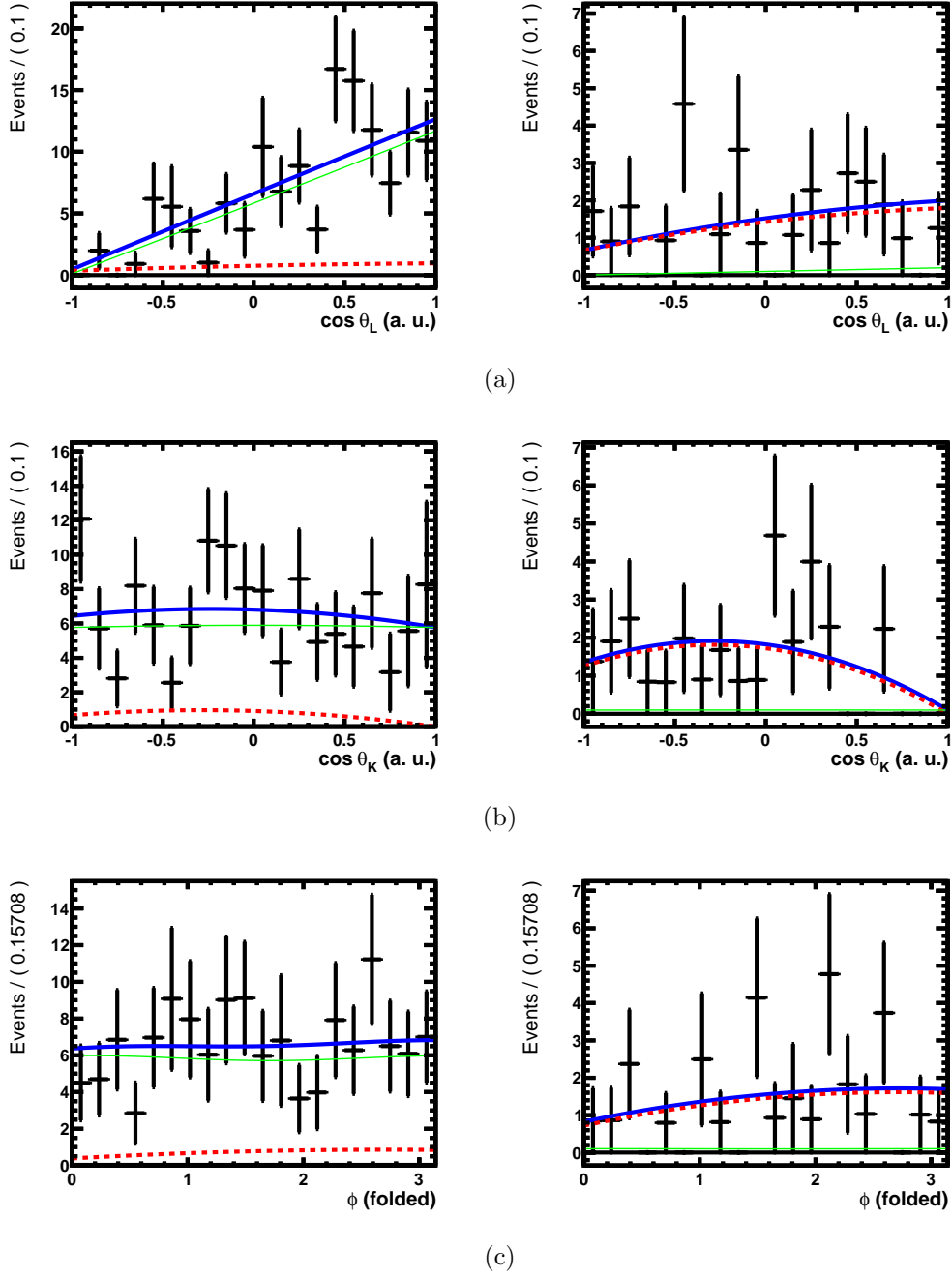
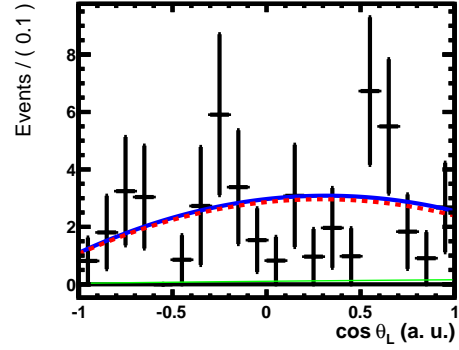
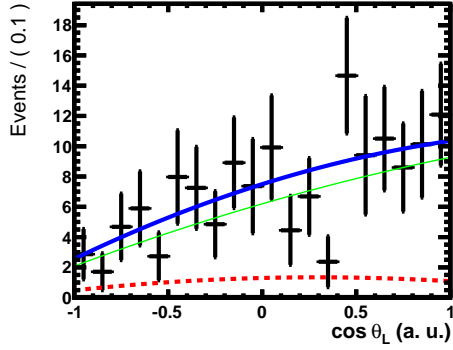
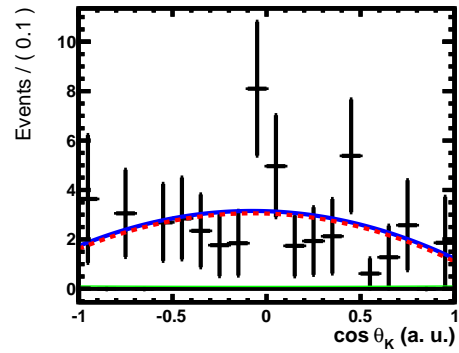
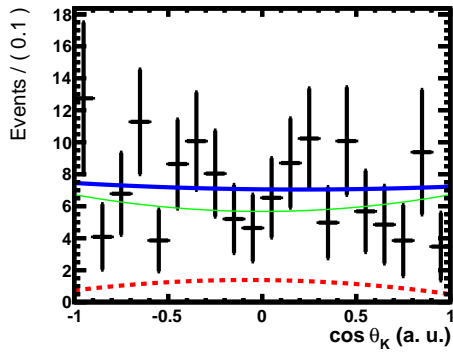


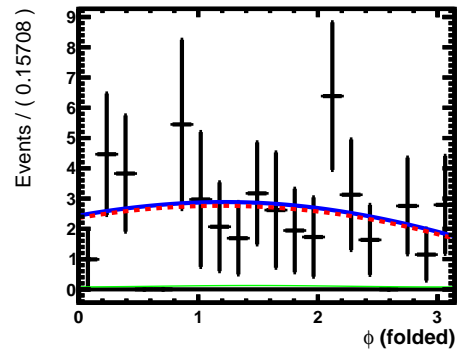
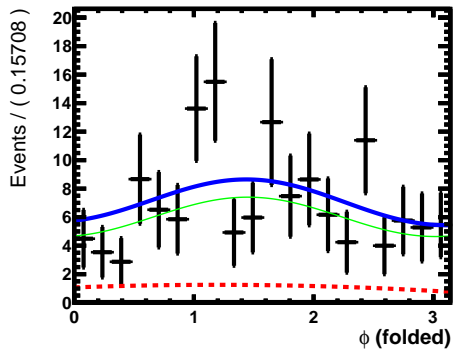
Figure 37: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $14.18 < q^2 < 16 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.



(a)



(b)



(c)

Figure 38: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$  distribution of candidates with  $16 < q^2 < 19 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

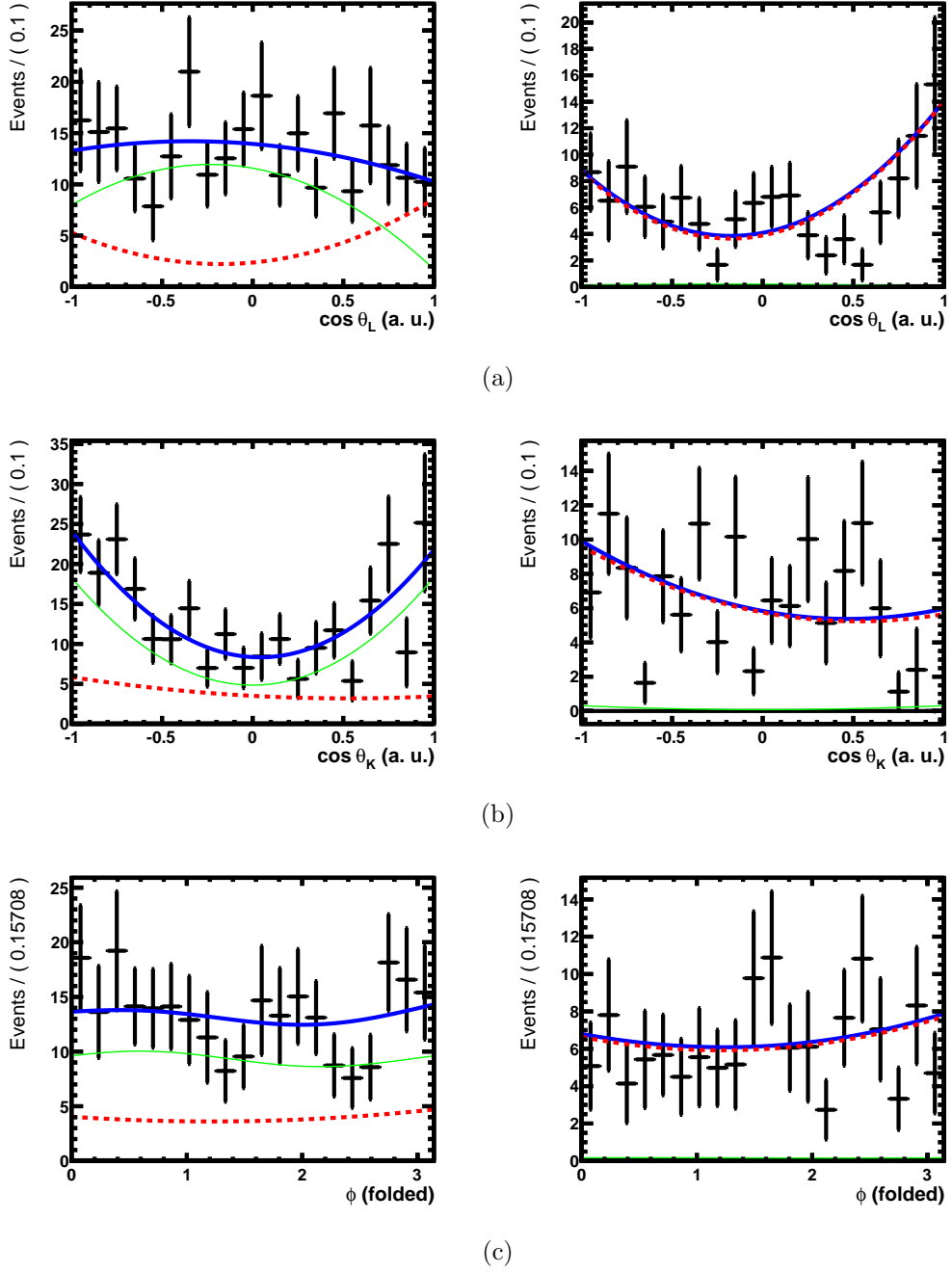


Figure 39: The  $K^+\pi^-\mu^+\mu^-$  invariant mass,  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  distribution of candidates with  $1 < q^2 < 6 \text{ GeV}^2/c^4$  in the signal mass window (left) and upper mass sideband (right). The blue-line is a fit to the data. The green-line is the signal component and the red-dashed line is the background component.

1106 **15.3 Comparison of interval estimates**

1107 A comparison of the confidence and credible intervals on  $A_{\text{FB}}$ ,  $F_{\text{L}}$ ,  $S_3$ ,  $S_9$  and  
 1108  $A_9$  is given in Tables. 23 - 27 . In general there is good agreement between  
 1109 the result obtained using the Feldman-Cousins technique and by integrating  
 1110 a 68% credible interval of the profile-likelihood. Differences arise close to  
 1111 the mathematical boundary, due to the different treatment of the boundary  
 1112 effect in the two techniques. In several bins it was not possible to obtain  
 1113 MINOS error estimates directly from MINUIT for the lower or upper part of  
 1114 the interval. Most notably in the second and fifth  $q^2$  bin where  $A_{\text{FB}}$  is very  
 1115 close to the edge of the mathematically defined parameter space.

1116 The confidence and credible intervals can be seen in the plots contained  
 1117 in the webspace area at [this location](http://www.hep.ph.ic.ac.uk/~cp309/FCandMINOS\_Results/results/)  
 1118 ([http://www.hep.ph.ic.ac.uk/~cp309/FCandMINOS\\\_Results/results/](http://www.hep.ph.ic.ac.uk/~cp309/FCandMINOS\_Results/results/))

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[-0.14, 0.10]$	$[-0.10, 0.07]$
$2.0 < q^2 < 4.3$	$[-0.28, -0.12]$	$[-0.27, -0.13]$
$4.3 < q^2 < 8.68$	$[0.11, 0.22]$	$[0.11, 0.22]$
$10.09 < q^2 < 12.86$	$[0.22, 0.35]$	$[0.22, 0.35]$
$14.18 < q^2 < 16.$	$[0.46, 0.58]$	$[0.46, 0.56]$
$16. < q^2 < 19.$	$[0.22, 0.38]$	$[0.22, 0.38]$
$1.0 < q^2 < 6.0$	$[-0.23, -0.11]$	$[-0.23, -0.10]$

Table 23: 68% intervals on  $A_{\text{FB}}$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{\text{FB}}$ ,  $F_{\text{L}}$ ,  $S_3$  and  $S_9$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[0.28, 0.47]$	$[0.30, 0.45]$
$2.0 < q^2 < 4.3$	$[0.65, 0.84]$	$[0.65, 0.84]$
$4.3 < q^2 < 8.68$	$[0.50, 0.64]$	$[0.51, 0.63]$
$10.09 < q^2 < 12.86$	$[0.39, 0.56]$	$[0.41, 0.55]$
$14.18 < q^2 < 16.$	$[0.26, 0.41]$	$[0.27, 0.40]$
$16. < q^2 < 19.$	$[0.30, 0.46]$	$[0.30, 0.45]$
$1.0 < q^2 < 6.0$	$[0.58, 0.73]$	$[0.59, 0.73]$

Table 24: 68% intervals on  $F_{\text{L}}$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{\text{FB}}$ ,  $F_{\text{L}}$ ,  $S_3$  and  $S_9$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[-0.14, 0.06]$	$[-0.15, 0.07]$
$2.0 < q^2 < 4.3$	$[-0.10, 0.06]$	$[-0.11, 0.07]$
$4.3 < q^2 < 8.68$	$[0.02, 0.15]$	$[0.01, 0.15]$
$10.09 < q^2 < 12.86$	$[-0.23, -0.05]$	$[-0.23, -0.04]$
$14.18 < q^2 < 16.$	$[-0.07, 0.12]$	$[-0.07, 0.11]$
$16. < q^2 < 19.$	$[-0.31, -0.12]$	$[-0.30, -0.11]$
$1.0 < q^2 < 6.0$	$[-0.04, 0.10]$	$[-0.05, 0.11]$

Table 25: 68% intervals on  $S_3$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{FB}$ ,  $F_L$ ,  $S_3$  and  $S_9$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[-0.04, 0.15]$	$[-0.05, 0.16]$
$2.0 < q^2 < 4.3$	$[-0.07, 0.08]$	$[-0.08, 0.10]$
$4.3 < q^2 < 8.68$	$[-0.05, 0.09]$	$[-0.06, 0.08]$
$10.09 < q^2 < 12.86$	$[-0.12, 0.09]$	$[-0.13, 0.10]$
$14.18 < q^2 < 16.$	$[-0.08, 0.09]$	$[-0.08, 0.10]$
$16. < q^2 < 19.$	$[-0.04, 0.17]$	$[-0.05, 0.17]$
$1.0 < q^2 < 6.0$	$[-0.01, 0.16]$	$[-0.01, 0.16]$

Table 26: 68% intervals on  $S_9$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{FB}$ ,  $F_L$ ,  $S_3$  and  $S_9$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[0.03, 0.21]$	$[0.02, 0.22]$
$2.0 < q^2 < 4.3$	$[-0.02, 0.18]$	$[-0.04, 0.18]$
$4.3 < q^2 < 8.68$	$[-0.20, -0.06]$	$[-0.20, -0.06]$
$10.09 < q^2 < 12.86$	$[-0.11, 0.11]$	$[-0.12, 0.11]$
$14.18 < q^2 < 16.$	$[-0.14, 0.05]$	$[-0.14, 0.04]$
$16. < q^2 < 19.$	$[-0.10, 0.10]$	$[-0.10, 0.11]$
$1.0 < q^2 < 6.0$	$[-0.05, 0.11]$	$[-0.06, 0.11]$

Table 27: 68% intervals on  $A_9$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{FB}$ ,  $F_L$ ,  $S_3$  and  $A_9$ . For more details please see the description in the text.

1119 A comparison of the confidence and credible intervals on  $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  
 1120  $A_T^{Im}$  is given in Tables. 28 - 31.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[-0.29, 0.21]$	$[-0.22, 0.14]$
$2.0 < q^2 < 4.3$	$[-1.00, -0.87]$	$[-1.00, -0.80]$
$4.3 < q^2 < 8.68$	$[0.36, 0.66]$	$[0.35, 0.66]$
$10.09 < q^2 < 12.86$	$[0.56, 0.86]$	$[0.56, 0.87]$
$14.18 < q^2 < 16.$	$[0.95, 1.00]$	$[0.93, 1.00]$
$16. < q^2 < 19.$	$[0.49, 0.79]$	$[0.49, 0.80]$
$1.0 < q^2 < 6.0$	$[-0.88, -0.42]$	$[-0.91, -0.40]$

Table 28: 68% intervals on  $A_T^{Re}$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  $A_T^{Im}$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[0.27, 0.48]$	$[0.30, 0.45]$
$2.0 < q^2 < 4.3$	$[0.63, 0.84]$	$[0.65, 0.84]$
$4.3 < q^2 < 8.68$	$[0.50, 0.64]$	$[0.51, 0.63]$
$10.09 < q^2 < 12.86$	$[0.40, 0.56]$	$[0.41, 0.55]$
$14.18 < q^2 < 16.$	$[0.26, 0.41]$	$[0.27, 0.40]$
$16. < q^2 < 19.$	$[0.29, 0.46]$	$[0.30, 0.45]$
$1.0 < q^2 < 6.0$	$[0.58, 0.74]$	$[0.59, 0.73]$

Table 29: 68% intervals on  $F_L$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_T^{Re}$ ,  $F_L$ ,  $A_T^2$  and  $A_T^{Im}$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[-0.44, 0.20]$	$[-0.48, 0.21]$
$2.0 < q^2 < 4.3$	$[-0.75, 0.36]$	$[-0.88, 0.45]$
$4.3 < q^2 < 8.68$	$[0.05, 0.66]$	$[0.03, 0.67]$
$10.09 < q^2 < 12.86$	$[-0.87, -0.18]$	$[-0.87, -0.17]$
$14.18 < q^2 < 16.$	$[-0.21, 0.33]$	$[-0.21, 0.34]$
$16. < q^2 < 19.$	$[-0.97, -0.36]$	$[-0.96, -0.37]$
$1.0 < q^2 < 6.0$	$[-0.24, 0.56]$	$[-0.31, 0.64]$

Table 30: 68% intervals on  $A_{\text{T}}^2$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{\text{T}}^{\text{Re}}$ ,  $F_{\text{L}}$ ,  $A_{\text{T}}^2$  and  $A_{\text{T}}^{\text{Im}}$ . For more details please see the description in the text.

$q^2$ range	FC	MINOS
$0.1 < q^2 < 2.0$	$[-0.12, 0.47]$	$[-0.17, 0.51]$
$2.0 < q^2 < 4.3$	$[-0.50, 0.54]$	$[-0.59, 0.72]$
$4.3 < q^2 < 8.68$	$[-0.26, 0.36]$	$[-0.28, 0.39]$
$10.09 < q^2 < 12.86$	$[-0.47, 0.37]$	$[-0.51, 0.39]$
$14.18 < q^2 < 16.$	$[-0.25, 0.29]$	$[-0.26, 0.30]$
$16. < q^2 < 19.$	$[-0.14, 0.53]$	$[-0.16, 0.53]$
$1.0 < q^2 < 6.0$	$[-0.04, 0.83]$	$[-0.07, 0.87]$

Table 31: 68% intervals on  $A_{\text{T}}^{\text{Im}}$  in the six-plus-one  $q^2$  bins from Feldman-Cousins and MINOS, when fitting for  $A_{\text{T}}^{\text{Re}}$ ,  $F_{\text{L}}$ ,  $A_{\text{T}}^2$  and  $A_{\text{T}}^{\text{Im}}$ . For more details please see the description in the text.

## 1121 15.4 Feldman Cousins CL at the SM point

1122 As a measure of the consistency of the angular fit results and the SM predic-  
1123 tion, the Feldman Cousins CL for the SM point was calculated. In contrast  
1124 to the one dimensional FC confidence intervals, this CL is calculated varying  
1125 all four angular observables simultaneously.

1126 One thousand toy datasets were generated at the SM-predicted central  
1127 values of the angular observables  $\{A_{\text{FB}}, F_{\text{L}}, S_3, S_9\}$  in each  $q^2$  bin. The  
1128 standard angular fit is performed on each toy dataset and the value of the  
1129 likelihood,  $R_0$  is recorded. Another angular fit is performed with the angular  
1130 observables fixed to their SM-predicted values and the value of the likelihood  
1131 for this fit ( $R_1$ ) is recorded. The likelihood ratio  $R_{\text{toy}} = R_0/R_1$  is then calcu-  
1132 lated. The same procedure is performed on fits to candidates from the data



1133 to obtain the likelihood ratio  $R_{\text{data}}$ . The p-value is then calculated by inte-  
 1134 grating the distribution of 1000  $R_{\text{toy}}$  values from  $R_{\text{data}}$  to infinity. The same  
 1135 procedure is repeated for the set of angular observables  $\{A_{\text{T}}^{\text{Re}}, F_{\text{L}}, A_{\text{T}}^2, A_{\text{T}}^{\text{Im}}\}$ .

1136 The resulting CLs are summarised in Tab. 32. No results are presented  
 1137 for the  $10.09 < q^2 < 12.86$  bin as no SM prediction is available in this  $q^2$   
 1138 region.

1139 Differences can arise between the two sets of CL-values for two reasons:  
 1140 small differences can arise due to limited number of pseudo-experiments that  
 1141 are generated; larger differences can arise in the second  $q^2$  bin due to the  
 1142 influence of the boundaries on the toy experiments.

$q^2$ (GeV <sup>2</sup> /c <sup>4</sup> )	CL for $\{A_{\text{FB}}, F_{\text{L}}, S_3, S_9\}$	CL for $\{A_{\text{T}}^{\text{Re}}, F_{\text{L}}, A_{\text{T}}^2, A_{\text{T}}^{\text{Im}}\}$	p-value
$0.10 < q^2 < 2.00$	0.16	0.18	0.21
$2.00 < q^2 < 4.30$	0.50	0.57	0.32
$4.30 < q^2 < 8.68$	0.68	0.71	0.65
$10.09 < q^2 < 12.86$	NA	NA	NA
$14.18 < q^2 < 16.00$	0.39	0.38	0.79
$16.00 < q^2 < 19.00$	0.28	0.28	0.05
$1.00 < q^2 < 6.00$	0.67	0.72	0.48

Table 32: Angular analysis CLs at the SM point and p-value for the set of observables  $\{A_{\text{FB}}, F_{\text{L}}, S_3, S_9\}$  and  $\{A_{\text{T}}^{\text{Re}}, F_{\text{L}}, A_{\text{T}}^2, A_{\text{T}}^{\text{Im}}\}$  in each analysis  $q^2$  bin.

## 1143 15.5 Extracting the p-value for the SM point

1144 The p-value of the SM point (including the background description) has  
 1145 also been estimated using an unbinned goodness of fit test (point-to-point  
 1146 dissimilarity test [1]). The test is performed only considering the angular  
 1147 phase-space defined by  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$ . A weighting function of the  
 1148 form  $\Psi = e^{-x^2/2\sigma^2}$  is used, where  $\sigma$  is defined such that  $\Psi$  covers 5% of the  
 1149 angular phase-space. The results of this test are summarised in Table. 32. In  
 1150 all cases the results indicate that the fit model at the SM point is a reasonable  
 1151 description of the data. The test was repeated with  $\Psi$  covering 10% of the  
 1152 phase-space, with no change in the conclusion.

1153 Note, for the results in Table. 32 there is also a reasonably large uncer-  
 1154 tainty on what is meant by the SM point, coming from theoretical uncertain-  
 1155 ties and differences between different theory predictions.

## 1156 **16 Introducing a $K^+\pi^-$ system $S$ -wave**

1157 The inclusion of a spin-0  $K^+\pi^-$  component to the  $K^+\pi^-$  system, that can  
 1158 interfere with the  $K^{*0}(892)$ , is motivated by the analysis of the angular and  
 1159 mass distribution of  $B^0 \rightarrow K^{*0}J/\psi$  decays (see for example Ref. [21]). The  
 1160 impact of the  $S$ -wave is evaluated and treated as systematic uncertainty on  
 1161 the differential branching fraction and angular observables. The size of this  
 1162 systematics is evaluated from the signal data. A 68% CL upper limit for the  
 1163  $S$ -wave in the region  $1 - 6\text{GeV}^2$  is estimated. This value is conservatively  
 1164 used as a systematic uncertainty. More details can be found in the following  
 1165 sections.

### 1166 **16.1 Impact on the angular distributions: formalism**

1167 When taking into account this new spin-0 component, the longitudinal am-  
 1168 plitude is replaced in the angular expression by the sum of two terms: the  
 1169 usual one,  $A_{0L/R}$  which corresponds to the longitudinal polarisation ampli-  
 1170 tude of the  $K^{*0}$  (which has a Breit Wigner dependence as function of the  
 1171  $K^+\pi^-$  mass) and a second amplitude,  $A_{0L}^0$ , corresponding to the  $S$ -wave  
 1172 contribution. This new amplitude at first approximation can be assumed to  
 1173 be constant over the  $\pm 100\text{ MeV}/c^2$  interval around the  $K^{*0}$  mass used in this  
 1174 analysis.

1175 Explicitly, this corresponds to the transformation:

$$A_{0,L/R} \cos \theta_K \rightarrow \frac{1}{\sqrt{3}} A_{0,L/R}^0 + A_{0,L/R} \cos \theta_K$$

1176 The immediate impact of the additional left- and right-handed  $S$ -wave am-  
 1177 plitudes is to modify  $\Gamma$  such that<sup>2</sup>:

$$\Gamma = |A_0^0|^2 + |A_0|^2 + |A_{\parallel}|^2 + |A_{\perp}|^2 = |A_0^0|^2 + \Gamma'$$

1178 where  $A_0^0$  is the amplitude for the  $S$ -wave component. This will modify the  
 1179 standard observables, leading to:

---

<sup>2</sup>The discussion of the  $S$ -wave is largely based on Ref. [24]

$$\begin{aligned}
A_{\text{FB}} &= \frac{3}{4} \frac{\text{Re}(A_{\parallel L} A_{\perp L}^*) - \text{Re}(A_{\parallel R} A_{\perp R}^*)}{\Gamma'} \\
&= \frac{3}{4} \frac{\text{Re}(A_{\parallel L} A_{\perp L}^*) - \text{Re}(A_{\parallel R} A_{\perp R}^*)}{\Gamma(1 - F_S)}
\end{aligned}$$

$$F_L = \frac{|A_0|^2}{\Gamma'} = \frac{|A_0|^2}{\Gamma(1 - F_S)}$$

$$\begin{aligned}
A_{\text{Im}} &= \frac{\text{Im}(A_{\parallel L} A_{\perp L}^*) + \text{Im}(A_{\parallel R} A_{\perp R}^*)}{\Gamma'} \\
&= \frac{\text{Im}(A_{\parallel L} A_{\perp L}^*) + \text{Im}(A_{\parallel R} A_{\perp R}^*)}{\Gamma(1 - F_S)} \\
S_3 &= \frac{1}{2} \frac{|A_{\perp L}|^2 - |A_{\parallel L}|^2 + |A_{\perp R}|^2 - |A_{\parallel R}|^2}{\Gamma'} \\
&= \frac{1}{2} \frac{|A_{\perp L}|^2 - |A_{\parallel L}|^2 + |A_{\perp R}|^2 - |A_{\parallel R}|^2}{\Gamma(1 - F_S)}
\end{aligned}$$

1180 where  $A_{\text{FB}}$ ,  $A_{\text{Im}}$ ,  $S_3$  and  $F_L$  remain defined w.r.t. the  $K^{*0}$  and

$$F_S = |A_0^0|^2 / \Gamma$$

1181 is the fractional contribution of the  $S$ -wave amplitude and is expected to be  
1182 small. There is also a new forward-backward asymmetry,  $A_S$  that appears in  
1183 the kaon angle. This comes from interference between the  $S$ -wave amplitude  
1184 and the longitudinal  $K^{*0}$  amplitude,

$$A_S = \frac{1}{\Gamma} \sqrt{3} [ |A_{0,L}| |A_{0,L}^0| \cos \delta_L + |A_{0,R}| |A_{0,R}^0| \cos \delta_R ] .$$

Interference terms between  $A_{0,L/R}^0$  and  $A_{\perp,L/R}$  or  $A_{\parallel,L/R}$  are removed by the  $\hat{\phi}$  transformation. Accounting for the  $S$ -wave amplitude, the ‘folded’ angular

distribution can be written:

$$\frac{1}{\Gamma} \frac{d^4\Gamma}{dq^2 d\cos\theta_K d\cos\theta_l d\hat{\phi}} = \frac{9}{16\pi} \left[ \frac{2}{3}F_S(1 - \cos^2\theta_l) + \frac{4}{3}A_S \cos\theta_K(1 - \cos^2\theta_l) + \right. \\ \left. 2(1 - F_S)F_L \cos^2\theta_K(1 - \cos^2\theta_l) + \right. \\ \left. \frac{1}{2}(1 - F_S)(1 - F_L)(1 - \cos^2\theta_K)(1 + \cos^2\theta_l) + \right. \\ \left. (1 - F_S)S_3(1 - \cos^2\theta_K)(1 - \cos^2\theta_l) \cos 2\hat{\phi} + \right. \\ \left. \frac{4}{3}(1 - F_S)A_{FB}(1 - \cos^2\theta_K) \cos\theta_l + \right. \\ \left. (1 - F_S)A_{Im}(1 - \cos^2\theta_K)(1 - \cos^2\theta_l) \sin 2\hat{\phi} \right] .$$

The one dimensional projections of the angular distribution are given by :

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\cos\theta_l} = \frac{3}{4} [F_S + (1 - F_S)F_L] [1 - \cos^2\theta_l] + \\ \frac{3}{8} [(1 - F_S)(1 - F_L)] [1 + \cos^2\theta_l] + (1 - F_S)A_{FB} \cos\theta_l$$

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\cos\theta_K} = \frac{F_S}{2} + A_S \cos\theta_K \\ \frac{3}{2}(1 - F_S)F_L \cos^2\theta_K + \frac{3}{4} [(1 - F_S)(1 - F_L)] [1 - \cos^2\theta_K] .$$

## 1185 **16.2 Exploiting the phase change across the Breit-Wigner** 1186 **to estimate the $S$ -wave**

1187 The size of the interference term,  $A_S$ , depends on the relative strong phase  
1188 difference between  $A_0$  and  $A_0^0$  and on  $F_S$  and  $F_L$ . Ignoring for the moment  
1189 the left- and right-handedness of the amplitudes, the maximum possible size  
1190 of  $A_S$  is bounded by the size of  $F_S$  and  $F_L$ :

$$|A_S| \leq \sqrt{3}(F_S(1 - F_S)F_L)^{1/2} .$$

1191 For a non-relativistic Breit-Wigner distribution,  $A_0$  can be split into real  
1192 and imaginary parts:

$$Re(A_0(m_{K+\pi^-})) = \frac{a}{1 + a^2} \quad \text{and} \quad Im(A_0(m_{K+\pi^-})) = \frac{i}{1 + a^2}$$

1193 where

$$a = \frac{m_{K^+\pi^-} - m_{K^{*0}}}{\Gamma/2},$$

1194 and  $m_{K^{*0}}$  is the pole mass of the  $K^{*0}$  Breit-Wigner. In terms of  $Re(A_0^0)$ ,  
 1195  $Im(A_0^0)$ ,  $Re(A_0)$  and  $Im(A_0)$ ,  $A_S$  becomes:

$$A_S(a) \propto Re(A_0^0)Re(A_0) + Im(A_0^0)Im(A_0)$$

1196 There is also a phase change of  $A_0$  between the left- and right-hand side of  
 1197 the Breit-Wigner. If  $Re(A_0^0)$  and  $Im(A_0^0)$  are assumed to be constant across  
 1198 the  $\pm 100 \text{ MeV}/c^2$  mass window used in the analysis, then the phase change  
 1199 of the Breit-Wigner, of  $A_0$ , can be exploited to measure the size of  $F_S$  from  
 1200 the asymmetry in  $\cos\theta_K$  for events above and below the  $K^{*0}$  pole mass.

1201 If the average values of  $A_S$  in the  $100 \text{ MeV}/c^2$  window above and below  
 1202 the pole mass are  $A_+$  and  $A_-$ , then  $A_+ \pm A_-$  can be used to isolate  $Re(A_0)$   
 1203 and  $Im(A_0)$  parts of the Breit-Wigner. Further it can be shown that:

$$\langle F_S \rangle = \frac{[(A_+ + A_-)^2/4 + (A_+ - A_-)^2/(4 \times 1.23)] \times 3.24/(3F_L)}{1 - [(A_+ + A_-)^2/4 + (A_+ - A_-)^2/(4 \times 1.23)] \times 3.24/(3F_L)} \quad (4)$$

1204 where the numerical term are obtained, after integration, for  $\frac{\Gamma}{2} = 26 \text{ MeV}/c^2$ .  
 1205 The measurement of  $F_S$  that comes from  $A_+$  and  $A_-$  is statistically more  
 1206 precise than simply fitting directly for  $F_S$  and  $A_S$  as independent variables  
 1207 because the measurement is based on a sizable interference term, rather than  
 1208 a measurement of a small extra amplitude – in simpler terms  $A_S$  can be more  
 1209 precisely determined than  $F_S$ .

1210 The procedure has been validated with a large statistics sample of  $B^0 \rightarrow$   
 1211  $K^{*0} J/\psi$  events comparing the calculated  $\langle F_S \rangle$  to the fitted  $F_S$ , as shown  
 1212 in Section F.

1213 Given the good results obtained for the  $B^0 \rightarrow J/\psi K^{*0}$  decay, the pro-  
 1214 cedure can be applied to  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ . This has been done for two  
 1215 different  $q^2$  ranges: 1-19  $\text{GeV}^2/c^4$  and also 1-6  $\text{GeV}^2/c^4$ . Unfortunately, in  
 1216 the latter case the statistics is too low for the fit (with the S-wave parameters)  
 1217 to converge successfully. To reduce the number of parameters we integrate  
 1218 over the  $\phi$  angle. This does not change the sensitivity to the S-wave param-  
 1219 eters (the sensitivity to which comes mainly through  $\cos\theta_K$ ) and removes two  
 1220 angular observables, simplifying the fit.

1221 The result of the fit in the  $q^2$  region from 1 to 19  $\text{GeV}^2/c^4$  and 1 to 6  
 1222  $\text{GeV}^2/c^4$ , excluding the  $J/\psi$  and  $\psi(2S)$ , is given on Table 33 and Figures  
 1223 79,80, 81, 82. The values of  $F_S$  have been computed assuming Gaussian

1224 distributed errors on  $F_L$  and  $A_S^\pm$ . The same results are obtained by doing a  
 1225 profile likelihood scan.

1226 If the  $S$ -wave contribution is fixed to 0, the  $F_L$  value is  $0.52 \pm 0.03$  and  
 1227  $0.68 \pm 0.06$  for the 1 to 19  $\text{GeV}^2/c^4$  and 1 to 6  $\text{GeV}^2/c^4$  regions respectively.  
 1228 Consistent with the nominal fit results.

1229 In the high  $K^{*0}$  energy approximation  $F_S$  is expected to have the same  $q^2$   
 1230 dependence as  $F_L$  (driven by the  $q^2$  dependence of the transverse amplitudes).  
 1231 This implies that taking the 68% CL upper limit in the region 1-6  $\text{GeV}^2/c^4$   
 1232 as a systematic is a conservative estimate for every bin, since  $F_L$  is largest in  
 1233 this region.

		$1 < q^2 < 19 \text{ GeV}^2/c^4$	$1 < q^2 < 6 \text{ GeV}^2/c^4$
Fitted parameters	$A_T^{Re}$	$0.619 \pm 0.088$	$-0.490 \pm 0.293$
	$F_L$	$0.523 \pm 0.031$	$0.700 \pm 0.066$
	$A_S^+$	$-0.025 \pm 0.051$	$0.003 \pm 0.109$
	$A_S^-$	$-0.162 \pm 0.058$	$-0.228 \pm 0.119$
Using eq 4	$\langle F_S \rangle$	$0.025 \pm 0.018$ ( $< 0.04$ at 68% CL)	$0.038 \pm 0.043$ ( $< 0.07$ at 68% CL)

Table 33: Fit results for  $F_S$  and  $A_S^\pm$  in the  $q^2$  region from 1 to 19  $\text{GeV}^2/c^4$  and 1 to 6  $\text{GeV}^2/c^4$  when including the  $S$ -wave.

## 1234 17 Correction for the threshold terms

1235 In the angular fit we neglect lepton masses. This assumption holds every-  
 1236 where apart the first  $q^2$  bin. When muon masses are not neglected, terms  
 1237 with additional  $q^2$ -dependence appear. The effect of neglecting these terms  
 1238 is corrected for a posteriori as discussed in the next sections. This correction  
 1239 roughly corresponds to a 10-20% factor for all observables, apart for  $F_L$  for  
 1240 which this effect is negligible.

### 1241 17.1 Procedure to correct for the threshold terms

1242 Since we do not have yet enough data to perform a complete parametrisation  
 1243 as a function of the dimuon invariant mass squared, the only way the  
 1244 dependence on  $q^2$  is taken into account in the analysis is by performing the  
 1245 fit separately in wide bins of  $q^2$ . In each of these bins, the resulting “physics”  
 1246 parameters represent an average over that  $q^2$  bin.

1247 If we revisit the full PDF for the angular distribution then a  $q^2$ -dependence  
 1248 arises from three separate places:

- 1249 1. the  $q^2$  dependence of the form factors;
- 1250 2. an explicit dependence on  $q^2$  that accompanies  $\mathcal{C}_7$  and  $\mathcal{C}'_7$ ;
- 1251 3. threshold terms that depend on  $x = 4m_\mu^2/q^2$  in the angular distribution.

1252 One and two can be associated with the  $q^2$  dependence of the amplitudes,  
 1253 or equally of the observables. The third type of  $q^2$  dependence has until now  
 1254 been completely neglected. These threshold terms are negligible at high  $q^2$   
 1255 where  $q^2 \gg m_\mu^2$  and  $x \rightarrow 0$ , but may become significant as  $q^2 \rightarrow 0$ , in par-  
 1256 ticular in the  $0 < q^2 < 2 \text{ GeV}^2/c^4$  bin. If we revisit the angular distribution,  
 1257 the impact of the threshold terms is to modify  $I_1$  through  $I_9$  as:

$$\begin{aligned}
 I_1^s &= \frac{3}{4} \left[ 1 - \frac{x}{3} \right] (|A_{\parallel}|^2 + |A_{\perp}|^2) + \frac{x}{2} (|A_{\parallel}|^2 + |A_{\perp}|^2) \\
 I_1^c &= [1 + x] |A_0|^2 \\
 I_2^s &= \frac{1}{4} [1 - x] (|A_{\parallel}|^2 + |A_{\perp}|^2) \\
 I_2^c &= - [1 - x] |A_0|^2 \\
 I_3 &= \frac{1}{2} [1 - x] (|A_{\perp}|^2 - |A_{\parallel}|^2) \\
 I_6 &= 2 [\sqrt{1 - x}] \text{Re}(A_{\parallel L} A_{\perp L}^* - A_{\parallel R} A_{\perp R}^*) \\
 I_9 &= [1 - x] \text{Im}(A_{\parallel L} A_{\perp L}^* + A_{\parallel R} A_{\perp R}^*)
 \end{aligned} \tag{5}$$

1258 As  $x \rightarrow 1$ , the angular distribution actually becomes isotropic in  $\cos \theta_\ell$ ,  
 1259  $\cos \theta_K$  and  $\phi$  and we lose all sensitivity to the observables.

1260 These new terms create a problem for the  $q^2$  averaging (see Sec. 8.7).  
 1261 Unfortunately, as a result of neglecting the threshold terms, in the fit to  
 1262 the data in the  $0 < q^2 < 2 \text{ GeV}^2/c^4$  bin, the measured values of the physics  
 1263 parameters will be a biased estimate of the pure physics quantities predicted  
 1264 by theory. A procedure to estimate this bias is described below.

## 1265 17.2 Correction procedure

1266 Integrating the full angular expression over  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$ , yields:

$$\Gamma = \left[1 + \frac{x}{2}\right] (|A_{\parallel}|^2 + |A_{\perp}|^2 + |A_0|^2) .$$

1267 The individual terms in the angular distribution can also be updated to  
 1268 include a dependence on  $x$ , e.g.

$$\frac{I_3}{\Gamma} = \frac{\frac{1}{2}(1-x)(|A_{\perp}|^2 - |A_{\parallel}|^2)}{(1 + \frac{x}{2})(|A_{\parallel}|^2 + |A_{\perp}|^2 + |A_0|^2)} = \frac{(1-x)}{(1 + \frac{x}{2})} \frac{1}{2} A_T^2 (1 - F_L) = \beta(q^2) A_T^2(q^2) (1 - F_L(q^2)).$$

1269 When averaging over the  $0 < q^2 < 2 \text{ GeV}^2/c^4$  bin, there are now three  $q^2$   
 1270 dependent terms to worry about. As a reminder, in the simpler case when  
 1271 ignoring the threshold terms there are two  $q^2$  dependent terms  $F_L$  and  $A_T^2$ .  
 1272 In this case the fit is sensitive to a rate average of  $A_T^2(q^2)$ , where you sum  
 1273 over narrow  $q^2$  bins,  $q_i^2$ , weighting  $A_T^2$  by  $N(q_i^2)(1 - F_L(q_i^2))$ . Now that  
 1274 there are three  $q^2$  dependent terms some assumption needs to be made on  
 1275 the  $q^2$  dependence of the observables in order to unfold the effect of the  
 1276  $x$ -dependence from the measured observables.

The only physics parameter that is not biased by the threshold effect is  $F_L$ .  $F_L$  is essentially determined by the  $\cos(\theta_K)$  distribution which take the form:

$$\frac{4}{3} \left(1 + \frac{x}{2}\right) [2(F_L) \cos^2(\theta_K) + (1 - F_L) \sin^2(\theta_K)] \quad (6)$$

1277 This expression is obtained from the full angular distribution neglecting the  
 1278  $\phi$  depending terms and integrating over  $\cos(\theta_\ell)$ . While Eq. 6 depends on  $x$ ,  
 1279 it does not change the shape of the distribution, only the amplitude. So,  
 1280 the threshold term has no impact on  $F_L$ .

1281 To correct the other physics parameters for the threshold effects and  
 1282 obtain the true average, one needs to model the  $q^2$  dependence of the physics  
 1283 parameters in the bin. A first approximation is to take  $A_T^2$  and  $A_T^m$  as  
 1284 constant and  $A_T^{Re}$  as rising linearly, since it must be 0 at  $q^2 = 0$ .



To do the weighting, one also needs to model the  $q^2$  variation of the transverse width. This can be achieved by using the experimental distribution of the events as function of  $q^2$  weighted by the term  $(1 - F_L)$ , modeling a plausible variation of  $(1 - F_L)$  as function of  $q^2$ , as for example:

$$F_L(q_i^2) = \frac{aq_i^2}{1 + aq_i^2} \quad (7)$$

1285 This parameterisation of  $F_L$  is “physics” inspired.  $F_L$  changes rapidly in  
 1286  $q^2$  at low  $q^2$  but must become zero as  $q^2 \rightarrow 0$  (the photon is transversely  
 1287 polarised). It is also expected (in all models) to rise smoothly across the  
 1288  $0 < q^2 < 2 \text{ GeV}^2/c^4$  bin.

### 1289 17.2.1 Correction factors

1290 To first approximation, by neglecting the threshold terms we have underest-  
 1291 imated the size of the angular observables in the  $0 < q^2 < 2 \text{ GeV}^2/c^4$  bin.  
 1292 The multiplicative correction factors needed to correct our measurement take  
 1293 the form of Eq. 8 for  $A_T^2$  and  $A_T^{Im}$  and Eq. 9 for  $A_T^{Re}$ . They can be directly  
 1294 evaluated on data assuming a shape for  $F_L$  as in equation 7.

1295 For a pure signal sample,

$$Corr(A_T^2) = Corr(A_T^{Im}) = \frac{\sum_{i=1}^N (1 - F_L(q_i^2))}{\sum_{i=1}^N \left(\frac{1-x_i}{1+\frac{x_i}{2}}\right) (1 - F_L(q_i^2))} \quad (8)$$

$$Corr(A_T^{Re}) = \frac{\sum_{i=1}^N (1 - F_L(q_i^2))}{\sum_{i=1}^N \left(\frac{\sqrt{1-x_i}}{1+\frac{x_i}{2}}\right) (1 - F_L(q_i^2))} \quad (9)$$

The result of the fit neglecting the threshold terms in the bin  $0 < q^2 < 2 \text{ GeV}^2/c^4$  has to be multiplied by these corrections to take into account the impact of the mass of the muon, as follows (similar relations hold for  $A_T^{Im}$  and  $A_T^{Re}$ ):

$$A_T^2(0.1 - 2) = A_T^2(0.1 - 2)_{fromfit} \times Corr(A_T^2) \quad (10)$$

For the errors we multiply by the corrections on the errors (similar relations hold for  $A_T^{Im}$  and  $A_T^{Re}$ ):

$$err(A_T^2(0.1 - 2)) = err(A_T^2(0.1 - 2))_{fromfit} \times Corr(err(A_T^2)) \quad (11)$$

It can be demonstrated that the corrections for  $S_3$ ,  $A_{FB}$  and  $A_{Im}$  are the same as those for  $A_T^2$ ,  $A_T^{Re}$  and  $A_T^{Im}$  respectively, since, according to section 8.7, the following relations hold:

$$\langle A_{Im} \rangle = \frac{1}{2} \langle \tilde{A}_T^{Im} \rangle (1 - \langle F_L \rangle) \quad (12)$$

$$\langle S_3 \rangle = \frac{1}{2} \langle \tilde{A}_T^{(2)} \rangle (1 - \langle F_L \rangle) \quad (13)$$

$$\langle A_{FB} \rangle = \frac{3}{4} \langle \tilde{A}_T^{Re} \rangle (1 - \langle F_L \rangle) \quad (14)$$

1296 This correction procedure has been validated using the MC, as discussed  
1297 in Appendix E.

### 1298 **17.3 Results of the evaluation of the corrections on** 1299 **data.**

To evaluate the values of the corrections on data where we do not have a pure sample of signal events, Eq. 8 and 9 need to be modified introducing  $W_i$  as follows:

$$Corr(A_T^2) = Corr(A_T^{Im}) = \frac{\sum_{i=1}^N (1 - F_L(q_i^2)) W_i}{\sum_{i=1}^N \left(\frac{1-x}{1+\frac{x_i}{2}}\right) (1 - F_L(q_i^2)) W_i} \quad (15)$$

$$Corr(A_T^{Re}) = \frac{\sum_{i=1}^N (1 - F_L(q_i^2)) W_i}{\sum_{i=1}^N \left(\frac{\sqrt{1-x}}{1+\frac{x_i}{2}}\right) (1 - F_L(q_i^2)) W_i} \quad (16)$$

1300 where  $W_i$  is a weight for the event  $i$ , which is the product of the weight taking  
1301 into account the acceptance effects and a *sPlot* weight that comes from a fit  
1302 to the  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution and is used to subtract the  
1303 background.

1304 The results are shown on table 34 for three possible values of the pa-  
1305 rameter  $a$  (in Eq. 7). The linear approximation of  $A_{FB}$  and  $A_T^{Re}$  is used to  
1306 estimate the size of the correction for these observables.

To determine the parameter  $a$  on data, the mean value of  $F_L$  has been calculated using the following expression:

$$\langle F_L \rangle = \frac{\sum_{i=1}^N F_L(q_i^2) W_i}{\sum_{i=1}^N W_i} = \frac{\sum_{i=1}^N \left(\frac{aq_i^2}{1+aq_i^2}\right) W_i}{\sum_{i=1}^N W_i} \quad (17)$$

1307 scanning the values of  $a$  between 0.2 and 1.3. The resulting curve is shown  
1308 on Fig. 40, and the intersection with the measured value of  $F_L = 0.36 \pm 0.10$   
1309 gives the measured value of  $a$  of  $a = 0.67_{-0.30}^{+0.54}$ .

	$a = 0.37$	$a = 67$	$a = 1.21$
Correction on $A_T^2, S_3, A_T^m, A_{Im}$	1.18	1.20	1.22
Correction on $err(A_T^2), err(S_3),$ $err(A_T^m), err(A_{Im})$	1.16	1.18	1.20
Correction on $A_T^{Re}, A_{FB}$	1.12	1.13	1.14
Correction on $A_T^{Re}, A_{FB}$ (linear approx)	1.06	1.06	1.07
Correction on $err(A_T^{Re}), err(A_{FB})$	1.11	1.12	1.14

Table 34: Values of the corrections evaluated with formulae 15 and 16 using 254 candidates in the range  $(0.1-2) \text{ GeV}^2/c^4$ , assuming a behaviour for  $F_L$  as in Eq. 7. Three different values of the parameter  $a$  of  $F_L(q^2)$ , defined in Eq. 7, have been considered.

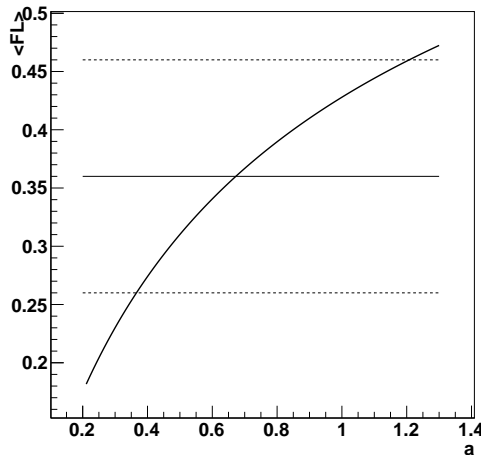


Figure 40: The curve represent the values of  $\langle F_L \rangle$  as function of  $a$  as calculated on data using Eq. 17. The horizontal lines represent the measured value of  $F_L$  and its error. The intersection with the curve gives the measurement of  $a = 0.67^{+0.54}_{-0.30}$ .

1310 As a cross-check we also computed the correction assuming a linear be-  
1311 haviour for  $F_L$  as function of  $q^2$  (see E.2), obtaining similar results.

## 1312 **18 Systematic uncertainties on and cross checks** 1313 **of the angular observables**

1314 Sources of systematic uncertainty are considered if they introduce an an-  
1315 gular or  $q^2$ -dependent bias in the acceptance correction or can significantly  
1316 change the estimated  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  signal yield. This includes data-MC  
1317 corrections that vary with the momentum or  $p_T$  of the kaon, pion or muons.

1318 Common sources of systematic uncertainty for all of the analyses pre-  
1319 sented in this note are:

- 1320 • the statistical uncertainty on the acceptance correction coming from  
1321 limited MC statistics;
- 1322 • the uncertainty on the acceptance coming from the factorisation as-  
1323 sumptions;
- 1324 • the uncertainty on the acceptance coming from data-MC corrections;
- 1325 • the uncertainty on the acceptance correction coming from differences  
1326 in trigger efficiency between data and MC;
- 1327 • the uncertainty on the line-shape of the  $K^+ \pi^- \mu^+ \mu^-$  invariant mass.

1328 For the differential branching fraction analysis, the contributions from:

- 1329 •  $B_s^0 \rightarrow \phi \mu^+ \mu^-$  with  $K \rightarrow \pi$  mis-id;
- 1330 •  $B_s^0 \rightarrow \bar{K}^{*0} \mu^+ \mu^-$ .

1331 are explored. For the angular analysis and zero-crossing point extraction the  
1332 impact of:

- 1333 •  $B^0 \leftrightarrow \bar{B}^0$  mis-id.

1334 is considered. The letter in the subsection headings is a key that can be used  
1335 when referring to the tables that appear later in this section and in Sec. 7.5.

### 1336 **18.1 Statistical uncertainty on the acceptance correc-** 1337 **tion [A]**

1338 The statistical uncertainty on the factorised acceptance correction is small for  
1339 most of the  $q^2$  range. At high- $q^2$  it can become more significant due to limited  
1340 MC statistics. In the  $16 < q^2 < 19 \text{ GeV}^2/c^4$  bin, where the uncertainty is  
1341 largest, the statistical uncertainty on the acceptance corrections is 1-2%.

## 1342 **18.2 Acceptance correction binning [B]**

1343 One potential source of systematic bias is in the choice of  $q^2$  binning for the  
1344 acceptance correction - particularly in regions where the efficiency changes  
1345 rapidly in  $q^2$ . To estimate the maximum possible size of this effect, the fit  
1346 is repeated using the acceptance correction in  $\phi$ ,  $\cos\theta_l$  and  $\cos\theta_K$  for the  
1347 neighbouring  $q^2$  bins.

## 1348 **18.3 Systematic biases on the acceptance correction** 1349 **and the break down of factorisation [C]**

1350 To account for possible systematic biases in the acceptance correction, that  
1351 are not accounted for else-where, an additional systematic uncertainty of 10%  
1352 is applied to the acceptance correction. This is used as a “catch-all” for any  
1353 effect in the acceptance correction that has not been fully understood in the  
1354 studies in this note. To maximise any potential bias coming from this change  
1355 in the acceptance correction this 10% variation is applied in a coherent way,  
1356 e.g.

$$w_i \rightarrow w_i(1 \pm 0.1 \times |\cos\theta_{l;i}|)$$

1357 OR

$$w_i \rightarrow w_i(1 \pm 0.1 \times |\cos\theta_{K;i}|)$$

1358 Variations are also tried in which  $\cos\theta_l$  and  $\cos\theta_K$  efficiencies are varied  
1359 simultaneously. A non-factorisable variation of efficiency where:

$$w_i \rightarrow w_i(1 \pm 0.1 \times \sin(\pi \cdot \cos\theta_{l;i}) \sin(\pi \cdot \cos\theta_{K;i}))$$

1360 is also considered.

1361 No additional variation is applied to the  $\phi$  angle as the  $\phi$ -acceptance  
1362 is thought to be a predominantly geometrical effect and is less effected by  
1363 traditional data-MC differences.

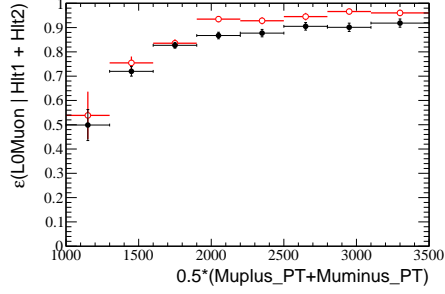
1364 These 10% variations are conservative and could be relaxed if better agree-  
1365 ment were to be achieved for  $B^0 \rightarrow K^{*0}J/\psi$  decay or larger MC statistics were  
1366 available.

## 1367 **18.4 Trigger efficiency [D]**

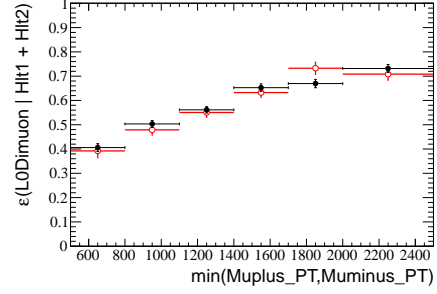
1368 The trigger efficiency in data can estimated using the **Tis-Tos** technique  
1369 on  $B^0 \rightarrow K^{*0}J/\psi$  and compared to MC11a MC that has been selection with

1370 **Stripping 17** and Triggered with TCK 0x40760037. Fig. 41 shows the vari-  
1371 ation of the trigger efficiency in data and MC as a function of the kinematic  
1372 properties of the muon system. For the LOMuon trigger the efficiency is com-  
1373 pared as a function of the average  $p_T$  of the  $\mu^+$  and  $\mu^-$ . Whilst there is a  
1374 clear systematic difference seen in the efficiency, it appears to be indepen-  
1375 dent of the muon  $p_T$  to  $\mathcal{O}(1\%)$ . A similar behaviour is exhibited by H1t 1 and  
1376 H1t 2. At L0 and H1t, 1, the muon kinematics are the dominant contribution  
1377 in determining the trigger efficiency.

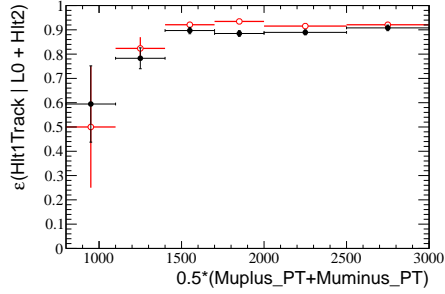
1378 A similar study was completed in Ref. [14] for  $B^0 \rightarrow K^{*0} J/\psi$  in MC10.  
1379 In keeping with the previous analysis the effect of trigger is estimated by  
1380 varying the efficiency of soft muons ( $p \leq 10 \text{ GeV}/c$ ) by 3% in the acceptance  
1381 correction. Remaining differences will be caught by the variation of the  
1382 acceptance correction described above.



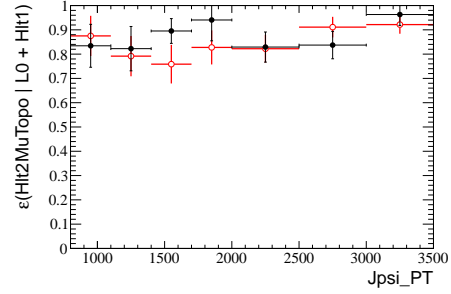
(a) LOMuon



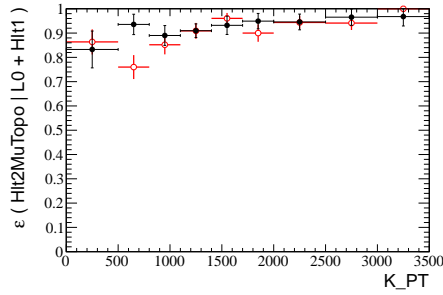
(b) LODimuon



(c) Hlt1Track



(d) Hlt2MuTopo



(e) Hlt2MuTopo

Figure 41: Trigger efficiency for  $B^0 \rightarrow K^{*0}J/\psi$  candidates in data (solid marker) and truth-matched  $B^0 \rightarrow K^{*0}J/\psi$  candidates in MC11a estimated using the Tis-Tos technique.

1383 **18.5 Data-MC corrections**

1384 **18.5.1 IsMuon efficiency [E]**

1385 An estimate for the systematic associated with the IsMuon performance is  
1386 made by fluctuating the efficiency of the two muons in the MC within the un-  
1387 certainty on data-MC correction. For a conservative estimate, the efficiency  
1388 of tracks with momentum  $\leq 10 \text{ GeV}/c$  is fluctuated downwards (upwards)  
1389 and with momentum  $> 10 \text{ GeV}/c$  upwards (downwards) within their uncer-  
1390 tainty. The uncertainty is typically 2 – 10% and varies with momentum and  
1391  $\eta$ . The regions with the largest uncertainty are also the least populated by  
1392 signal candidates in the data.

1393 **18.5.2 Tracking efficiency [F]**

1394 An estimate for the systematic associated with the tracking performance is  
1395 estimated by fluctuating the efficiency for each of the four tracks in MC  
1396 within the uncertainty on data-MC correction. For a conservative estimate,  
1397 the efficiency of tracks with momentum  $\leq 10 \text{ GeV}/c$  is fluctuated downwards  
1398 (upwards) and with momentum  $> 10 \text{ GeV}/c$  upwards (downwards) within  
1399 their uncertainty. The uncertainty is typically 2 – 10% and varies with mo-  
1400 mentum and  $\eta$ . Again, the regions with the largest uncertainty are also the  
1401 least populated.

1402 **18.5.3 PID performance [G]**

1403 The PID distributions used for the MC are sampled from a  $D^{*+}$  calibration  
1404 sample in bins of  $(p, \eta)$  and occupancy. There are two possible sources of  
1405 uncertainty associated with this calibration sample: a statistical uncertainty  
1406 associated with the number of  $K^\pm/\pi^\pm$  candidates in each of the bins and a  
1407 systematic uncertainty associated with the choice of binning.

1408 A systematic uncertainty on the  $DLL_{K\pi}$  and  $DLL_{\mu\pi}$  corrections is esti-  
1409 mated on the binning scheme, by assigning 50% of events within 10% of the  
1410 bin width to the lower (higher) bin edge a DLL from the lower (higher) bin  
1411 of the calibration sample.

1412 **18.5.4 IP smearing [H]**

1413 A conservative estimate of the systematic uncertainty on the IP smearing is  
1414 made by producing an acceptance correction without IP smearing.



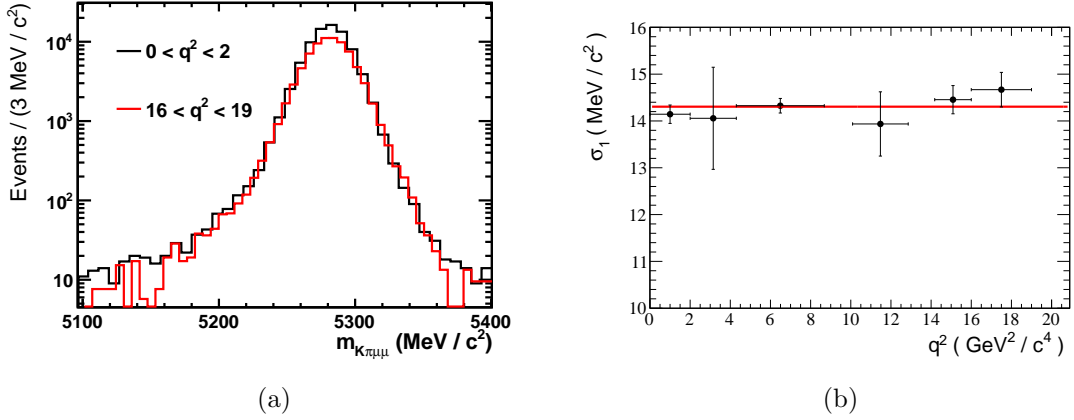


Figure 42: The  $K^+\pi^-\mu^+\mu^-$  invariant mass distribution of MC  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  candidates at high- and low- $q^2$  (a) and the  $q^2$  dependence of the Gaussian width of the double Crystal Ball shapes used to model the invariant mass distribution (b).

### 1415 18.5.5 BDT input variable re-weighting [I]

1416 The variable  $B^0 p_T$  is re-weighted when applying the BDT to the sample of  
 1417 generated events used to defined the acceptance correction. The re-weighting  
 1418 of this variable was removed and a new acceptance correction produced. The  
 1419 same procedure was also performed for the variable  $B^0 p$ .

### 1420 18.6 Signal mass model [J]

1421 In the fits to the  $K^+\pi^-\mu^+\mu^-$  invariant mass, the signal line-shape is assumed  
 1422 to be the same for the signal and control channel and to be independent of  
 1423  $q^2$ . This has been cross checked for simulated  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  events in the  
 1424  $q^2$  bins used in this analysis. The Gaussian width of the double Crystal Ball  
 1425 shapes used to model the invariant mass distribution of these fits can be seen  
 1426 in Figure. 42 (b). A straight-line fit to this data yields a gradient of about  
 1427 5%. This 5% is assigned as a systematic uncertainty by varying the width of  
 1428 the signal dstribution by  $\pm 5\%$  in the likelihood fits.

### 1429 18.7 Background angular model [K]

1430 In the angular fit, the background shape in each angle is modelled by a 2<sup>nd</sup>  
 1431 order polynomial. The systematic uncertainty associated with this choice  
 1432 of parameterisation is estimated by fitting using 0<sup>th</sup>, 1<sup>st</sup> and 3<sup>rd</sup> order poly-  
 1433 nomials. Zeroth- and first-order background models are not expected to

1434 accurately describe background shape. Consider that a zeroth order poly-  
 1435 nomial is unable to model an asymmetric distribution of background events in  
 1436  $\cos\theta_l$ . This will result in the mis-measurement of  $A_{\text{FB}}$  ( $A_{\text{T}}^{Re}$ ). Similarly, a  
 1437 first order polynomial is unable to model any higher-order variations in the  
 1438  $\phi$  distribution of background events, to which the measurement of  $S_3$ ,  $S_9$  and  
 1439  $A_9$  ( $A_{\text{T}}^2$ ,  $A_{\text{T}}^{Im}$ ) are sensitive. The results of this study are in Appendix. H.

1440 The sensitivity of the fit results to statistical fluctuations in the back-  
 1441 ground is examined using pseudo-experiments. Problems could arise due to  
 1442 the small number of background candidates and the event weighting proce-  
 1443 dure. This could lead to events in an unlikely region of phase-space obtaining  
 1444 large weights and dramatically changing the background shape. To explore  
 1445 these effects, 10000 toy datasets are generated with the background flat in  
 1446 the angles (a 0<sup>th</sup> order polynomial). These datasets are fitted with 1<sup>st</sup> and  
 1447 3<sup>rd</sup> order polynomials, and compared to “nominal” fits performed using 2<sup>nd</sup>  
 1448 order polynomials. The results of this study are summarised in Tables. 36-40  
 1449 and Tables. 41-44. These biases are small.

## 1450 **18.8** $K^{*0} \leftrightarrow \bar{K}^{*0}$ mis-id [L]

1451 The systematic bias coming from  $K^{*0} \leftrightarrow \bar{K}^{*0}$  is negligible (below the 1%  
 1452 level) and will only impact  $A_{\text{T}}^{Re}$  and  $A_{\text{T}}^{Im}$  ( $A_{\text{FB}}$ ,  $S_9$  and  $A_9$ ).

## 1453 **18.9** Peaking backgrounds [M]

1454 The uncertainty on the peaking backgrounds from  $B_s^0 \rightarrow \phi\mu^+\mu^-$  ( $\pm 0.5\%$ )  
 1455 and  $B_s^0 \rightarrow K^{*0}\mu^+\mu^-$  are considered for the differential branching fraction.  
 1456  $B_s^0 \rightarrow \bar{K}^{*0}\mu^+\mu^-$  has not yet been seen. For the analysis it is assumed that  
 1457 the ratio of this decay mode to  $B_s^0 \rightarrow K^{*0}\mu^+\mu^-$  is a simple ratio of the CKM  
 1458 elements and  $f_s/f_d$ , i.e. it is approximately 1%. An uncertainty of  $\pm 1\%$  is  
 1459 assumed on this number.

1460 Peaking backgrounds are not accounted for directly in the angular fits.  
 1461 It is difficult to satisfactorily account for this contribution due to the un-  
 1462 known angular distribution of  $B_s^0 \rightarrow \phi\mu^+\mu^-$  and  $B_s^0 \rightarrow \bar{K}^{*0}\mu^+\mu^-$ . Instead a  
 1463 conservative estimate is assumed in which these backgrounds have the same  
 1464 shape as the signal angular distributions, and maximal or minimal values of  
 1465 the physics parameters (e.g.  $A_{FB} = \pm 1$  and  $F_L = 0, 1$ ). This leads to a sys-  
 1466 tematic uncertainty at the level of 2% for  $B_s^0 \rightarrow \phi\mu^+\mu^-$  and  $B_s^0 \rightarrow \bar{K}^{*0}\mu^+\mu^-$ .  
 1467 These variations are not included in the tables below.

1468 **18.10 Multiple candidates [N]**

1469 The fits for the angular observables have been repeated removing all events  
1470 that contain multiple candidates (1%). This has a negligible impact on the  
1471 final result (this variation is not shown in tables below).

1472 **18.11 Removal of soft-tracks [O]**

1473 The fits to the angular observables have also been repeated by removing  
1474 events with tracks with momenta less than 5 GeV/c (and recomputing the  
1475 acceptance correction). This variation is prompted by Fig. 57 in Appendix A.  
1476 The number of  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  candidates removed by this requirement is  
1477 small in the data. These candidates tend to sit at the extremes of  $\cos \theta_K$   
1478 and typically have large weights. The effect of removing these candidates is  
1479 indicated in Tables. 41-44.

1480 **18.12 Uncertainty on the S-wave component [P]**

1481 The fits are performed assuming the absence of an S-wave component. The  
1482 systematic uncertainty introduced by this assumption was estimated by in-  
1483 corporating an s-wave into the pdf with the properties extracted in Sec. 16.  
1484 This corresponds to the parameters  $A_S = -0.11$  and  $F_S = 0.07$ .

1485 **18.13 Estimation of the systematic uncertainty on the**  
1486 **angular observables**

1487 Systematic uncertainties on the angular observables have been estimated in  
1488 two ways:

- 1489 1. In an ad-hoc way, by systematically varying the acceptance correction  
1490 and repeating the fit to the data with weights from this new acceptance  
1491 correction;
- 1492 2. Using toy pseudo-experiments.

1493 Results from the first approach are included in Appendix. H. The second  
1494 approach is described below.

1495 In the toy approach, the typical size of the systematic bias is estimated  
1496 by generating toys with the nominal acceptance effect and the signal and  
1497 background parameters fixed to their best fit values to the data. In the FC  
1498 toys, each candidate is the weighted by the same acceptance function that is  
1499 used to accept-reject events. Here, the toys are instead weighted according  
1500 to the acceptance effect after the systematic effect of interest has been varied;  
1501 i.e. the acceptance used to weight the toys is not the same as the one that  
1502 has been used to accept-reject them.

1503 Ten thousand toy datasets were generated for each systematic variation  
1504 described above, with the measured central values in Tables 21 and 22. The  
1505 standard angular fit was then performed on each generated dataset to ob-  
1506 tain the distribution of fitted values for each angular observable and each  
1507 systematic variation.

1508 The size of the systematic uncertainty on each physics parameter is cal-  
1509 culated as the difference between the value of the physic parameter used to  
1510 generate the toys and the mean value of the parameter from the angular  
1511 fits to the toys. The standard error on the mean is used as a measure of the  
1512 statistical uncertainty arising from the limited number of generated datasets,  
1513 for each observable in each  $q^2$  bin.

1514 This procedure is not used to estimate the systematic uncertainty related  
1515 to peaking backgrounds, which is described in section 18.9, or that related  
1516 to multiple candidates, which is described in section 18.10.

## 1517 19 Calculating the overall systematic contri- 1518 bution

1519 The combined systematic uncertainty on each observable is then calculated  
1520 from:

- 1521 • the largest of the  $\cos \theta_l$  [up,down],  $\cos \theta_K$  [up,down], and non-factorisable  
1522  $\cos \theta_l \cos \theta_K$  [up,down] variations;
- 1523 • the systematic variation of the muon identification efficiency;
- 1524 • the systematic variation of the tracking efficiency;
- 1525 • the systematic variation of the trigger efficiency;
- 1526 • the systematic variation between the IP smeared and the non-IP smeared  
1527 simulated events;
- 1528 • the systematic variation of the signal mass resolution;
- 1529 • the systematic variation of the PID, by varying the PID binning;
- 1530 • the systematic variation achieved when using the neighbouring  $q^2$  bin  
1531 for the acceptance;
- 1532 • the introduction of a 7% S-wave;
- 1533 • the possible bias from peaking backgrounds.

1534 These contributions were added in quadrature ignoring correlations.

1535 For completeness, the variations that do not represent reasonable changes  
1536 in the analysis procedure and instead constitute cross checks are listed below:

- 1537 • Cut on the hadron momentum;
- 1538 • Tightening of the peaking background vetoes;
- 1539 • Reweighting (or not) the  $B$  momentum and the  $B p_T$ .
- 1540 • Removal of events containing multiple candidates.
- 1541 • Variation of the background angular fit to  $0^{th}$ ,  $1^{st}$  or  $3^{rd}$  order (see  
1542 Appendix H).

1543 These variations do not have any significant impact on the final result.

1544 The values in the tables of systematic uncertainties, shown in section 19.0.1,  
1545 are calculated in the following way. Toy datasets are produced by generating  
1546 events and performing an accept-reject procedure to replicate the acceptance  
1547 effect. The systematic studies are performed by re-weighting the events ac-  
1548 cording to a systematically varied acceptance correction and performing the  
1549 angular fit. The results of these fits are compared to the “nominal” fit result,  
1550 when using the same acceptance correction that is used to accept-reject the  
1551 events.

1552 Ten thousand datasets are generated using the same acceptance correction  
1553 that is used to accept-reject the events. These datasets are fitted, obtaining  
1554 a distribution of fitted values for each observable. The mean of these distri-  
1555 butions are shown in the first row of the tables, the row labelled “nominal”.  
1556 Ten thousand datasets are then generated for each systematic variation, now  
1557 using a systematically varied acceptance correction. The same fit is then  
1558 performed on each of these datasets to obtain a systematically varied distri-  
1559 bution of fitted values. The mean of each systematically varied distribution  
1560 is extracted. The difference of the two means is then the systematic uncer-  
1561 tainty that corresponds to each systematic variation, and is shown in the  
1562 tables.

1563 The standard error on each ‘nominal’ value is also calculated. If the  
1564 standard error is larger than a given systematic uncertainty obtained from  
1565 the above procedure, then the standard error is taken as that systematic  
1566 uncertainty.

### 19.0.1 Glossary of contributions

1567

Systematic	Description
CTK[...]	Variation of the acceptance in $\cos\theta_K$ by $\pm 10\%$
CTL[...]	Variation of the acceptance in $\cos\theta_l$ by $\pm 10\%$
CTL,CTK[...]	Variation of the acceptance in $\cos\theta_l$ and $\cos\theta_K$ by $\pm 10\%$ in a factorisable way
Nonfac	Variation of the acceptance in $\cos\theta_l$ and $\cos\theta_K$ by $10\%$ in a non-factorisable way
HadronP	Removal of tracks with $p < 5 \text{ GeV}/c$ in the analysis and acceptance correction
Muon ID	Variation of <code>IsMuon</code> relative efficiency within its measured uncertainty
KPI[...]	Variation of the $K^{*0} \rightarrow \bar{K}^{*0}$ mis-ID
NoMultCan	Removal of events containing multiple candidates
Sigma[...]	Variation of the mass resolution of $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ with respect to $B^0 \rightarrow K^{*0} J/\psi$ by $5\%$
Tracking	Variation of the relative tracking efficiency between data and MC within in the measured uncertainty
Trigger	Simulation of trigger efficiency with a $3\%$ efficiency loss for soft-muons
S-wave	See text
PID Correction [Up,Down]	Assignment of DLL value for neighbouring bin for events close to bin edge
Bin [ Up, Down ]	Change $q^2$ binning scheme take acceptance for bin above or bin below.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	-0.020 +/- 0.001	-0.185 +/- 0.001	0.171 +/- 0.000	0.277 +/- 0.001	0.486 +/- 0.001	0.291 +/- 0.001	-0.151 +/- 0.001
AC CTKL Up [C]	-0.002	-0.003	0.002	0.004	0.006	0.002	-0.002
AC CTKL Down [C]	0.001	0.004	-0.002	-0.004	-0.005	-0.004	0.004
AC CTK Up [C]	0.001	0.006	-0.005	-0.007	-0.010	-0.006	0.006
AC CTK Down [C]	0.000	-0.007	0.005	0.008	0.012	0.007	-0.005
AC CTKL Up CTK Down [C]	0.001	0.003	-0.004	-0.003	-0.004	-0.004	0.002
AC CTKL Down CTK Up [C]	-0.000	0.010	-0.008	-0.011	-0.013	-0.012	0.010
AC CTKL Up CTK Down [C]	-0.003	-0.010	0.009	0.014	0.016	0.011	-0.008
AC CTKL Down CTK Down [C]	-0.002	-0.002	0.003	0.004	0.006	0.002	-0.002
AC Non-factorisable Up [C]	0.001	0.001	-0.000	0.001	0.000	-0.000	0.002
AC Non-factorisable Down [C]	0.002	-0.000	0.001	-0.000	-0.000	0.001	0.000
AC $q^2$ binning +1 [B]	0.001	-0.002	0.002	0.002	-0.003	-0.007	-0.001
AC $q^2$ binning -1 [B]	-0.001	0.004	-0.002	0.005	0.007	0.005	0.001
1st Order Background Model [K]	-0.001	0.002	0.000	0.001	0.002	-0.000	0.000
3rd Order Background Model [K]	-0.000	-0.001	-0.000	0.000	-0.001	0.000	0.002
$K^{*0}$ mis-ID Down [L]	-0.000	0.002	-0.001	-0.000	0.000	0.000	0.001
$K^{*0}$ mis-ID Up [L]	-0.001	-0.001	0.001	0.001	0.002	0.000	0.000
Signal mass width Down [J]	-0.002	-0.000	0.001	0.002	-0.000	-0.000	0.001
Signal mass width Up [J]	-0.001	0.001	0.001	0.001	0.001	0.000	0.001
S-wave component [P]	-0.000	0.007	-0.008	-0.011	-0.014	-0.012	0.007
IP Smearing [H]	0.002	0.002	-0.001	0.001	0.005	0.001	-0.002
$B^0$ $p$ re-weighting [I]	-0.001	-0.000	0.002	0.003	0.002	0.001	-0.001
$B^0$ $p$ re-weighting [I]	-0.000	-0.002	0.002	0.006	0.005	0.003	-0.001
IsMuon efficiency Up [E]	0.001	0.002	-0.001	0.000	0.001	0.000	0.002
IsMuon efficiency Down [E]	-0.001	-0.006	0.002	0.001	0.002	-0.001	-0.002
Removal of soft tracks [O]	0.001	-0.003	0.003	0.007	0.007	0.004	-0.002
PID performance +5% [G]	0.001	0.001	-0.000	0.001	0.000	-0.003	0.001
PID performance -5% [G]	-0.001	0.000	0.000	-0.002	0.000	-0.003	0.002
PID performance +10% [G]	-0.001	0.002	-0.001	-0.001	0.000	-0.002	0.003
PID performance -10% [G]	-0.000	-0.002	-0.001	0.001	-0.003	-0.002	0.002
PID performance +30% [G]	-0.001	-0.000	-0.001	0.000	0.001	-0.000	0.004
PID performance -30% [G]	-0.000	-0.001	-0.000	0.001	-0.003	-0.003	0.003
Tracking efficiency Up [F]	0.002	-0.000	0.001	-0.000	0.001	0.001	0.001
Tracking efficiency Down [F]	0.000	-0.001	0.001	0.000	-0.000	-0.000	0.001
Trigger efficiency Up [D]	0.001	-0.002	0.001	0.003	0.004	-0.000	-0.001
Trigger efficiency Down [D]	0.000	0.000	0.002	0.002	0.002	0.001	0.000

Table 36: Variation of  $A_{FB}$  when systematically varying fit parameters or the weights applied to the input data set.



Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	0.362 +/- 0.001	0.669 +/- 0.001	0.551 +/- 0.001	0.462 +/- 0.001	0.330 +/- 0.001	0.374 +/- 0.001	0.633 +/- 0.001
AC CTKL Up [C]	-0.010	-0.008	-0.008	-0.007	-0.010	-0.007	-0.006
AC CTKL Down [C]	0.012	0.004	0.010	0.008	0.007	0.008	0.012
AC CTK Up [C]	0.017	0.010	0.018	0.018	0.014	0.015	0.018
AC CTK Down [C]	-0.019	-0.014	-0.021	-0.017	-0.018	-0.019	-0.016
AC CTKL Up CTK Down [C]	0.008	0.005	0.012	0.011	0.006	0.010	0.009
AC CTKL Down CTK Up [C]	0.028	0.017	0.027	0.025	0.019	0.025	0.027
AC CTKL Up CTK Down [C]	-0.030	-0.022	-0.029	-0.028	-0.025	-0.027	-0.025
AC CTKL Down CTK Down [C]	-0.011	-0.008	-0.010	-0.010	-0.010	-0.008	-0.007
AC Non-factorisable Up [C]	-0.001	-0.001	-0.000	0.000	-0.001	0.000	0.002
AC Non-factorisable Down [C]	0.001	-0.001	-0.001	0.000	-0.000	-0.002	0.001
AC $q^2$ binning +1 [B]	0.004	-0.003	-0.007	-0.001	0.004	0.014	-0.001
AC $q^2$ binning -1 [B]	-0.005	0.004	0.008	-0.012	-0.013	-0.011	0.007
1st Order Background Model [K]	0.001	0.005	-0.001	0.002	-0.002	-0.001	0.002
3rd Order Background Model [K]	-0.001	-0.005	-0.000	-0.001	-0.000	-0.002	0.001
$K^{*0}$ mis-ID Down [L]	-0.000	-0.000	-0.000	-0.001	-0.003	-0.001	0.002
$K^{*0}$ mis-ID Up [L]	-0.001	-0.001	0.000	-0.000	-0.002	0.000	0.001
Signal mass width Down [J]	0.001	-0.003	-0.000	-0.003	0.000	-0.000	0.001
Signal mass width Up [J]	-0.000	-0.002	-0.001	-0.001	-0.002	0.000	0.001
S-wave component [P]	0.004	-0.009	-0.008	-0.001	0.003	0.003	-0.009
IP Smearing [H]	0.017	-0.000	0.003	0.000	-0.007	-0.007	-0.007
$B^0$ $pt$ re-weighting [I]	-0.002	-0.003	-0.004	-0.004	-0.004	-0.002	-0.002
$B^0$ $p$ re-weighting [I]	0.003	-0.005	-0.010	-0.011	-0.008	-0.005	-0.004
IsMuon efficiency Up [E]	0.007	0.002	0.002	0.000	-0.001	-0.002	0.007
IsMuon efficiency Down [E]	-0.013	-0.011	-0.005	-0.000	-0.002	0.002	-0.005
Removal of soft tracks [O]	0.004	-0.006	-0.012	-0.015	-0.012	-0.015	-0.003
PID performance +5% [G]	0.005	0.001	0.003	-0.000	0.001	0.007	0.006
PID performance -5% [G]	0.003	-0.000	0.002	0.005	-0.001	0.006	0.007
PID performance +10% [G]	0.011	-0.000	0.004	0.001	-0.001	0.005	0.010
PID performance -10% [G]	-0.004	-0.006	0.002	-0.000	0.004	0.004	0.006
PID performance +30% [G]	0.011	-0.001	0.004	0.002	-0.002	0.003	0.010
PID performance -30% [G]	-0.002	-0.004	0.002	0.000	0.004	0.004	0.006
Tracking efficiency Up [F]	-0.001	-0.002	-0.002	-0.001	-0.002	-0.001	0.002
Tracking efficiency Down [F]	-0.001	-0.002	0.001	0.002	-0.000	-0.000	0.002
Trigger efficiency Up [D]	-0.002	-0.003	-0.005	-0.005	-0.006	-0.003	-0.003
Trigger efficiency Down [D]	0.003	-0.002	-0.005	-0.006	-0.004	-0.004	-0.000

Table 37: Variation of  $F_L$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	-0.050 +/- 0.001	-0.027 +/- 0.001	0.072 +/- 0.001	-0.142 +/- 0.001	0.029 +/- 0.001	-0.203 +/- 0.001	0.023 +/- 0.001
AC CTKL Up [C]	-0.002	-0.001	0.001	-0.001	-0.003	-0.002	0.003
AC CTKL Down [C]	-0.001	-0.002	0.000	0.000	-0.001	-0.001	0.001
AC CTK Up [C]	0.000	-0.000	-0.001	0.003	-0.004	0.003	0.001
AC CTK Down [C]	-0.002	0.000	0.002	-0.003	-0.002	-0.005	0.003
AC CTKL Up CTK Down [C]	0.000	-0.000	-0.001	0.001	-0.002	-0.001	-0.000
AC CTKL Down CTK Up [C]	0.000	0.000	-0.002	0.004	-0.003	0.004	0.002
AC CTKL Up CTK Down [C]	-0.002	-0.002	0.003	-0.005	-0.000	-0.005	0.002
AC CTKL Down CTK Down [C]	-0.001	-0.002	0.001	-0.004	-0.001	-0.005	0.003
AC Non-factorisable Up [C]	-0.001	-0.003	0.002	-0.001	-0.001	-0.002	0.002
AC Non-factorisable Down [C]	-0.000	-0.002	0.001	-0.001	-0.000	-0.001	0.000
AC $q^2$ binning +1 [B]	-0.002	0.000	-0.001	0.004	0.001	0.002	0.001
AC $q^2$ binning -1 [B]	0.004	-0.002	-0.003	-0.008	0.006	0.000	0.001
1st Order Background Model [K]	-0.001	0.000	0.000	-0.001	-0.003	-0.001	0.002
3rd Order Background Model [K]	-0.001	-0.001	0.001	-0.001	-0.002	-0.001	0.001
$K^{*0}$ mis-ID Down [L]	0.000	-0.000	0.001	-0.001	-0.001	-0.001	0.001
$K^{*0}$ mis-ID Up [L]	-0.000	-0.001	0.001	-0.002	-0.001	-0.001	0.002
Signal mass width Down [J]	-0.000	-0.001	0.001	-0.002	-0.002	-0.001	0.003
Signal mass width Up [J]	-0.001	-0.002	0.001	-0.001	-0.002	0.000	0.002
S-wave component [P]	0.005	0.002	-0.006	0.011	-0.005	0.016	-0.001
IP Smearing [H]	0.003	-0.002	0.000	0.003	0.003	-0.010	0.005
$B^0$ $p_T$ re-weighting [I]	0.001	0.000	0.001	-0.003	-0.000	-0.000	0.002
$B^0$ $p$ re-weighting [I]	-0.002	0.000	0.004	-0.002	-0.001	0.001	0.002
IsMuon efficiency Up [E]	0.000	-0.000	0.001	-0.001	-0.001	-0.000	0.002
IsMuon efficiency Down [E]	-0.003	-0.002	0.001	-0.001	-0.004	-0.001	-0.000
Removal of soft tracks [O]	-0.003	0.000	0.001	-0.003	-0.001	-0.006	-0.002
PID performance +5% [G]	0.001	-0.000	-0.002	-0.001	-0.004	0.000	0.001
PID performance -5% [G]	0.000	-0.000	-0.001	0.000	-0.001	0.001	0.001
PID performance +10% [G]	0.004	0.001	-0.002	-0.001	-0.003	0.002	-0.001
PID performance -10% [G]	0.000	0.001	-0.001	-0.000	-0.006	-0.003	0.002
PID performance +30% [G]	0.005	0.000	-0.002	0.000	-0.004	0.002	0.001
PID performance -30% [G]	-0.001	0.000	-0.002	-0.001	-0.006	-0.003	0.001
Tracking efficiency Up [F]	-0.001	-0.001	0.001	-0.001	-0.002	-0.001	0.002
Tracking efficiency Down [F]	-0.001	-0.001	0.000	0.000	-0.001	-0.001	0.001
Trigger efficiency Up [D]	-0.003	0.001	0.003	0.000	-0.002	-0.002	0.000
Trigger efficiency Down [D]	-0.003	-0.001	0.002	-0.001	-0.001	-0.000	0.002

Table 38: Variation of  $S_3$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	0.056 +/- 0.001	-0.018 +/- 0.001	0.010 +/- 0.001	-0.019 +/- 0.001	0.002 +/- 0.001	0.057 +/- 0.001	0.065 +/- 0.001
AC CTKL Up [C]	0.002	0.000	-0.000	-0.000	0.000	0.000	0.000
AC CTKL Down [C]	0.000	0.001	-0.000	-0.000	-0.000	0.002	-0.002
AC CTK Up [C]	-0.003	0.001	0.000	-0.000	0.000	-0.001	-0.002
AC CTK Down [C]	0.000	0.000	0.001	-0.002	0.002	0.001	0.001
AC CTKL Up CTK Down [C]	-0.002	0.001	-0.001	0.000	-0.000	-0.002	-0.002
AC CTKL Down CTK Up [C]	-0.002	-0.000	-0.001	0.001	0.001	-0.002	-0.003
AC CTKL Up CTK Down [C]	0.000	0.000	-0.001	-0.000	-0.001	0.001	0.003
AC CTKL Down CTK Down [C]	0.000	0.002	-0.000	-0.001	-0.000	0.001	0.003
AC Non-factorisable Up [C]	0.001	-0.001	0.000	0.000	-0.001	-0.000	-0.001
AC Non-factorisable Down [C]	-0.002	0.000	0.000	-0.000	-0.002	-0.000	0.000
AC $q^2$ binning +1 [B]	-0.001	0.000	0.000	-0.000	-0.001	-0.002	-0.000
AC $q^2$ binning -1 [B]	0.002	0.001	-0.001	0.001	-0.002	-0.000	-0.000
1st Order Background Model [K]	-0.001	0.001	-0.001	0.000	0.001	-0.000	-0.001
3rd Order Background Model [K]	-0.001	0.001	-0.001	-0.001	-0.001	-0.002	-0.000
$K^{*0}$ mis-ID Down [L]	-0.000	-0.000	-0.000	-0.002	-0.001	-0.001	-0.000
$K^{*0}$ mis-ID Up [L]	-0.001	0.000	-0.001	-0.001	-0.002	0.001	-0.001
Signal mass width Down [J]	0.002	-0.001	-0.001	-0.001	-0.001	-0.001	-0.001
Signal mass width Up [J]	-0.000	0.000	-0.001	-0.000	0.001	-0.001	-0.002
S-wave component [P]	-0.006	-0.001	-0.002	0.000	-0.001	-0.005	-0.006
IP Smearing [H]	-0.001	0.001	-0.001	-0.000	-0.001	0.000	0.000
$B^0$ $p$ re-weighting [I]	-0.000	-0.001	-0.000	0.001	-0.000	-0.002	-0.001
$B^0$ $p$ re-weighting [I]	-0.001	-0.001	-0.001	0.000	-0.000	0.001	0.002
IsMuon efficiency Up [E]	-0.000	0.001	0.001	0.001	-0.000	0.001	-0.000
IsMuon efficiency Down [E]	-0.000	-0.000	-0.001	-0.001	-0.001	-0.001	0.000
Removal of soft tracks [O]	-0.001	0.000	0.000	-0.001	-0.001	-0.000	-0.001
PID performance +5% [G]	-0.001	0.002	-0.000	0.000	-0.002	-0.001	-0.002
PID performance -5% [G]	-0.001	0.000	0.001	0.000	-0.000	-0.001	-0.002
PID performance +10% [G]	-0.000	-0.001	-0.001	-0.001	0.001	-0.001	-0.000
PID performance -10% [G]	0.000	-0.002	0.000	-0.000	-0.000	-0.002	0.001
PID performance +30% [G]	-0.000	0.001	-0.000	-0.001	-0.001	-0.000	-0.001
PID performance -30% [G]	0.000	-0.001	0.000	0.001	0.000	-0.002	-0.001
Tracking efficiency Up [F]	-0.001	-0.000	-0.001	0.000	-0.001	0.000	-0.001
Tracking efficiency Down [F]	-0.002	-0.000	-0.001	-0.000	-0.000	-0.001	-0.001
Trigger efficiency Up [D]	-0.001	-0.000	-0.001	0.000	-0.000	0.000	0.001
Trigger efficiency Down [D]	-0.000	-0.000	-0.001	0.002	0.000	0.001	0.000

Table 39: Variation of  $S_9$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	0.119 +/- 0.001	0.048 +/- 0.001	-0.133 +/- 0.001	0.002 +/- 0.001	-0.061 +/- 0.001	0.001 +/- 0.001	0.021 +/- 0.001
AC CTKL Up [C]	0.002	-0.001	-0.001	-0.002	-0.001	-0.000	0.001
AC CTKL Down [C]	0.001	-0.001	0.000	-0.001	0.000	-0.000	-0.001
AC CTK Up [C]	-0.001	-0.001	0.003	-0.001	0.001	0.002	0.001
AC CTK Down [C]	0.004	0.003	-0.005	-0.000	0.000	0.002	0.002
AC CTKL Up CTK Down [C]	0.000	-0.000	0.003	0.000	0.000	-0.001	-0.000
AC CTKL Down CTK Up [C]	0.000	-0.002	0.005	-0.000	0.001	0.000	-0.000
AC CTKL Up CTK Down [C]	0.003	0.002	-0.005	-0.001	-0.002	-0.001	-0.002
AC CTKL Down CTK Down [C]	0.004	0.002	-0.004	0.001	-0.000	0.001	0.001
AC Non-factorisable Up [C]	0.002	0.000	-0.001	0.001	0.000	0.003	0.000
AC Non-factorisable Down [C]	0.001	0.001	-0.001	-0.001	0.000	0.002	0.001
AC $q^2$ binning +1 [B]	-0.002	-0.001	-0.003	-0.000	0.001	0.001	0.001
AC $q^2$ binning -1 [B]	0.003	0.000	0.001	0.000	0.000	0.001	0.001
1st Order Background Model [K]	0.003	-0.001	-0.000	-0.000	0.000	0.001	-0.001
3rd Order Background Model [K]	0.002	-0.001	0.000	-0.001	0.002	-0.001	0.001
$K^{*0}$ mis-ID Down [L]	0.001	-0.001	-0.000	-0.001	0.001	0.000	0.001
$K^{*0}$ mis-ID Up [L]	0.001	-0.000	-0.001	0.000	0.001	0.001	0.000
Signal mass width Down [J]	0.001	0.002	-0.001	0.003	-0.000	0.003	0.001
Signal mass width Up [J]	0.001	0.000	-0.001	-0.000	0.001	0.000	0.001
S-wave component [P]	-0.008	-0.001	0.013	-0.001	0.007	0.002	-0.003
IP Smearing [H]	-0.001	0.001	-0.001	-0.000	-0.001	0.001	0.000
$B^0$ $pt$ re-weighting [I]	0.000	0.000	-0.002	-0.001	0.000	0.002	0.000
$B^0$ $p$ re-weighting [I]	0.003	0.001	-0.002	-0.002	0.000	-0.000	0.000
IsMuon efficiency Up [E]	0.002	-0.001	0.001	-0.001	-0.001	0.001	0.002
IsMuon efficiency Down [E]	0.002	0.002	-0.001	-0.002	0.001	0.001	0.002
Removal of soft tracks [O]	0.003	-0.000	-0.002	0.000	-0.000	0.002	0.000
PID performance +5% [G]	0.001	0.000	0.000	-0.001	0.002	0.001	0.000
PID performance -5% [G]	-0.000	-0.001	0.001	-0.001	0.001	0.001	0.001
PID performance +10% [G]	0.002	-0.000	0.000	-0.002	-0.001	0.002	0.001
PID performance -10% [G]	0.003	0.000	0.001	-0.002	0.001	0.001	-0.000
PID performance +30% [G]	0.001	0.001	-0.000	0.000	-0.000	0.002	0.000
PID performance -30% [G]	0.001	0.001	0.001	0.001	0.001	0.000	0.000
Tracking efficiency Up [F]	0.000	0.002	-0.001	0.000	0.001	0.001	0.001
Tracking efficiency Down [F]	0.001	-0.000	-0.000	-0.001	0.001	0.002	0.000
Trigger efficiency Up [D]	0.003	-0.000	-0.001	-0.002	-0.001	0.000	0.001
Trigger efficiency Down [D]	0.004	0.001	-0.001	-0.000	-0.002	-0.000	0.002

Table 40: Variation of  $A_9$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	-0.045 +/- 0.002	-0.745 +/- 0.003	0.511 +/- 0.001	0.697 +/- 0.001	0.960 +/- 0.001	0.626 +/- 0.001	-0.556 +/- 0.002
AC CTKL Up [C]	0.005	-0.002	0.002	-0.000	0.001	0.002	-0.001
AC CTKL Down [C]	0.005	0.002	0.001	-0.001	0.001	0.000	-0.002
AC CTK Up [C]	0.008	0.004	0.005	0.004	0.003	0.004	-0.006
AC CTK Down [C]	0.007	0.003	-0.005	-0.004	-0.001	-0.002	0.003
AC CTKL Up CTK Down [C]	0.008	-0.003	0.006	0.003	0.003	0.005	-0.006
AC CTKL Down CTK Up [C]	-0.000	-0.001	0.006	0.003	0.001	0.007	-0.006
AC CTKL Up CTK Down [C]	0.004	-0.002	-0.005	-0.005	-0.001	0.007	-0.006
AC CTKL Down CTK Down [C]	0.003	0.002	-0.004	-0.004	-0.001	-0.004	0.009
AC Non-factorisable Up [C]	0.001	0.007	0.002	0.001	0.001	0.003	-0.005
AC Non-factorisable Down [C]	0.000	-0.001	0.001	-0.002	0.000	-0.001	-0.001
AC $q^2$ binning +1 [B]	0.006	-0.002	-0.001	-0.002	0.001	0.005	-0.003
AC $q^2$ binning -1 [B]	0.003	-0.001	0.003	-0.005	0.000	0.002	-0.001
1st Order Background Model [K]	0.010	0.002	0.001	0.001	0.002	0.001	-0.001
3rd Order Background Model [K]	0.003	0.002	0.003	-0.001	-0.001	-0.000	-0.003
$K^{*0}$ mis-ID Down [L]	0.003	0.001	-0.001	-0.002	-0.001	-0.003	-0.000
$K^{*0}$ mis-ID Up [L]	0.003	-0.003	0.003	0.002	0.003	0.004	-0.000
Signal mass width Down [J]	0.001	-0.003	-0.000	0.001	0.001	0.000	-0.001
Signal mass width Up [J]	-0.001	-0.001	-0.000	0.000	0.001	0.002	-0.001
S-wave component [P]	0.005	0.039	-0.032	-0.032	-0.021	-0.022	0.042
IP Smearing [H]	0.004	0.004	0.001	0.002	0.001	-0.003	0.001
$B^0$ $p$ re-weighting [I]	0.006	-0.003	0.000	-0.002	0.000	0.002	-0.001
$B^0$ $p$ re-weighting [I]	0.007	-0.001	0.002	0.000	0.001	-0.001	-0.002
IsMuon efficiency Up [E]	0.000	0.001	-0.001	0.000	0.002	0.002	-0.001
IsMuon efficiency Down [E]	0.007	-0.005	-0.001	-0.000	0.001	0.001	-0.001
Removal of soft tracks [O]	0.003	-0.000	-0.005	-0.001	0.000	-0.001	-0.001
PID performance +5% [G]	0.001	0.005	0.004	0.003	0.001	0.002	-0.003
PID performance -5% [G]	0.003	-0.005	0.001	0.000	0.002	0.003	-0.005
PID performance +10% [G]	-0.000	0.000	0.004	0.002	0.001	0.004	-0.001
PID performance -10% [G]	0.004	-0.001	0.002	0.002	0.002	0.001	-0.003
PID performance +30% [G]	0.004	0.001	-0.000	0.000	0.000	0.003	-0.006
PID performance -30% [G]	0.001	-0.001	0.001	0.001	0.001	0.002	-0.005
Tracking efficiency Up [F]	0.003	-0.001	-0.002	-0.001	0.002	0.001	-0.000
Tracking efficiency Down [F]	0.001	-0.003	0.001	-0.002	0.003	0.001	0.000
Trigger efficiency Up [D]	0.002	-0.005	-0.000	-0.003	0.001	0.000	0.002
Trigger efficiency Down [D]	0.002	-0.001	-0.001	-0.002	0.001	0.002	-0.002

Table 41: Variation of  $A_T^{Re}$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	0.361 +/- 0.001	0.703 +/- 0.001	0.552 +/- 0.001	0.466 +/- 0.001	0.312 +/- 0.001	0.374 +/- 0.001	0.640 +/- 0.001
AC CTKL Up [C]	-0.008	-0.005	-0.008	-0.007	-0.007	-0.005	-0.009
AC CTKL Down [C]	0.012	0.008	0.010	0.010	0.007	0.012	0.010
AC CTK Up [C]	0.018	0.015	0.017	0.017	0.015	0.017	0.016
AC CTK Down [C]	-0.019	-0.016	-0.020	-0.020	-0.018	-0.016	-0.020
AC CTKL Up CTK Down [C]	0.009	0.009	0.011	0.011	0.008	0.013	0.007
AC CTKL Down CTK Up [C]	0.028	0.023	0.026	0.026	0.023	0.028	0.026
AC CTKL Up CTK Down [C]	-0.027	-0.024	-0.028	-0.029	-0.025	-0.027	-0.027
AC CTKL Down CTK Down [C]	-0.008	-0.007	-0.010	-0.010	-0.007	-0.009	-0.009
AC Non-factorisable Up [C]	0.002	0.001	-0.001	-0.000	-0.001	0.000	-0.000
AC Non-factorisable Down [C]	0.001	0.001	-0.001	-0.001	0.000	0.001	-0.000
AC $q^2$ binning +1 [B]	0.006	-0.002	-0.007	-0.001	0.004	0.016	-0.002
AC $q^2$ binning -1 [B]	-0.005	0.007	0.008	-0.011	-0.012	-0.010	0.005
1st Order Background Model [K]	-0.001	0.004	-0.001	-0.001	0.002	0.001	-0.000
3rd Order Background Model [K]	0.002	-0.001	-0.000	-0.001	-0.001	-0.001	-0.000
$K^{*0}$ mis-ID Down [L]	-0.000	0.002	-0.000	-0.000	0.001	0.001	-0.001
$K^{*0}$ mis-ID Up [L]	0.001	0.001	0.000	-0.001	-0.001	0.001	0.000
Signal mass width Down [J]	-0.001	0.001	0.000	-0.001	0.000	0.001	-0.000
Signal mass width Up [J]	-0.000	0.000	-0.001	-0.001	-0.000	0.000	-0.001
S-wave component [P]	0.004	-0.013	-0.009	-0.001	0.011	0.005	-0.014
IP Smearing [H]	0.019	-0.001	0.003	0.002	-0.006	-0.004	-0.009
$B^0$ $pt$ re-weighting [I]	-0.002	-0.002	-0.005	-0.005	-0.002	-0.001	-0.002
$B^0$ $p$ re-weighting [I]	0.002	-0.004	-0.009	-0.012	-0.007	-0.004	-0.006
IsMuon efficiency Up [E]	0.008	0.008	0.002	-0.000	0.000	0.001	0.006
IsMuon efficiency Down [E]	-0.012	-0.010	-0.004	0.000	0.001	0.004	-0.008
Removal of soft tracks [O]	0.005	-0.003	-0.012	-0.015	-0.009	-0.011	-0.005
PID performance +5% [G]	0.007	0.003	0.003	0.001	0.002	0.010	0.004
PID performance -5% [G]	0.005	0.003	0.003	0.006	0.002	0.009	0.004
PID performance +10% [G]	0.011	0.002	0.005	0.003	0.001	0.005	0.007
PID performance -10% [G]	-0.005	-0.002	0.002	0.002	0.007	0.007	0.004
PID performance +30% [G]	0.013	0.003	0.003	0.002	0.006	0.006	0.008
PID performance -30% [G]	-0.002	-0.001	0.002	0.001	0.005	0.008	0.004
Tracking efficiency Up [F]	0.001	-0.001	-0.002	-0.000	-0.000	0.001	-0.002
Tracking efficiency Down [F]	0.001	-0.000	0.001	0.000	0.001	0.002	0.002
Trigger efficiency Up [D]	-0.001	-0.002	-0.005	-0.007	-0.003	-0.001	-0.004
Trigger efficiency Down [D]	0.007	-0.001	-0.005	-0.006	-0.004	-0.002	-0.001

Table 42: Variation of  $F_L$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	-0.158 +/- 0.003	-0.151 +/- 0.005	0.318 +/- 0.003	-0.527 +/- 0.003	0.082 +/- 0.003	-0.649 +/- 0.003	0.135 +/- 0.004
AC CTL Up [C]	-0.001	0.006	0.007	0.010	0.003	0.009	-0.002
AC CTL Down [C]	-0.008	-0.004	0.010	-0.009	0.000	-0.008	0.004
AC CTK Up [C]	-0.004	0.000	0.012	-0.006	-0.001	-0.005	-0.001
AC CTK Down [C]	-0.007	-0.001	0.006	0.001	-0.008	0.007	-0.004
AC CTL Up CTK Down [C]	-0.007	-0.007	0.013	-0.004	-0.000	-0.000	-0.007
AC CTL Down CTK Up [C]	-0.002	0.001	0.015	-0.005	0.003	-0.005	0.007
AC CTL Up CTK Down [C]	0.004	0.007	0.002	0.010	-0.002	0.011	-0.004
AC CTL Down CTK Down [C]	-0.004	-0.010	0.010	-0.000	-0.003	-0.000	0.010
AC Non-factorisable Up [C]	-0.003	0.001	0.009	-0.003	0.002	0.000	-0.002
AC Non-factorisable Down [C]	-0.004	-0.000	0.008	0.004	0.001	-0.000	-0.000
AC $q^2$ binning +1 [B]	-0.012	0.002	-0.004	0.007	0.015	-0.005	-0.002
AC $q^2$ binning -1 [B]	0.011	-0.022	-0.007	-0.014	0.011	0.015	0.013
1st Order Background Model [K]	-0.007	-0.006	0.013	-0.006	0.006	-0.009	0.005
3rd Order Background Model [K]	-0.002	0.008	0.008	0.003	-0.001	0.002	-0.001
$K^{*0}$ mis-ID Down [L]	-0.008	0.006	0.007	0.003	0.001	0.004	-0.014
$K^{*0}$ mis-ID Up [L]	-0.004	0.008	0.009	0.003	-0.003	0.006	-0.007
Signal mass width Down [J]	0.003	-0.002	0.005	0.010	0.003	0.003	0.000
Signal mass width Up [J]	-0.005	-0.001	0.010	-0.002	0.002	-0.002	0.008
S-wave component [P]	0.009	0.011	-0.032	0.049	-0.006	0.051	-0.023
IP Smearing [H]	0.002	-0.005	0.004	0.008	0.013	-0.031	0.024
$B^0$ $p$ re-weighting [I]	-0.009	-0.006	0.004	0.005	0.000	0.002	-0.002
$B^0$ $p$ re-weighting [I]	-0.009	-0.002	0.010	0.009	0.004	0.013	-0.004
IsMuon efficiency Up [E]	-0.004	0.002	0.019	0.001	0.003	-0.004	0.002
IsMuon efficiency Down [E]	-0.006	0.007	0.000	-0.006	-0.010	-0.003	-0.006
Removal of soft tracks [O]	-0.013	0.007	-0.001	0.012	0.005	-0.000	-0.015
PID performance +5% [G]	0.003	0.007	-0.001	0.004	-0.006	-0.005	-0.007
PID performance -5% [G]	-0.006	0.005	0.001	0.002	0.002	0.000	-0.009
PID performance +10% [G]	0.010	0.003	-0.005	-0.001	-0.003	0.007	-0.009
PID performance -10% [G]	0.003	-0.010	0.003	0.002	-0.017	-0.013	-0.008
PID performance +30% [G]	0.009	0.001	-0.006	0.001	-0.006	0.009	-0.011
PID performance -30% [G]	0.003	0.006	-0.002	0.001	-0.018	-0.007	-0.004
Tracking efficiency Up [F]	-0.004	-0.022	0.005	-0.001	0.003	0.001	-0.006
Tracking efficiency Down [F]	-0.008	0.003	0.007	-0.005	0.001	-0.008	-0.002
Trigger efficiency Up [D]	-0.003	0.012	0.005	0.003	-0.001	0.006	0.003
Trigger efficiency Down [D]	-0.009	-0.003	0.011	-0.000	0.000	0.003	0.009

Table 43: Variation of  $A_T^2$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
fileNominal	0.176 +/- 0.003	-0.094 +/- 0.006	0.044 +/- 0.003	-0.076 +/- 0.003	-0.001 +/- 0.003	0.184 +/- 0.003	0.349 +/- 0.004
AC CTKL Up [C]	0.001	0.001	-0.000	0.009	0.008	-0.001	-0.001
AC CTKL Down [C]	0.009	-0.004	-0.002	0.005	0.004	-0.001	0.013
AC CTK Up [C]	0.004	0.007	0.003	0.001	0.008	-0.001	0.004
AC CTK Down [C]	-0.000	-0.002	-0.000	0.008	0.006	-0.000	0.004
AC CTKL Up CTK Down [C]	0.002	0.004	0.002	0.010	0.008	-0.002	0.000
AC CTKL Down CTK Up [C]	0.006	-0.004	0.004	0.003	0.005	0.004	0.015
AC CTKL Up CTK Down [C]	-0.005	0.003	-0.000	0.001	0.006	0.002	-0.009
AC CTKL Down CTK Down [C]	-0.002	0.006	0.005	0.004	0.004	0.003	0.009
AC Non-factorisable Up [C]	0.000	-0.006	0.001	0.005	0.004	0.003	0.007
AC Non-factorisable Down [C]	0.002	0.003	0.002	0.004	0.005	-0.001	0.000
AC $q^2$ binning +1 [B]	0.002	0.002	-0.001	0.011	0.008	0.002	0.007
AC $q^2$ binning -1 [B]	-0.003	-0.011	-0.003	0.004	0.003	-0.006	0.011
1st Order Background Model [K]	-0.002	-0.001	0.007	0.001	0.006	-0.005	0.006
3rd Order Background Model [K]	0.005	0.012	-0.002	0.002	0.004	-0.002	0.000
$K^{*0}$ mis-ID Down [L]	0.003	-0.005	-0.000	0.007	0.002	0.001	0.003
$K^{*0}$ mis-ID Up [L]	0.005	-0.006	0.005	0.008	0.005	-0.001	0.004
Signal mass width Down [J]	0.004	0.015	-0.004	0.009	0.008	0.002	0.003
Signal mass width Up [J]	0.003	-0.001	0.001	0.000	0.007	-0.003	0.009
S-wave component [P]	-0.016	0.000	-0.001	0.012	0.007	-0.014	-0.038
IP Smearing [H]	0.007	0.001	-0.002	0.004	0.004	-0.001	0.002
$B^0$ $p_T$ re-weighting [I]	0.001	0.004	0.002	0.009	0.007	-0.006	0.013
$B^0$ $p$ re-weighting [I]	0.006	0.005	0.003	0.001	0.008	0.001	0.001
IsMuon efficiency Up [E]	0.005	-0.001	0.002	0.005	0.004	-0.003	0.003
IsMuon efficiency Down [E]	-0.005	0.002	-0.001	0.006	0.003	-0.008	0.006
Removal of soft tracks [O]	0.008	0.001	-0.007	0.006	0.005	-0.001	0.003
PID performance +5% [G]	-0.001	-0.007	0.006	-0.001	0.005	0.005	0.005
PID performance -5% [G]	0.006	0.006	0.004	0.007	0.013	0.002	0.007
PID performance +10% [G]	0.004	0.003	-0.006	0.006	0.007	0.002	0.005
PID performance -10% [G]	0.004	0.002	0.000	-0.001	0.006	-0.002	0.007
PID performance +30% [G]	0.001	-0.008	-0.004	0.006	0.012	-0.002	0.003
PID performance -30% [G]	0.001	0.003	-0.003	0.002	0.005	0.001	-0.003
Tracking efficiency Up [F]	-0.000	-0.012	-0.005	0.005	0.002	-0.002	0.001
Tracking efficiency Down [F]	0.007	-0.001	-0.002	-0.003	0.006	-0.003	0.013
Trigger efficiency Up [D]	0.003	0.004	0.001	0.008	0.006	-0.000	0.010
Trigger efficiency Down [D]	0.006	-0.002	0.005	0.003	0.008	-0.004	0.007

Table 44: Variation of  $A_T^{lm}$  when systematically varying fit parameters or the weights applied to the input data set.



## 1568 20 Result plots and tables

1569 Figures. 43-45 show the results of the fits for  $F_L$  and the two sets of ob-  
 1570 servables  $A_{\text{FB}}$ ,  $S_3$ ,  $A_9$  and  $A_{\text{T}}^{Re}$ ,  $A_{\text{T}}^2$ ;  $A_{\text{T}}^{Im}$  in the six  $q^2$ -bins. The statistical  
 1571 uncertainty on the points was obtained using the Feldman-Cousins technique.  
 1572 The results are also presented in Table. 45 below.

1573 The SM prediction for the angular observables, and the prediction rate-  
 1574 averaged over the  $q^2$  bin, are also indicated on the figures. No SM prediction  
 1575 is included for the region between the  $c\bar{c}$  resonances where the assumptions  
 1576 made in the prediction break down. No theory band is included for  $A_9$  and  
 1577  $A_{\text{T}}^{Im}$ , which are expected to be small,  $\mathcal{O}(10^{-3})$  [25], in the SM. The theory  
 1578 band is also omitted for another reason, unlike the other observables, it could  
 1579 be sensitive to the SM contributions from helicity suppressed (by  $m_s/m_b$ )  
 1580 right-handed currents, that are usually neglected in the calculation. The  
 1581 observable  $S_9$  is suppressed by the small size of the strong phase difference  
 1582 and is expected to be vanishingly small.

### 1583 20.1 Normal variables

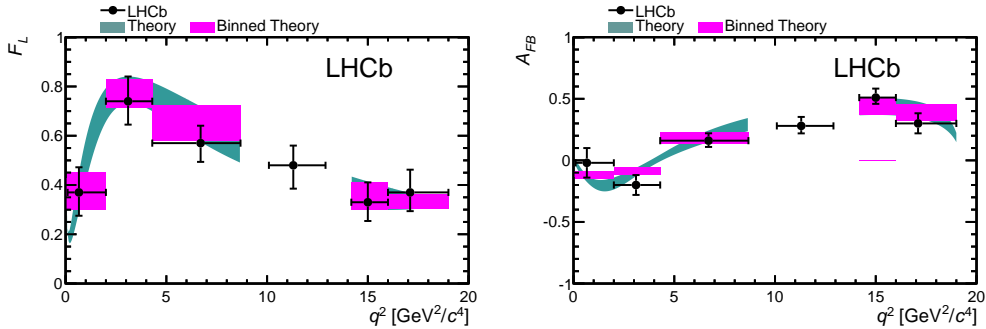


Figure 43: Fraction of longitudinal polarisation of the  $K^{*0}$ ,  $F_L$  and dimuon forward-backward asymmetry,  $A_{\text{FB}}$ , as a function of  $q^2$ .

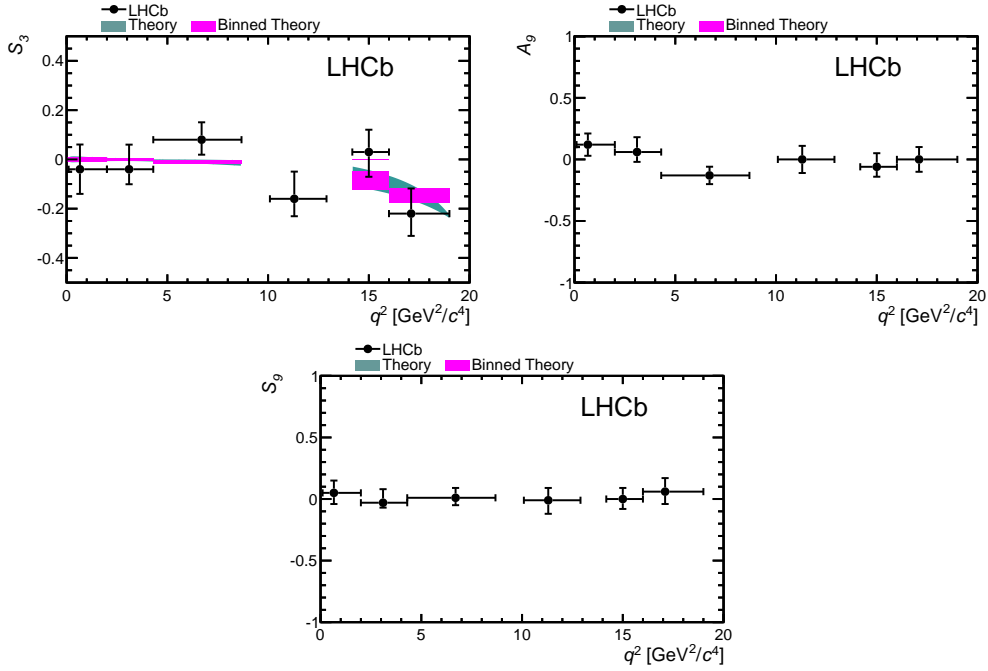


Figure 44: The observables  $S_3$ ,  $S_9$  and  $A_9$  as a function of  $q^2$ .

## 1584 20.2 Reparam variables

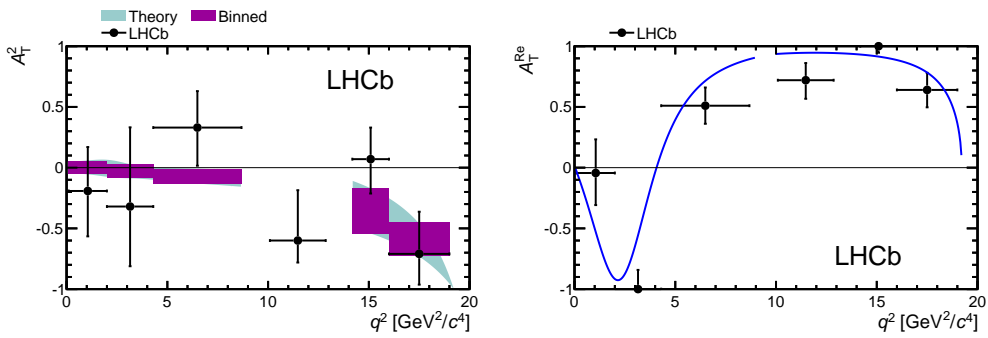


Figure 45: Transverse asymmetries,  $A_T^{Re}$  and  $A_T^2$  as a function of  $q^2$ . No theory band is included for the  $A_T^{Re}$  prediction, the central value of the theory prediction is however indicated by the continuous (blue) curve.

Table 45: Central values for, and statistical and systematic uncertainties on  $A_{\text{FB}}$ ,  $F_{\text{L}}$ ,  $S_3$ ,  $S_9$ ,  $A_{\Gamma}^{\text{Re}}$ ,  $A_{\Gamma}^{\text{Im}}$  and  $A_9$  in bins of  $q^2$ . The first uncertainty is statistical and the second systematic.

	$A_{\text{FB}}$	$F_{\text{L}}$	$S_3$	$S_9$
$0.10 < q^2 < 2.00$	$-0.02^{+0.12+0.00}_{-0.12-0.00}$	$+0.37^{+0.10+0.02}_{-0.09-0.03}$	$-0.04^{+0.10+0.01}_{-0.10-0.00}$	$+0.05^{+0.10+0.00}_{-0.09-0.01}$
$2.00 < q^2 < 4.30$	$-0.20^{+0.08+0.01}_{-0.08-0.01}$	$+0.74^{+0.10+0.01}_{-0.09-0.03}$	$-0.04^{+0.10+0.00}_{-0.06-0.01}$	$-0.03^{+0.11+0.00}_{-0.04-0.00}$
$4.30 < q^2 < 8.68$	$+0.16^{+0.06+0.00}_{-0.05-0.01}$	$+0.57^{+0.07+0.01}_{-0.07-0.03}$	$+0.08^{+0.07+0.01}_{-0.06-0.01}$	$+0.01^{+0.08+0.00}_{-0.06-0.00}$
$10.09 < q^2 < 12.86$	$+0.28^{+0.07+0.02}_{-0.06-0.01}$	$+0.48^{+0.08+0.00}_{-0.09-0.03}$	$-0.16^{+0.11+0.01}_{-0.07-0.01}$	$-0.01^{+0.10+0.00}_{-0.11-0.00}$
$14.18 < q^2 < 16.00$	$+0.51^{+0.07+0.02}_{-0.05-0.01}$	$+0.33^{+0.08+0.01}_{-0.07-0.03}$	$+0.03^{+0.09+0.01}_{-0.10-0.01}$	$0.00^{+0.09+0.00}_{-0.08-0.01}$
$16.00 < q^2 < 19.00$	$+0.30^{+0.08+0.02}_{-0.08-0.01}$	$+0.37^{+0.09+0.02}_{-0.07-0.03}$	$-0.22^{+0.10+0.02}_{-0.09-0.01}$	$+0.06^{+0.11+0.00}_{-0.10-0.01}$
$1.00 < q^2 < 6.00$	$-0.17^{+0.06+0.02}_{-0.06-0.00}$	$+0.65^{+0.08+0.01}_{-0.07-0.03}$	$+0.03^{+0.07+0.00}_{-0.07-0.01}$	$+0.07^{+0.09+0.00}_{-0.08-0.00}$
	$A_{\Gamma}^{\text{Re}}$	$A_{\Gamma}^{\text{Im}}$	$A_{\Gamma}^{\text{Im}}$	$A_9$
$0.10 < q^2 < 2.00$	$-0.05^{+0.26+0.02}_{-0.24-0.00}$	$-0.14^{+0.34+0.02}_{-0.30-0.02}$	$+0.16^{+0.31+0.02}_{-0.28-0.02}$	$+0.12^{+0.09+0.01}_{-0.09-0.01}$
$2.00 < q^2 < 4.30$	$-1.00^{+0.13+0.04}_{-0.00-0.01}$	$-0.29^{+0.65+0.02}_{-0.46-0.01}$	$-0.23^{+0.77+0.02}_{-0.27-0.01}$	$+0.06^{+0.12+0.01}_{-0.08-0.00}$
$4.30 < q^2 < 8.68$	$+0.50^{+0.16+0.01}_{-0.14-0.03}$	$+0.36^{+0.30+0.03}_{-0.31-0.03}$	$+0.05^{+0.31+0.01}_{-0.31-0.01}$	$-0.13^{+0.07+0.01}_{-0.07-0.01}$
$10.09 < q^2 < 12.86$	$+0.71^{+0.15+0.01}_{-0.15-0.03}$	$-0.60^{+0.42+0.05}_{-0.27-0.01}$	$-0.06^{+0.43+0.03}_{-0.41-0.00}$	$-0.00^{+0.11+0.00}_{-0.11-0.01}$
$14.18 < q^2 < 16.00$	$+1.00^{+0.00+0.01}_{-0.05-0.02}$	$+0.07^{+0.26+0.03}_{-0.28-0.02}$	$+0.02^{+0.27+0.02}_{-0.27-0.00}$	$-0.06^{+0.11+0.01}_{-0.08-0.01}$
$16.00 < q^2 < 19.00$	$+0.64^{+0.15+0.01}_{-0.15-0.02}$	$-0.71^{+0.35+0.06}_{-0.26-0.03}$	$+0.18^{+0.35+0.01}_{-0.32-0.02}$	$-0.00^{+0.10+0.01}_{-0.10-0.01}$
$1.00 < q^2 < 6.00$	$-0.66^{+0.24+0.04}_{-0.22-0.00}$	$+0.17^{+0.39+0.03}_{-0.41-0.02}$	$+0.41^{+0.42+0.02}_{-0.45-0.03}$	$+0.03^{+0.08+0.00}_{-0.08-0.01}$

## 1585 21 Zero crossing point extraction

1586 As discussed in Sec. 1 the zero-crossing point of  $A_{\text{FB}}(q_0^2)$  is well defined in  
 1587 the SM and it is sensitive to New Physics through differences in the Wilson  
 1588 coefficients  $C_7, C_9$  and  $C_{10}$  which determine the zero-crossing point. A mea-  
 1589 surement of  $q_0^2$  is therefore an important input to determine whether there  
 1590 are New Physics contributions to the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  decay. This measure-  
 1591 ment is however not straightforward with limited statistics. The simplest  
 1592 imaginable method to determine  $q_0^2$  would be to fit a straight line around  
 1593 the region where  $A_{\text{FB}}$  changes sign. This procedure is unbiased if  $A_{\text{FB}}$  can  
 1594 be assumed linear within a known interval around  $q_0^2$ . Unfortunately such  
 1595 assumption does not always hold. This method is therefore not applicable  
 1596 unless assumptions on the model are made, e.g. that  $A_{\text{FB}}$  follows a SM-like  
 1597 curve. In practice, the estimate of  $q_0^2$  becomes dependent on how the data  
 1598 is binned in  $q^2$  and over which range  $q^2$  is assumed to be linear. Moreover,  
 1599 in order to decide a suitable fit range it would be necessary to examine  $A_{\text{FB}}$   
 1600 itself. To ensure an unbiased result, this decision should be made without  
 1601 reference to the shape of  $A_{\text{FB}}(q^2)$ .

1602 Instead of performing an angular analysis (and fitting  $\cos\theta_l$ ) to extract  
 1603  $A_{\text{FB}}$  in a bin of  $q^2$ , an alternative strategy is adopted. Two independent,  
 1604 unbinned, maximum likelihood fits are performed to the distribution of candi-  
 1605 dates in  $q^2$  for forward- and backward-going events. This procedure is  
 1606 referred to below as an *unbinned counting method*. The PDFs for forward-  
 1607 and backward-going events are expected to have a smooth behaviour as a  
 1608 function as of  $q^2$  in the range  $1 - 7.8 \text{ GeV}^2$ , i.e. far from the photon pole  
 1609 and from the  $J/\psi$  resonance. The range  $1 < q^2 < 7.8 \text{ GeV}^2/c^4$  is a natural  
 1610 choice. Above  $7.8 \text{ GeV}^2/c^4$  there can be a non-negligible contribution from  
 1611 the radiative tails of the  $J/\psi$  (see Sec. 3.4). Below  $1 \text{ GeV}^2/c^4$  the shape of  
 1612 the  $q^2$  spectrum can vary rapidly and can be difficult to parametrise as a  
 1613 smoothly varying polynomial.

1614 In the  $1 < q^2 < 7.8 \text{ GeV}^2/c^4$  range the distribution of forward- and  
 1615 backward-going events can be fitted with polynomial distributions in  $q^2$  and  
 1616 consequently  $A_{\text{FB}}$  can be computed according to:

$$AFB(q^2) = \frac{N_F PDF_F(q^2) - N_B PDF_B(q^2)}{N_F PDF_F(q^2) + N_B PDF_B(q^2)}. \quad (18)$$

1617 where  $N_{F,B}$  is the number of forward- and backward-going events and  $PDF_{F,B}$   
 1618 is the fitted PDFs as a function of  $q^2$  for forward- and backward-going sig-  
 1619 nal events. To separate signal and background, the fit is performed in two  
 1620 dimensions: in the invariant mass of the  $B^0$  candidate and  $q^2$ . The  $q^2$  dis-  
 1621 tribution of the signal has been parametrised with a third order Chebychev

1622 polynomial. The mass model described in Sec. 4 is used for the signal mass  
 1623 shape. The impact of the detector acceptance is accounted for by weighting  
 1624 candidates in the fit as described in Sec. 11.

1625 In summary the analysis strategy for measuring the zero-crossing point  
 1626 consists of fitting separately the  $q^2$ -dependence of forward and backward  
 1627 events. The goodness of fit for forward- and backward going events will  
 1628 be estimated before computing  $A_{\text{FB}}$  using the point-to-point dissimilarity  
 1629 technique described in Ref. [1]. Finally the  $A_{\text{FB}}$  is estimated by combining the  
 1630  $q^2$  dependence of the forward- and backward-going events. The estimation  
 1631 of the uncertainty on the zero-crossing point is described in Sec. 21.1 below.

## 1632 **21.1 Estimating the 68% confidence level on $q_0^2$**

1633 MC studies have shown that the error distribution of the coefficients of the  
 1634 polynomials is often not Gaussian and therefore an estimate for the uncer-  
 1635 tainty on the crossing point can not be calculated directly from the covariance  
 1636 matrix of the fit. The use of event weights, can also lead the  $\Delta LL = 1/2$   
 1637 estimate to under-estimate the 68% confidence interval.

1638 Two methods have been explored to estimate the uncertainty on  $q_0^2$ :

- 1639 • the use of bootstrapping to obtain a confidence interval.
- 1640 • Toy MC generated from the fitted forward and backward pdf.

1641 These methods are described in more detail below.

### 1642 **21.1.1 Bootstrapped confidence interval**

1643 A ‘bootstrap’ method is used to calculate the 68% confidence interval on the  
 1644 zero-crossing point. Bootstrapping uses a re-sampling technique to generate  
 1645 many individual data samples.

1646 Schematically, what is done is to take the dataset of  $N$  events,

$$d = \{\vec{\Omega}_0, \vec{\Omega}_1, \dots, \vec{\Omega}_{N-2}, \vec{\Omega}_{N-1}\}$$

1647 and to create a new, re-sampled dataset from it of the same size (the number  
 1648 of events is varied according with a Poisson distribution),  $d_1$ . The re-sampling  
 1649 allows events to be duplicated, e.g.:

$$d_1 = \{\vec{\Omega}_0, \vec{\Omega}_0, \dots, \vec{\Omega}_{N-2}, \vec{\Omega}_{N-1}\}$$

1650 would be allowed where event ‘0’ appears twice and event ‘1’ is omitted from  
 1651  $d_1$ . The likelihood fit for the zero-crossing point is the performed on each

1652 of the re-sampled datasets, leading to a distribution of zero-crossing points.  
1653 This distribution is then used to estimate the 68% confidence interval on  $q_0^2$ .

### 1654 **21.1.2 Confidence interval with toy study**

1655 To crosscheck the estimation of the uncertainty obtained with bootstrap-  
1656 ping, a slightly different approach was performed as well. The pdfs for the  
1657 forward and backward distributions were used as an input to a toy simu-  
1658 lation. In this simulation, many datasets were created, where the events  
1659 where distributed following the input pdfs and the number of events in the  
1660 datasets were fluctuated following a poissonian distribution around the value  
1661 measured in collision data. For all these samples the zero-crossing point was  
1662 determined and the 68% confidence interval evaluated in the same was as  
1663 for the bootstrapping. The resulting interval is a bit more narrow than the  
1664 one obtained with the bootstrapping but still in good agreement. The differ-  
1665 ence may be a consequence of randomising the weights in the bootstrapping,  
1666 which is not the case for this technique.

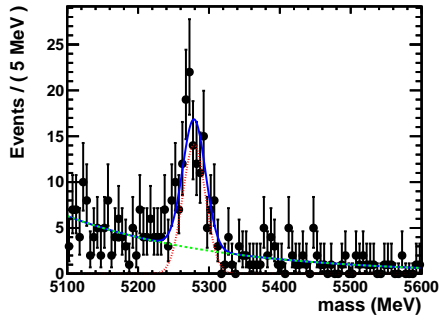
## 1667 **21.2 MC study for the zero-crossing extraction**

1668 Toy Monte Carlo studies have been performed before the unblinding to vali-  
1669 date the method described above and study its sensitivity to a SM-like  $A_{\text{FB}}$ .  
1670 The toys were generated with a SM-like  $q^2$  dependence of forward- and back-  
1671 ward going events and the expected signal-to-background ratio and signal  
1672 yield in  $1 < q^2 < 7.8 \text{ GeV}^2/c^4$ . The distribution of forward- and backward-  
1673 going background events was taken from the upper mass sideband of the  
1674 data. Fig. 46 shows the  $K^+ \pi^- \mu^+ \mu^-$  invariant mass and  $q^2$  distribution for  
1675 a single toy experiment. A fit to the  $B^0$  mass and  $q^2$  is overlaid.

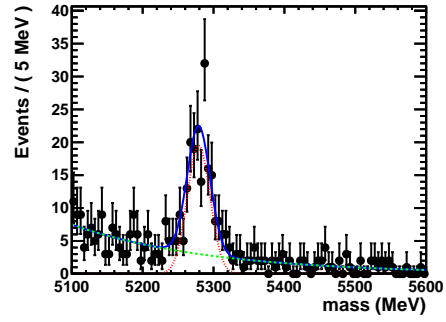
1676 The result of performing 200 toys with a SM-like zero-crossing point is  
1677 shown in Fig. 47. The mean value of  $A_{\text{FB}}$  in the 200 toys is found to be  
1678 consistent, as expected, with the SM input distribution.

1679 Unfortunately, due to statistical fluctuations, with  $1 \text{ fb}^{-1}$  it is not guaran-  
1680 teed that there will be a single, well-defined zero-crossing point. According  
1681 to MC simulations, in the SM, there is about a 20% probability to measure  
1682 either no zero-crossing point, or more than one zero-crossing, in a data sam-  
1683 ple corresponding to  $1 \text{ fb}^{-1}$ . An illustration of this effect is shown in Fig. 48.  
1684 It was decided before unblinding the data to quote a zero-crossing point only  
1685 if the fit to data shows a single well defined value (alternatively the 90% CL  
1686 will be given).

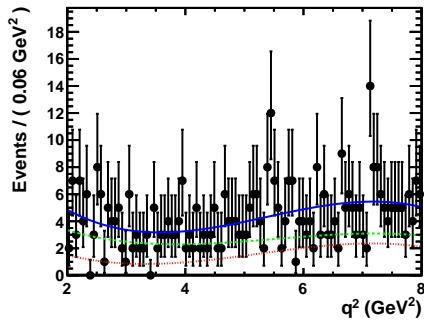
1687 It is also apparent from toy-studies that the errors on the fit parameters  
1688 are not Gaussian. The covariance matrix from the fit is therefore not a good



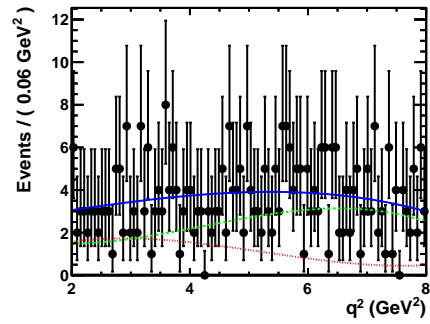
(a) Forward-going events



(b) Backward-going events



(c) Forward-going events



(d) Backward-going events

Figure 46: Fit to the invariant mass of the B-meson candidate, for forward (a) and backward (b) events and fit to the  $q^2$  distribution for forward (c) and backward (d). The signal component (red) and background component (green) are indicated.

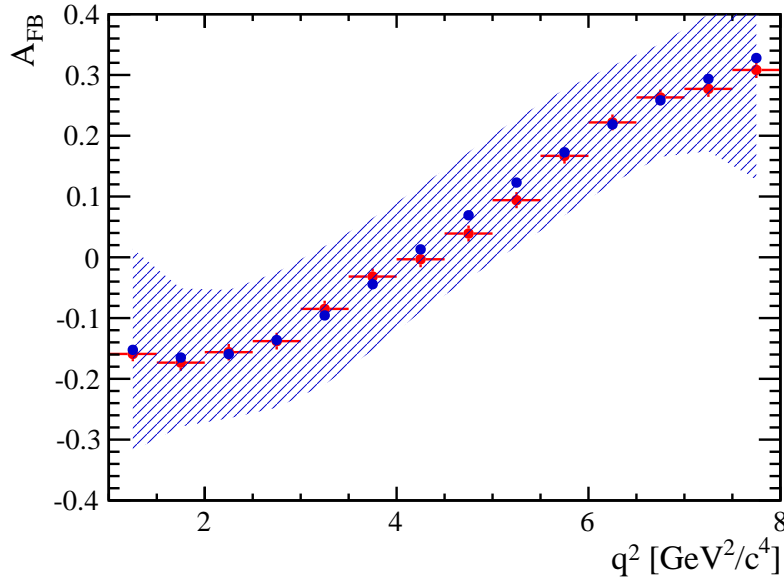
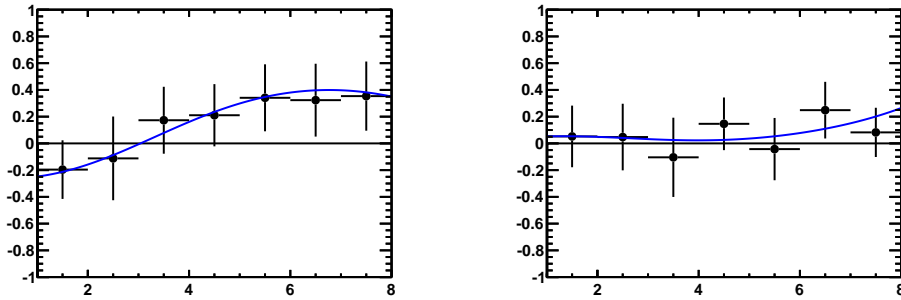


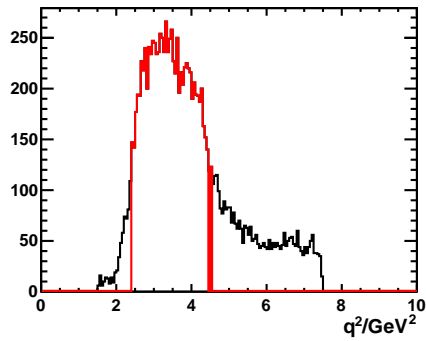
Figure 47: The hashed region represents the 68% confidence region from 200 toys at each  $q^2$  value for a SM-like  $q^2$  dependence of forward- and backward-going events. The blue marker is the mean value of  $A_{\text{FB}}(q^2)$  for the 200 toys, and the red marker is the true value of  $A_{\text{FB}}(q^2)$  used as input to the toy-MC.



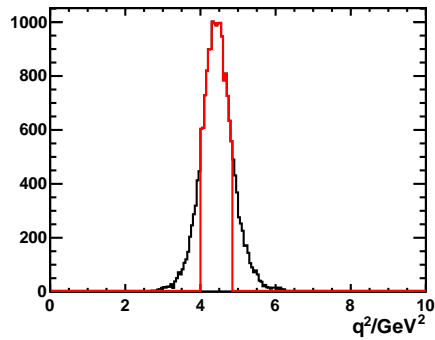
(a) Example of a sub-sample with one sin- (b) Example of a sub-sample with no zero-  
gle zero-crossing point crossing point

Figure 48: Two examples of  $A_{\text{FB}}$  obtained from toy-studies with the unbinned counting method. The toy experiment were carried out with statistics equivalent to  $1 \text{ fb}^{-1}$  and a SM-like  $A_{\text{FB}}(q^2)$ . The data-points in the figure are a binned estimate of  $A_{\text{FB}}$  in  $1 \text{ GeV}^2/c^4$   $q^2$  bins. The left-hand figure is indicative of an ‘unlucky’ result where, due to statistical fluctuations, no zero-crossing point is visible.





(a)

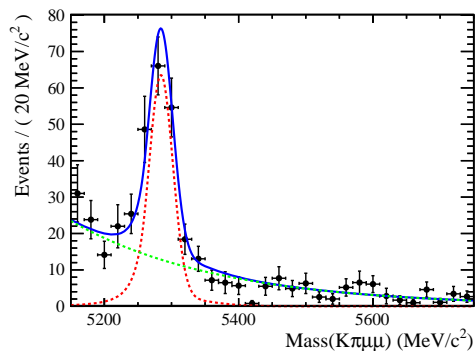


(b)

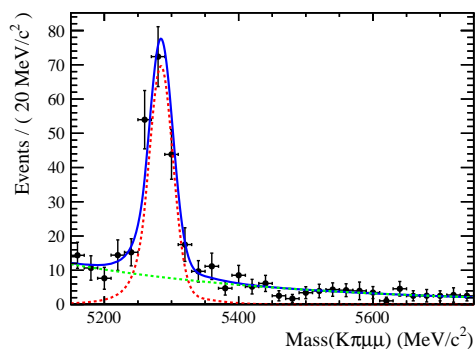
Figure 49: Examples of ‘posterior’ distributions obtained for the zero-crossing point of the  $A_{FB}$  for two different toy-MC experiments.

1689 estimate of parameter errors, and cannot be used to estimate the uncertainty  
 1690 on the zero-crossing point. Two examples are show in Fig. 49.

1691 The impact of the order of the polynomials has also been studied by using  
 1692 the MC simulation and found to be negligible for polynomials of order higher  
 1693 than three.



(a) Forward-going events



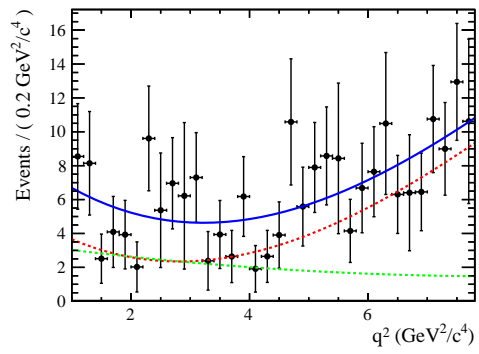
(b) Backward-going events

Figure 50: Fit to the invariant mass of the B-meson candidate, for forward and backward going events in data.

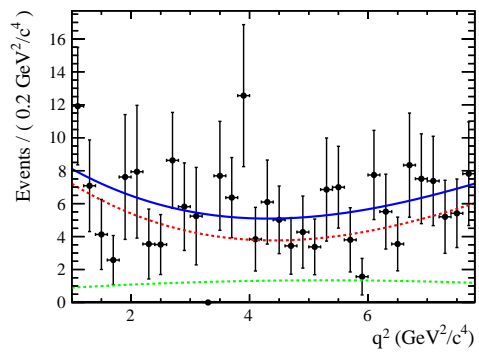
## 1694 22 Zero crossing point result

1695 The procedure described in the previous sections for the extraction of the  
 1696 zero-crossing point is here applied to data. The invariant mass of the  $B^0$   
 1697 candidates is shown in Fig 50 for forward- and backward-going events, the  
 1698 result of the fit is also shown. The  $q^2$  distribution for forward- and backward-  
 1699 going events in the signal region is shown in Fig. 51. After fitting separately  
 1700 forward and backward events the quality of the fit was investigated with the  
 1701 point-to-point dissimilarity technique, the p-value obtained was 0.6 for the  
 1702 fit to the forward events and 0.9 for the fit to the backward events.

1703 The forward-backward asymmetry is shown in Fig 52, the curve is the  
 1704 result of the *unbinned counting method* applied to data, the points are the  
 1705 result of a simple counting experiment used as a cross check. The distribution  
 1706 of the zero-crossing points for several toy distribution assuming the PDF



(a) Forward-going events



(b) Backward-going events

Figure 51: Fit to  $q^2$  distribution for forward and backward going events in data.

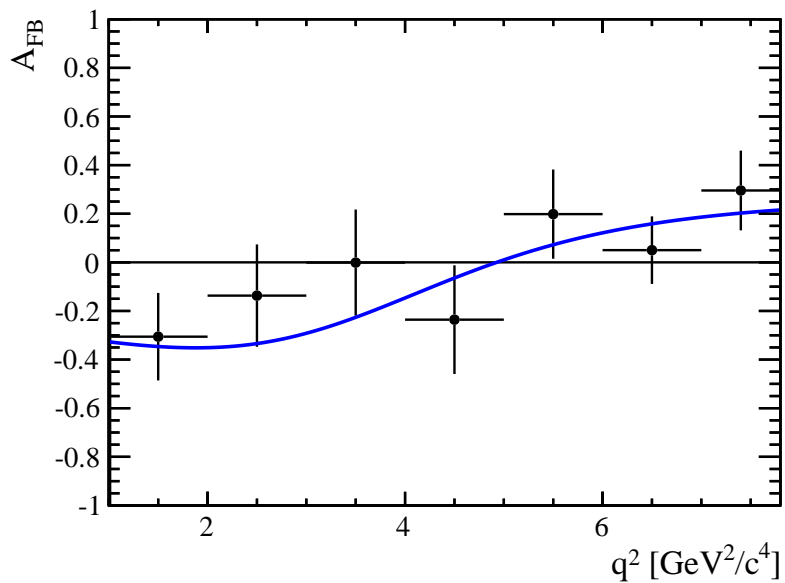
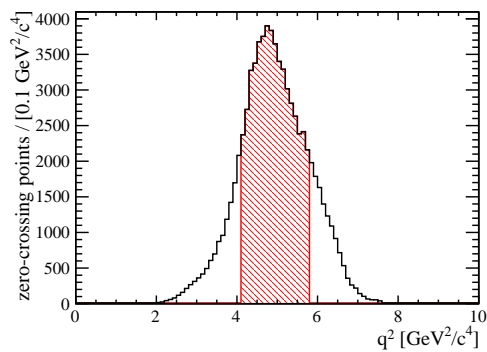


Figure 52: The  $A_{\text{FB}}$  as a function of  $q^2$ , that comes from the unbinned counting experiment (blue dashed line). The data-points are the result of counting forward- and backward-going events in  $1 \text{ GeV}^2/c^4$  bins of  $q^2$ .



(a) Toy MonteCarlo

Figure 53: The distribution of the zero-crossing points for toy experiments generated by assuming the forward and backward Pdfs measured in data.

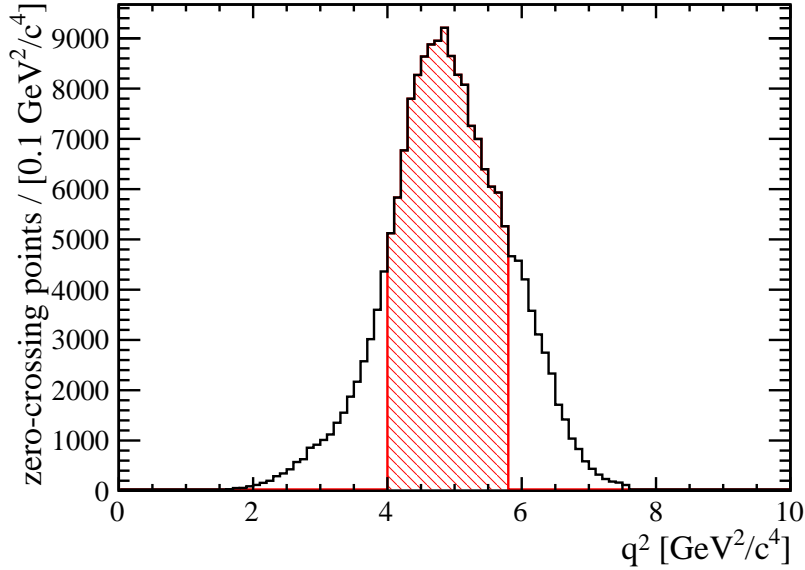


Figure 54: The distribution of the zero-crossing points in the bootstrapping method. The red region shows the 68% CL.

1707 measured in data is shown Fig. 53.

The distribution of zero crossing points for the bootstrapping (re-sampling) technique is shown in Fig. 54. The result, which only includes the statistical error is:

$$q_0^2 = (4.9_{-0.9}^{+0.9}) \text{ GeV}^2/c^4, \quad (19)$$

1708 where the error has been determined by re-sampling (bootstrapping) the data  
 1709 200'000 times, see Sec. 21.1.1 for a description of the method. The error is  
 1710 in very good agreement to what is expected when generating many toy-  
 1711 experiments, where the result is  $q_0^2 = (4.9_{-0.8}^{+0.9}) \text{ GeV}^2/c^4$  (compare Fig 54 with  
 1712 Fig. 53). The toy study was carried out by generating pseudo-experiments  
 1713 at the central value measured in data. The number of event observed in the  
 1714 data is Poisson-fluctuated in the toy-experiments. The method is described  
 1715 in more detail in Sect. 21.1.2.

## 1716 22.1 Systematic uncertainties

1717 The following sources of systematic errors were considered:

- 1718 1 Uncertainty in the IP smearing: The fit is repeated using an acceptance  
 1719 model where the MC sample is not IP smeared.

- 1720 2 Uncertainty in the binning of the PID variables: To account for this  
1721 uncertainty, 50% of the events in the lowest 30% of a certain bin were  
1722 migrated to the lower bin and 50% of the events in the highest 30% of  
1723 the bin were migrated to the higher bin.
- 1724 3 Uncertainty on the tracking efficiency: Possible systematic effects are  
1725 taken into account by assigning the tracks with a momentum lower than  
1726 10 GeV/ $c$  an efficiency which is lower (higher) by one standard deviation  
1727 and by assigning the tracks with a momentum higher than 10 GeV/ $c$  an  
1728 efficiency which is higher (lower) by one standard deviation.
- 1729 4 Uncertainty in the trigger efficiency: Systematic effects were accounted  
1730 for by increasing or decreasing the trigger efficiency for muons with a  
1731 momentum below 3 GeV/ $c$  by 3% for the acceptance correction.
- 1732 5 Uncertainty of the IsMuon criterion: The systematic uncertainty is as-  
1733 sessed by fluctuating downwards the efficiency for tracks with a momen-  
1734 tum less than 10 GeV/ $c$  by the statistical uncertainty and by fluctuating  
1735 upwards the efficiency for tracks with a momentum more than 10 GeV/ $c$   
1736 by the statistical uncertainty. The procedure is also repeated by chang-  
1737 ing the direction of fluctuation for the corresponding two categories.
- 1738 6 Acceptance correction: The acceptance correction is varied as described  
1739 in Sec. 18.3.
- 1740 7 The widths ( $\sigma$ ) of the Gaussian component of both crystal ball func-  
1741 tions shows a slight dependence on  $q^2$  which amounts to a slope corre-  
1742 sponding to about 5%. These widths are therefore varied by  $\pm 5\%$  in  
1743 the fit and the result is recalculated.
- 1744 Furthermore, some crosschecks were performed as well:
- 1745 8 The fit was performed with and without reweighting the momentum of  
1746 the  $B$  in the simulation to the values of the collision data.
- 1747 9 The fit was performed with and without reweighting the transverse  
1748 momentum of the  $B$  in the simulation to the values of the collision  
1749 data.
- 1750 10 The fit was performed with and without cutting on the momentum of  
1751 3 GeV/ $c$  on the hadrons.

1752 The zero crossing points, evaluated under the changes to the data sample  
1753 corresponding to the systematic checks, are listed in Table 46. Even when  
1754 summing the systematic uncertainties and the deviations from the cross-  
1755 checks in quadrature, which clearly overestimates the uncertainty, the overall  
1756 systematic uncertainty is small compared to the statistical uncertainty and  
1757 was not included in the overall uncertainty.

Table 46: Values for the zero-crossing point and deviation from the nominal value for all evaluations of the systematic uncertainty and the performed crosschecks. The type corresponds to the type given in the list of systematic uncertainties and crosschecks. The overall systematic uncertainty is calculated by adding all contributions (also the ones from the crosschecks) in quadrature.

<b>Type</b>	$q_0^2$ [ GeV <sup>2</sup> /c <sup>4</sup> ]	<b>Deviation</b> [ GeV <sup>2</sup> /c <sup>4</sup> ]
1	4.92	0.01
2	4.93	0.00
	4.94	0.01
3	4.92	0.01
	4.93	0.00
4	4.93	0.00
	4.93	0.00
5	4.95	0.02
	4.92	0.01
6	4.93	0.00
	4.92	0.01
	4.92	0.01
	4.93	0.00
	4.92	0.01
	4.93	0.00
	4.95	0.02
4.90	0.03	
7	4.93	0.00
	4.92	0.01
8	4.94	0.01
9	4.93	0.00
10	4.94	0.01
total	—	0.05

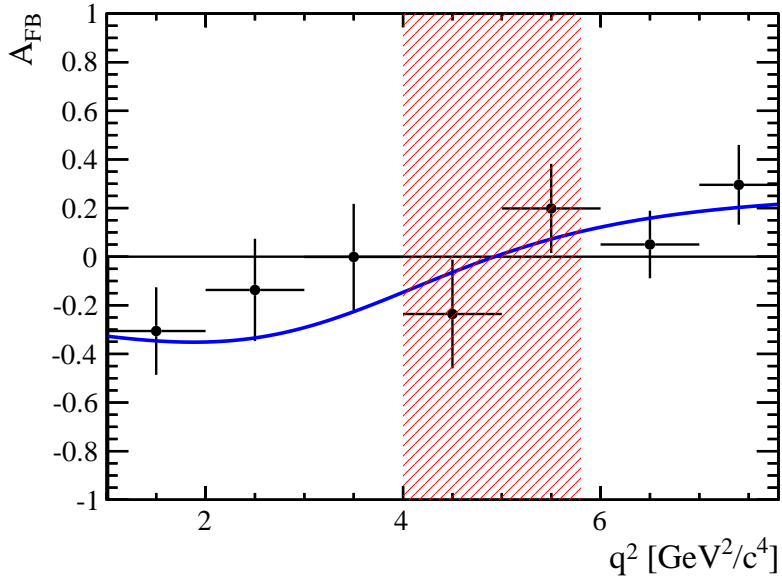


Figure 55: The  $A_{\text{FB}}$  as a function of  $q^2$ , obtained with unbinned counting (blue dashed line). The black data-points are the result of counting forward- and backward-going events in  $1 \text{ GeV}^2/c^4$  bins of  $q^2$ . The red hashed region corresponds to the 68% confidence interval.

### 1758 22.1.1 Result plot

1759 A plot of  $A_{\text{FB}}$  obtained with the unbinned counting method, the counting  
 1760 experiment in  $1 \text{ GeV}^2/c^4$  bins and the 68% confidence interval on  $q_0^2$  can be  
 1761 seen in Fig. 55.

## 1762 22.2 Changes with respect to the preliminary result

1763 The preliminary result quoted in Ref. [8], based on the same dataset, has

$$q_0^2 = 4.9_{-1.1}^{+1.3} \text{ GeV}^2/c^4 \quad .$$

1764 The difference between the result presented here and this preliminary result  
 1765 is due (predominantly) to a bug that was discovered in the preliminary result.  
 1766 The bug related to the use of weighted datasets in RooFit. It was discovered  
 1767 that when cloning a weighted dataset, information about the weights was  
 1768 lost (even though the dataset still had a flag set to say that it was weighted).  
 1769 Without the weights applied the forward backward asymmetry is reduced,  
 1770 reducing the gradient of  $A_{\text{FB}}$  in the region around the zero-crossing point  
 1771 and increasing the error on  $q_0^2$ . As expected, the value of  $q_0^2$  itself is almost



1772 unchanged by turning on/off the weights to correct for the acceptance cor-  
1773 rection. The effect is largest for low  $q^2$  where the acceptance effects in  $\cos\theta_\ell$   
1774 can be large.

## 1775 **23** Conclusions

1776 Measurements of the differential branching fraction and angular observables  
1777  $S_3$  ( $A_T^2$ ),  $F_L$ ,  $S_9$ ,  $A_{\text{FB}}$  ( $A_T^{Re}$ ) and the CP asymmetry  $A_9$  of the  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$   
1778 decay have been presented, using  $1 \text{ fb}^{-1}$  of integrated luminosity collected by  
1779 LHCb in 2011. These are the most precise measurements of these quantities  
1780 to date and are consistent with the SM predictions. A first measurement of  
1781 the zero-crossing point of the forward-backward asymmetry has also been pre-  
1782 sented. The zero-crossing point is determined to be  $q_0^2 = (4.9_{-0.9}^{+0.9}) \text{ GeV}^2/c^4$ .

1783 The angular analysis and zero-crossing point measurement are currently  
1784 statistically limited. For the differential branching fraction the statistical  
1785 uncertainties are comparable to the size of the systematic uncertainties. The  
1786 measurement would, however, no longer be systematically limited if it were  
1787 binned finer in  $q^2$  and this should be considered for future iterations of the  
1788 analysis.

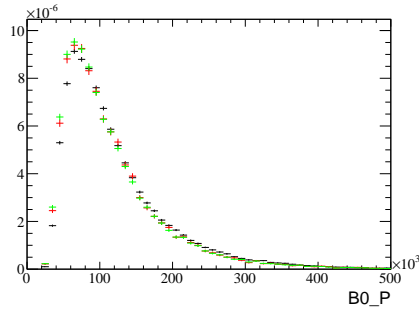
1789 The systematic uncertainty coming from the acceptance correction can  
1790 be viewed as being fairly conservative and could improve with increased MC  
1791 statistics and a better understanding of the  $B^0 \rightarrow K^{*0} J/\psi$  control channel  
1792 (where at the extremes of  $\cos \theta_K$  the data disagrees with the fit-model at the  
1793 level of  $\sim 5\%$ ).

## 1794 **Appendix**

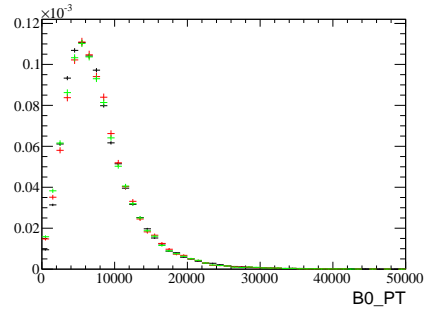
1795 This appendix includes supplementary information for the analysis.

### 1796 **A Data/MC comparison**

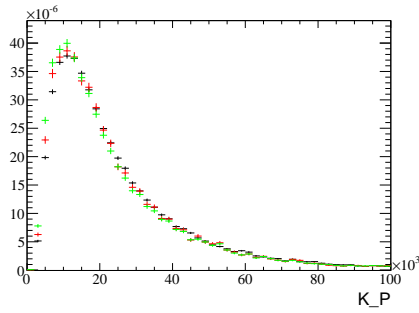
1797 The momentum and  $p_T$  distribution of  $B^0 \rightarrow K^{*0} J/\psi$  candidates in the MC  
1798 (MC11a) have been cross checked with the data after the application of the  
1799 full offline selection (and IP smearing of the MC) and are found to be in  
1800 good agreement. The distributions of the  $B^0$  and daughter momentum are  
1801 shown in Fig. 56. The DLL distribution of the daughters is shown in Fig. 58.  
1802 The IP smearing of the daughter track states tends to over smear the end  
1803 vertex quality of the fitted  $B$  vertex (see Fig. 59). This quantity is not very  
1804 correlated to  $q^2$  or to the angular distribution of the  $K^{*0}$  or dimuon system  
1805 and differences between data and MC can be safely ignored.



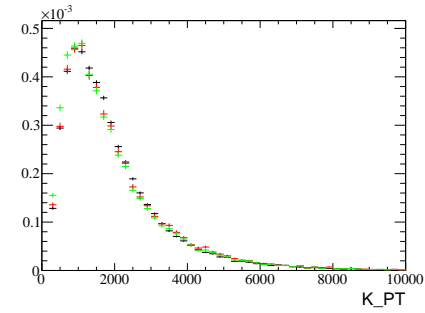
(a)  $B^0$  P distribution



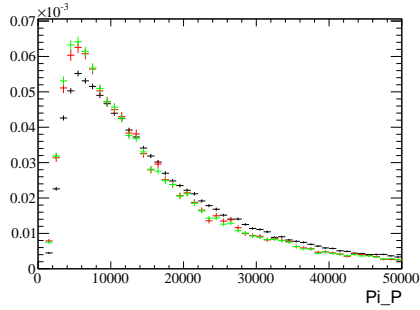
(b)  $B^0$   $p_T$  distribution



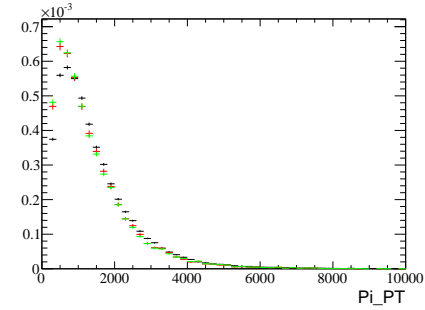
(c)  $K$  P distribution



(d)  $K$   $p_T$  distribution



(e)  $\pi$  P distribution



(f)  $\pi$   $p_T$  distribution

Figure 56: Comparison of the  $B^0$  and daughter momentum and  $p_T$  distributions for  $B^0 \rightarrow J/\psi K^{*0}$  candidates in the data and the MC. The three distributions are Data (Black), data-corrected simulated events (Red) and uncorrected simulated events (Green)

1806 The comparison between the data and the simulation has been investi-  
 1807 gated after re-weighting to correct for the small disagreement in the underlying  
 1808 B-momentum spectrum. This is shown in Fig. 57. Even after re-weighting  
 1809 for difference in the underlying  $B^0$  momentum spectrum between data and  
 1810 MC, a perfect agreement is still not expected between the daughter momen-

1811 tum and transverse-momentum spectrums. Difference are expected due to  
 1812 a  $\sim 7\%$   $S$ -wave contribution in the data, that is not present in the MC.  
 1813 The interference between the  $S$ -wave and  $P$ -wave results in a forward back-  
 1814 ward asymmetry in  $\cos \theta_K$ , which in turn produces a harder pion momentum  
 1815 spectrum in data than in the MC.

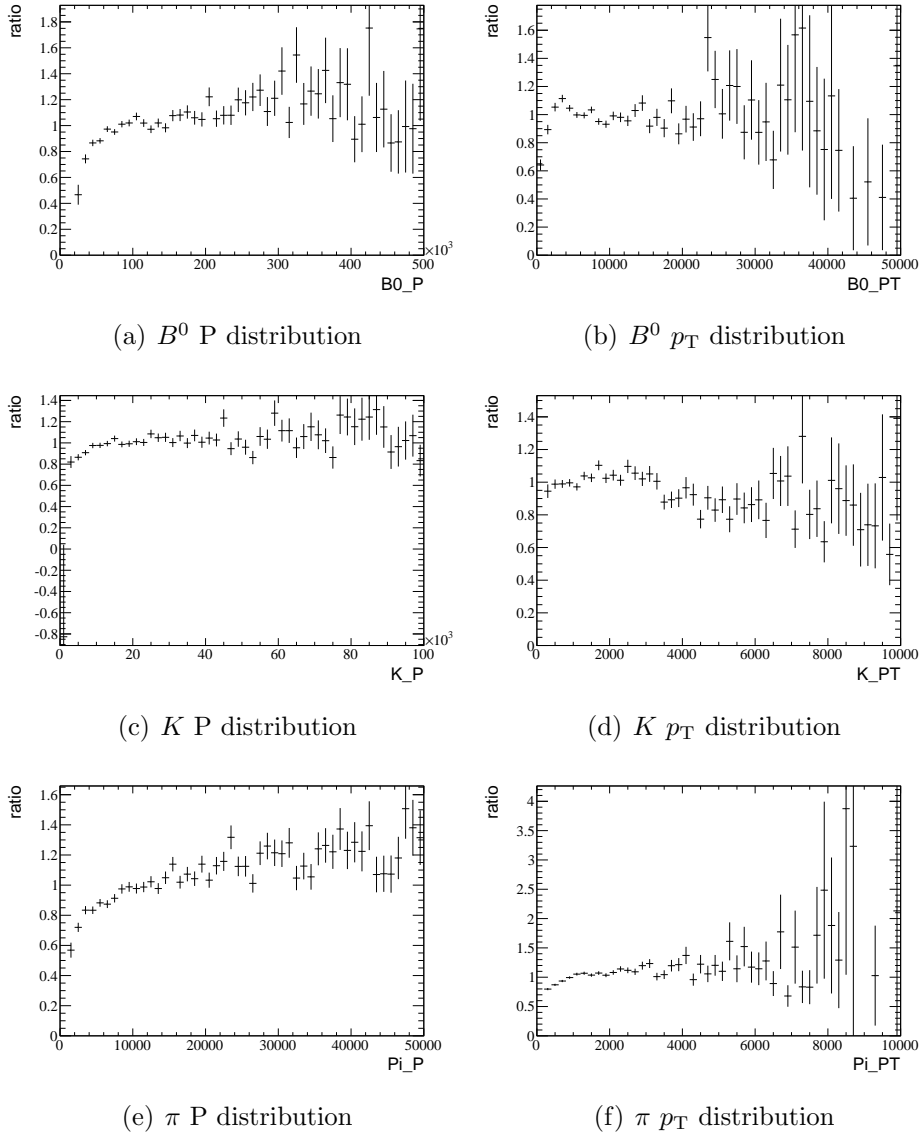


Figure 57: Ratio of the  $B^0$  and daughter momentum and  $p_T$  distributions for  $B^0 \rightarrow J/\psi K^{*0}$  candidates in the data and the MC. The three distributions are Data/corrected simulation (Black), data / uncorrected simulated events (Red)

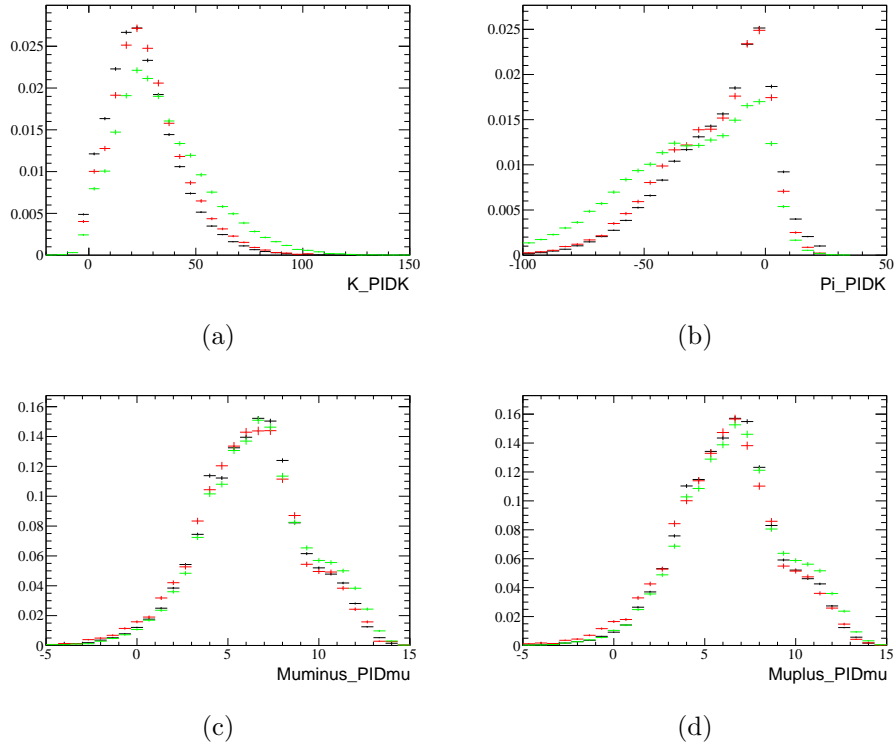


Figure 58: Comparison of the daughter DLL distributions for  $B^0 \rightarrow J/\psi K^{*0}$  candidates in the data and the MC. The three distributions are Data (Black), data-corrected simulated events (Red) and uncorrected simulated events (Green)

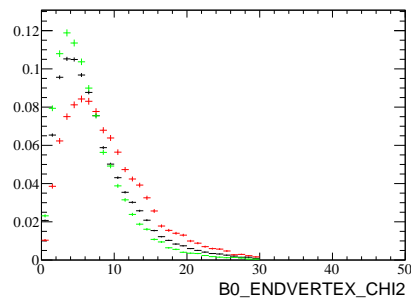


Figure 59: Comparison of the  $B$  end vertex  $\chi^2$  distributions for  $B^0 \rightarrow J/\psi K^{*0}$  candidates in the data and the MC. The three distributions are Data (Black), data-corrected simulated events (Red) and uncorrected simulated events (Green)

1816 In general there is good agreement between data and MC for all of the  
 1817 input variables that are used in the BDT. The first order correlations be-  
 1818 tween the different variables are also in general very well re-produced. The  
 1819 only a couple of places where the correlations are not faithfully reproduced:  
 1820 the correlation between the  $B$  end vertex and the impact parameter of the  
 1821 daughters and the correlation between the various daughter DLL dsitribu-  
 1822 tions. The latter is dilluted in the MC by the re-sampling that is applied.

### 1823 A.1 Comparison of data and MC efficiency

1824 As a further check of the data-MC agreement, Fig. 60 shows the ratio of  
 1825 offline selected to stripped candidates as a function of  $\cos\theta_\ell$ ,  $\cos\theta_K$  and the  
 1826  $\phi$  angle in data and MC for a BDT cut at 0.1. Within the present statistics,  
 1827 the MC accuratley reproduces the distribution seen in the data.

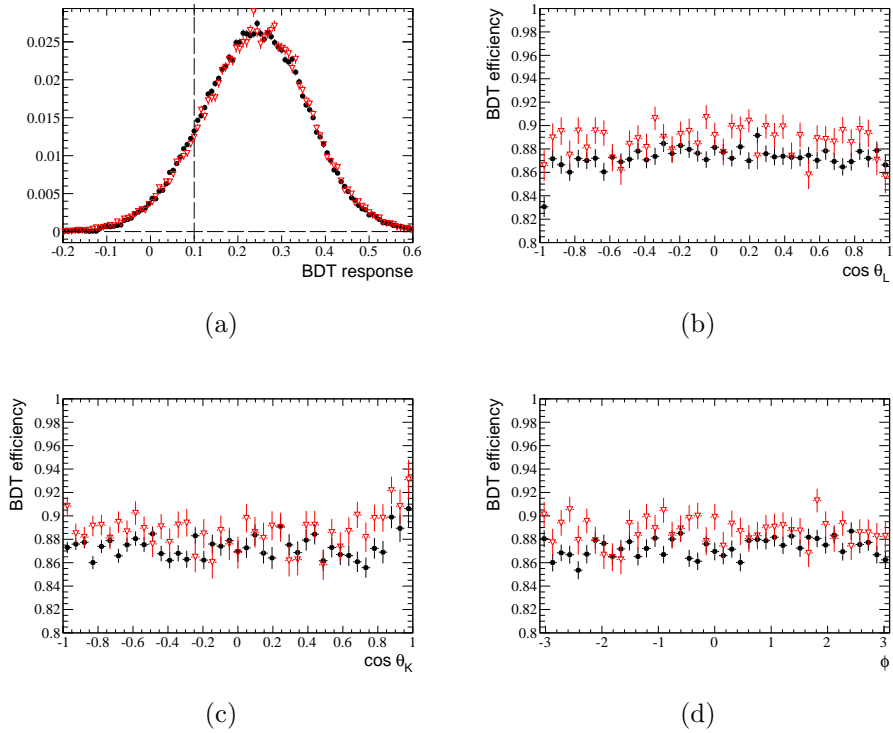


Figure 60: Comparison of the BDT cut “efficiency” as a function of  $\cos\theta_\ell$ ,  $\cos\theta_K$  and  $\phi$  between data and MC for background subtracted  $B^0 \rightarrow J/\psi K^{*0}$  candidates. The solid (black) markers are from the data. The open (red) markers from MC. Fig (a) shows the BDT distribution for data/MC. Events are selected offline if the BDT response is larger than 0.1.

## 1828 **B Factorisation of the acceptance correction**

1829 If the efficiency in a narrow bin of  $q^2$  can be factorised into separate functions  
1830 of  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$ :

$$\varepsilon(\cos \theta_l, \cos \theta_K, \phi) = \varepsilon(\cos \theta_l)\varepsilon(\cos \theta_K)\varepsilon(\phi)$$

1831 and the underlying ‘physics’ distribution of the events can also be factorised,  
1832 then the efficiency as a function of  $\phi$  can be written as:

$$\varepsilon(\phi) = \frac{\int \int \frac{d^3\Gamma}{d \cos \theta_l d \cos \theta_K d\phi} \varepsilon(\cos \theta_l, \cos \theta_K, \phi) d \cos \theta_l d \cos \theta_K}{\int \int \frac{d^3\Gamma}{d \cos \theta_l d \cos \theta_K d\phi} d \cos \theta_l d \cos \theta_K}$$

1833 It is a simple ratio of the distribution of the number of events after selection  
1834 as a function of  $\phi$  to the distribution at generator level (before production  
1835 cuts). If the underlying physics does not factorise into three separate an-  
1836 gular distributions, then even if the acceptance factorises it is not possible  
1837 to estimate the efficiency in  $\phi$  from the distribution of events in the  $\phi$  angle  
1838 alone. This is the case for  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  when  $F_L \neq 0$ . If the physics is non-  
1839 factorisable then the factorised efficiencies can still be taken from physics-MC  
1840 but would require a fit to the distribution of events in  $(\cos \theta_l, \cos \theta_K, \phi)$ , not  
1841 just a single angular projection.

1842 For phase-space MC the situation is particularly simple as:

$$\frac{d^3\Gamma}{d \cos \theta_l d \cos \theta_K d\phi} = \frac{1}{8\pi} \quad ,$$

1843 which not only factorises, but is flat in all three angles. In phase-space MC  
1844  $\varepsilon(\phi)$  can be trivially taken from the distribution of events after reconstruction  
1845 tion, the trigger and offline selection. In a bin of  $q^2$ , “ $k$ ”, the efficiency is  
1846 then given by:

$$\varepsilon(q^2, \cos \theta_l, \cos \theta_K, \phi)_k = 8\pi \frac{N_{\text{Sel.};k}}{N_{\text{Gen.};k}} f(\phi)_k f(\cos \theta_l)_k f(\cos \theta_K)_k$$

1847 where e.g.

$$f(\phi) = \int \int \frac{d^3\Gamma}{d \cos \theta_l d \cos \theta_K d\phi} \varepsilon(\cos \theta_l, \cos \theta_K, \phi) d \cos \theta_l d \cos \theta_K$$

1848 is a probability density function that describes the distribution of events in  
1849  $\phi$  after reconstruction, selection etc. The ratio,  $N_{\text{Sel.}}/N_{\text{Gen.}}$ , of events in a  
1850 bin of  $q^2$  after selection to the number at generator level is used to normalise



1851 the relative efficiency between  $q^2$  bins. The functions  $f(\phi)$ ,  $f(\cos \theta_l)$  and  
 1852  $f(\cos \theta_K)$  are normalised such that the integrals:

$$\int_{-\pi}^{\pi} f(\phi)_k d\phi = 1 \quad , \quad \int_{-1}^1 f(\cos \theta_l)_k d \cos \theta_l = 1 \quad \text{and} \quad \int_{-1}^1 f(\cos \theta_K)_k d \cos \theta_K = 1 \quad .$$

### 1853 **B.1 Example distributions at low- and high- $q^2$**

1854 The distribution of events after reconstruction, the trigger and selection in  
 1855  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  with  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$  and  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$   
 1856 are shown in Figs. 61 and 62 respectively. They are fitted with a 6<sup>th</sup> order  
 1857 Chebychev polynomial, which for  $\cos \theta_l$  and  $\phi$  only contains even order terms.

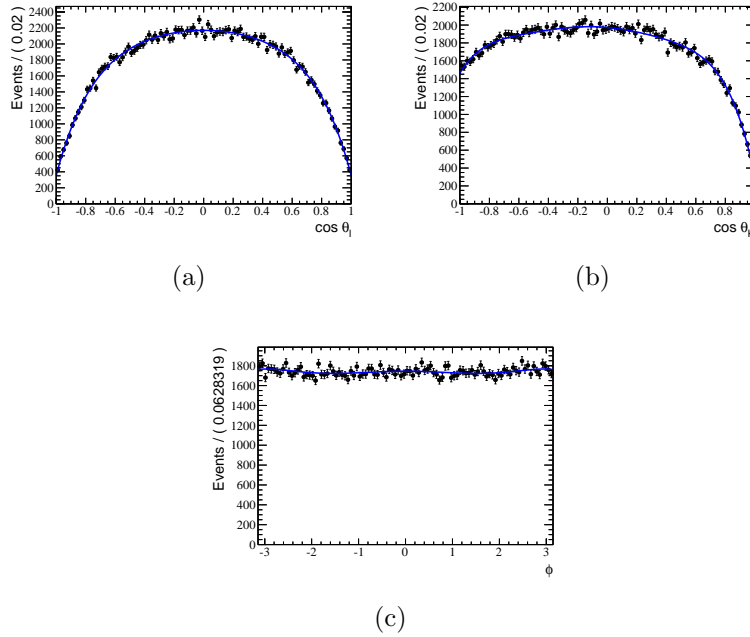


Figure 61: One dimensional projections of the distribution of events in  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  in phase-space MC after applying the full selection in the  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$  region.

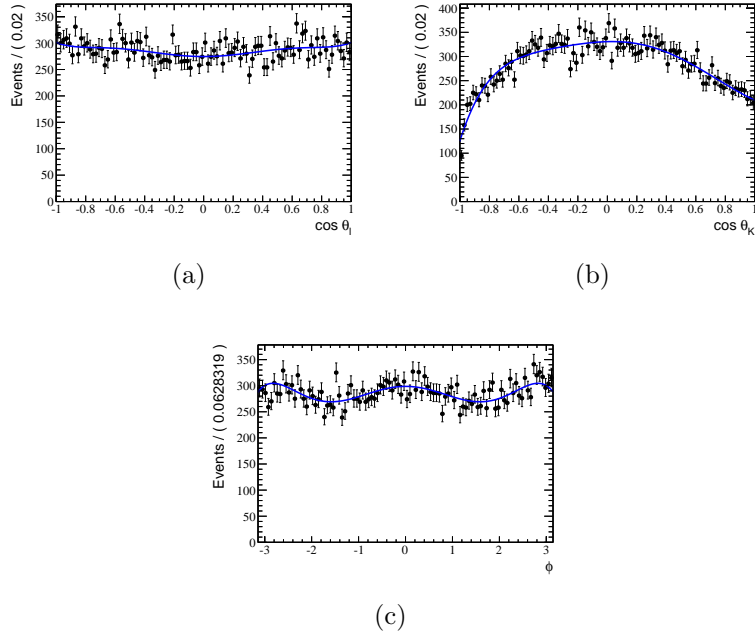
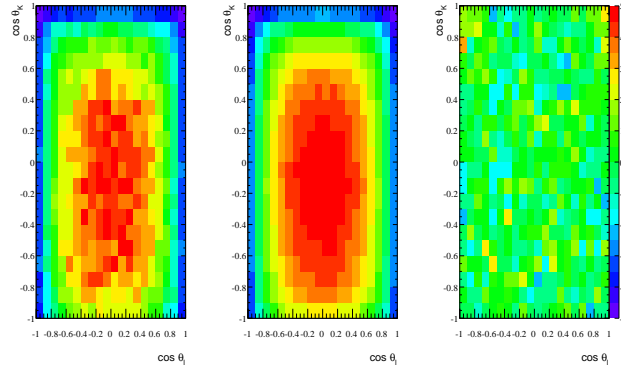
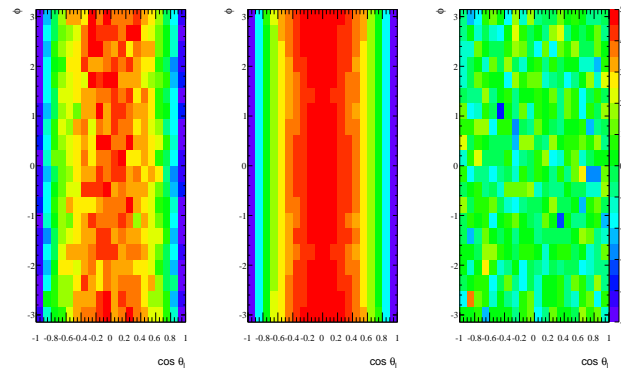


Figure 62: One dimensional projections of the distribution of events in  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  in phase-space MC after applying the full selection in the  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$  region.

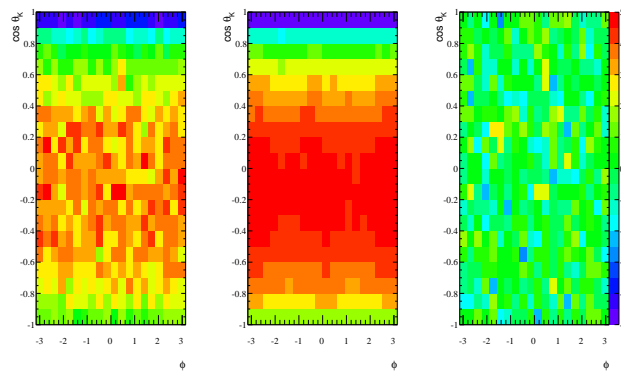
1858 The degree to which the efficiencies factorise is explored for  $1 < q^2 <$   
 1859  $1.5 \text{ GeV}^2/c^4$  and  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$  in Figs. 63 and 64 below. The  
 1860 two dimensional distribution of phase-space MC events after reconstruction,  
 1861 the trigger and offline selection is compared to the distribution that would  
 1862 be obtained using toy-MC if it is assumed that the efficiency factorises into  
 1863 three one dimensional distributions in Figs. 61 and 62. Qualitatively, the  
 1864 toy-MC reproduces many of the features seen in the phase-space MC. To try  
 1865 and quantify any potential differences a plot of the difference between phase-  
 1866 space MC and the toy-MC (divided by the error on the phase-space MC) is  
 1867 included. There are no regions where the factorisation is seen to break down.  
 1868 This agrees with the result of the unbinned goodness of fit test performed in  
 1869 three dimensions that was reported in Sec. 11.



(a)  $\cos \theta_l$  versus  $\cos \theta_K$  for  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$

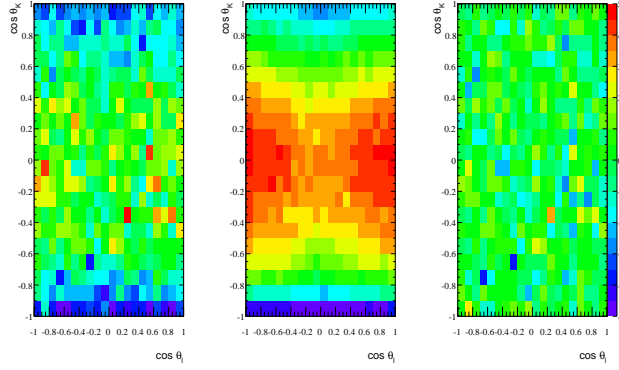


(b)  $\cos \theta_l$  versus  $\phi$  for  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$

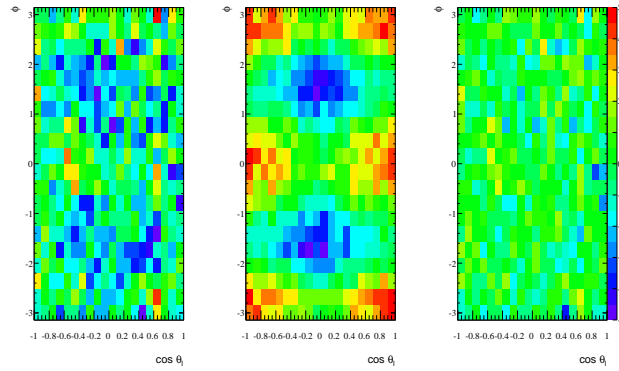


(c)  $\phi$  versus  $\cos \theta_K$  for  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$

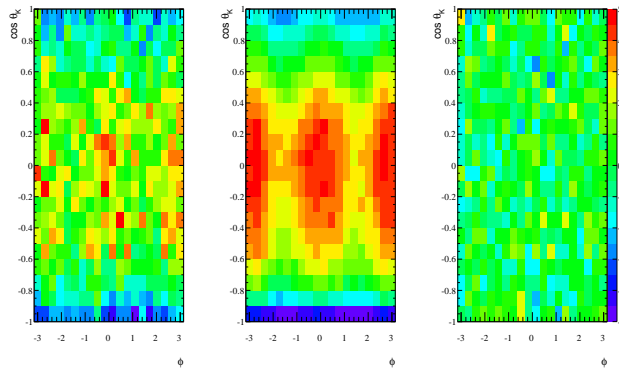
Figure 63: The distribution of events in phase-space MC in the  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$  mass region after reconstruction, the trigger and offline selection (left). The corresponding distribution in toy-MC if it is assumed that the efficiency can be factorised (centre) and the difference between the toy-MC and phase-space MC, divided by the error on the phase-space MC (right).



(a)  $\cos \theta_l$  versus  $\cos \theta_K$  for  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$



(b)  $\cos \theta_l$  versus  $\phi$  for  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$

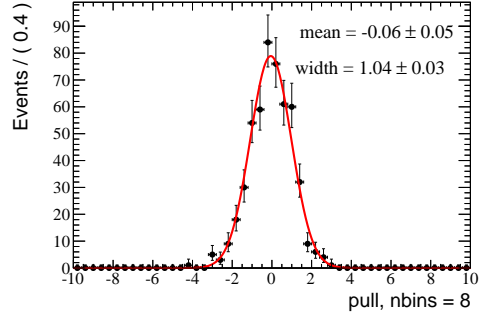


(c)  $\phi$  versus  $\cos \theta_K$  for  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$

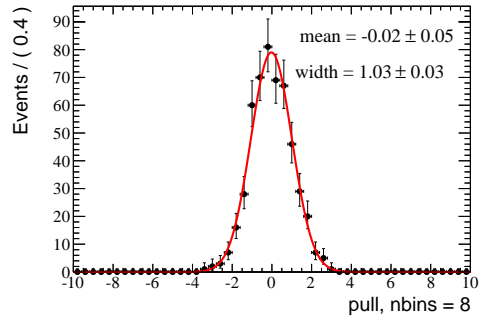
Figure 64: The distribution of events in phase-space MC in the  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$  mass region after reconstruction, the trigger and offline selection (left). The corresponding distribution in toy-MC if it is assumed that the efficiency can be factorised (centre) and the difference between the toy-MC and phase-space MC, divided by the error on the phase-space MC (right).

## 1870 **B.2 Pull distributions from the factorisation**

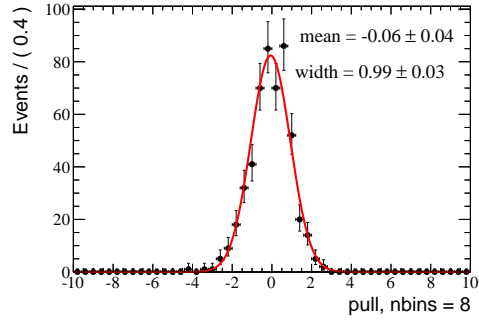
1871 The agreement between the phase-space MC after the application of the  
1872 reconstruction, stripping, trigger and offline selection and a factorised model  
1873 is explored further by calculating between the MC and the factorised model  
1874 in bins of  $\cos\theta_l$ ,  $\cos\theta_K$  and  $\phi$ . The “pull” distributions for the  $J/\psi$  region,  
1875  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$  and  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$  are shown in Fig. 65.  
1876 Eight bins have been used in each of the angles, i.e. 512 bins in total appear  
1877 in the figure. There are no visible outliers and each of the “pull” distributions  
1878 has a mean of zero and is consistent with having width one.



(a)  $J/\psi$  region



(b)  $1 < q^2 < 1.5 \text{ GeV}^2/c^4$



(c)  $17 < q^2 < 17.5 \text{ GeV}^2/c^4$

Figure 65: The “pull” distribution of the difference between the number of phase-space MC events in a bin of  $\cos \theta_l$ ,  $\cos \theta_K$  and  $\phi$  and the number predicted by a factorised model divided by the error on the difference.

### 1879 **B.3 Sensitivity to non-factorisable effects**

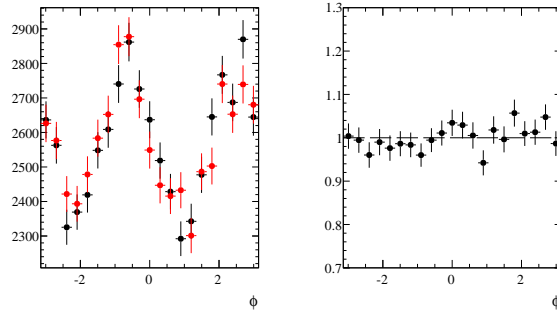
1880 The level to which we are sensitive to non-factorisable effects in the efficiency  
1881 distribution has been investigated using toy simulations. First a set of toys  
1882 was generated according to the factorised efficiency distribution that is seen  
1883 in the phase-space MC. This distribution was then fitted with the same  
1884 factorised model and the pull distribution was plotted for bins of the dataset  
1885 with respect to the factorised model. As expected this data set has a well  
1886 behaved pull distribution with respect to the model, with width of one and  
1887 a mean of zero.

1888 To simulate a non-factorisable efficiency distribution, a new set of toys  
1889 was generated. The PDF used to generate the first set of toys was multiplied  
1890 by a non-factorisable contribution:

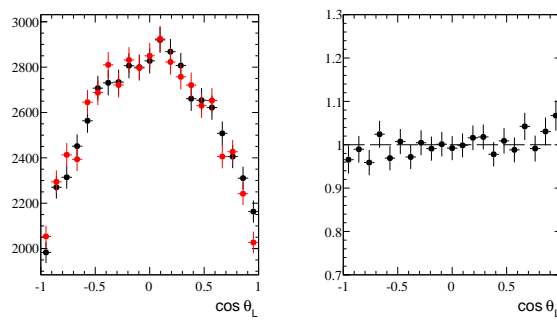
$$1 + a \sin(\pi \cos \theta_l) \sin(\pi \cos \theta_K) \quad (20)$$

1891 where  $a$  is a scaling factor indicating the size of the non-factorisable effect.  
1892 This set of toys was then fitted with the factorised model. For small values  
1893 of  $a$ , the pull distribution looks reasonable, but as  $a$  increases a large number  
1894 of bins in the toy dataset are seen to be poorly described by the factorised  
1895 model. This test was performed for 40 scaling factors between 0 and 1. The  
1896 number of extreme pulls is significant for  $a \geq 0.1$ . The value for this test  
1897 when performed on the phase space simulation data-set used to obtain the  
1898 efficiency PDF is 5.

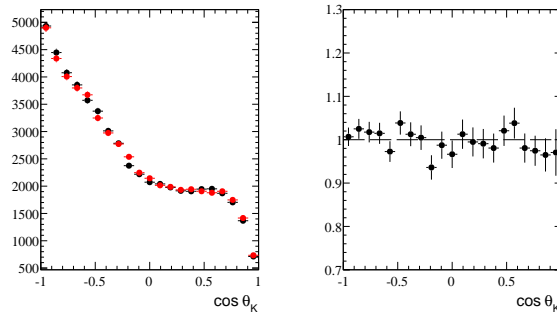
1899 **C** Comparison of  $B^0$  and  $\bar{B}^0$  distributions for  
 1900  $B^0 \rightarrow K^{*0} J/\psi$



(a)



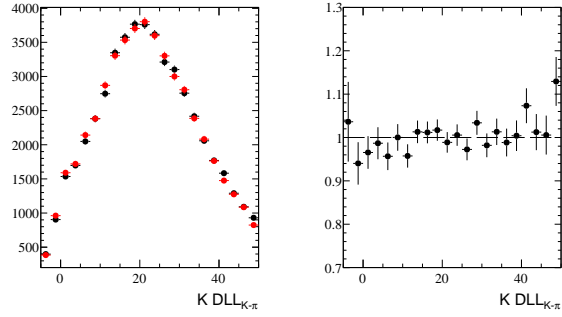
(b)



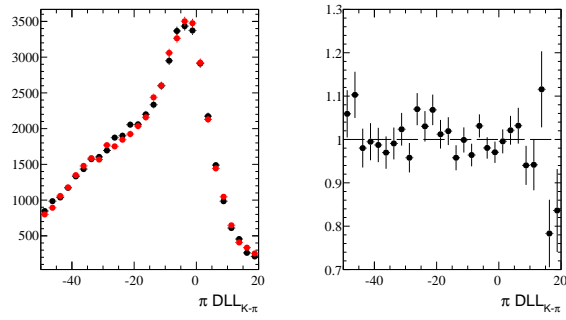
(c)

Figure 66: A comparison of the angular distribution of  $B^0$  and  $\bar{B}^0$  decays for the channel  $B^0 \rightarrow K^{*0} J/\psi$ .





(a)



(b)

Figure 67: A comparison of the kaon and pion DLL distributions for  $B^0$  and  $\bar{B}^0$  decays for the channel  $B^0 \rightarrow K^{*0} J/\psi$ .

1901 **D Lepton mass terms**

1902 If the lepton mass is not neglected then extra terms are introduced into the  
 1903 angular distribution and the  $I_i$  terms can be written as:

$$\begin{aligned}
 \frac{1}{\Gamma} I_1^S &= \left( \frac{3}{4}(1 - F_L) \times \left(1 - \frac{4m_\mu^2}{3q^2}\right) + \frac{1}{\Gamma} \frac{4m_\mu^2}{q^2} \Re(A_{\perp L} A_{\perp R}^* + A_{\parallel L} A_{\parallel R}^*) \right) \sin^2 \theta_K \\
 \frac{1}{\Gamma} I_1^C &= \left( F_L + \frac{1}{\Gamma} \frac{4m_\mu^2}{q^2} \times (|A_t|^2 + 2\Re(A_{0L} A_{0R}^*)) \right) \cos^2 \theta_K \\
 \frac{1}{\Gamma} I_2^S &= \frac{1}{4}(1 - F_L) \left(1 - \frac{4m_\mu^2}{q^2}\right) \sin^2 \theta_K \\
 \frac{1}{\Gamma} I_2^C &= -F_L \left(1 - \frac{4m_\mu^2}{q^2}\right) \cos^2 \theta_K \\
 \frac{1}{\Gamma} I_3 &= \frac{1}{2}(1 - F_L) A_T^2 \left(1 - \frac{4m_\mu^2}{q^2}\right) \times \sin^2 \theta_K \\
 \frac{1}{\Gamma} I_6 &= 2A_T^{Re} (1 - F_L) \sqrt{\left(1 - \frac{4m_\mu^2}{q^2}\right)} \times \sin^2 \theta_K \\
 \frac{1}{\Gamma} I_9 &= \frac{1}{2}(1 - F_L) A_T^{Im} \left(1 - \frac{4m_\mu^2}{q^2}\right) \times \sin^2 \theta_K
 \end{aligned}$$

1904 with the standard definitions for the parameters  $F_L$ ,  $A_T^2$ ,  $A_T^{Im}$  and  $A_T^{Re}$ . At  
 1905 low- $q^2$  where these additional terms can be significant, if the amplitudes  
 1906 coming from QCD factorisation, with soft form-factors are used<sup>3</sup>, then  $I_1^S$   
 1907 and  $I_1^C$  can be simplified - without requiring extra parameters in the fit.

1908 Starting with the  $\frac{1}{\Gamma} I_1^C$  term, one has:

$$\frac{|A_t|^2 + 2\Re(A_{0L} A_{0R}^*)}{\Gamma} = F_L \times \frac{|A_t|^2 + 2\Re(A_{0L} A_{0R}^*)}{|A_0|^2} \quad (21)$$

1909 and using the expressions for the amplitudes in terms of the soft form-factors  
 1910 in Ref. [26]:

$$\frac{|A_t|^2 + 2\Re(A_{0L} A_{0R}^*)}{|A_0|^2} = 1 \quad . \quad (22)$$

1911 Thus:

---

<sup>3</sup>These assumption are assumed to hold to  $\mathcal{O}(\Lambda/m_b) \sim 10\%$  for small values of  $q^2$

$$\frac{1}{\Gamma} I_1^C = F_L \times \left( 1 + \frac{4m_\mu^2}{q^2} \right) \cos^2 \theta_K . \quad (23)$$

1912  $\frac{1}{\Gamma} I_1^S$  term is slightly more complicated:

$$\begin{aligned} \frac{\Re(A_\perp L A_\perp^* R + A_\parallel L A_\parallel^* R)}{\Gamma} &= (1 - F_L) \times \frac{\Re(A_\perp L A_\perp^* R + A_\parallel L A_\parallel^* R)}{|A_\parallel|^2 + |A_\perp|^2} \\ &= \frac{1}{2} (1 - F_L) \times \left[ 1 - f(\mathcal{C}_7^{eff(\prime)}, \mathcal{C}_9^{eff(\prime)}, \mathcal{C}_{10}^{eff(\prime)}) \right] \end{aligned} \quad (24)$$

1913 where:

$$\begin{aligned} f(\mathcal{C}_7^{eff(\prime)}, \mathcal{C}_9^{eff(\prime)}, \mathcal{C}_{10}^{(\prime)}) &= 2(|\mathcal{C}_{10}|^2 + |\mathcal{C}'_{10}|^2) / \left[ |\mathcal{C}_9^{eff}|^2 + |\mathcal{C}_9^{eff\prime}|^2 + |\mathcal{C}_{10}|^2 + |\mathcal{C}'_{10}|^2 + \right. \\ &\quad 2 \frac{m_b m_B}{q^2} \left( \mathcal{C}_9^{eff} \mathcal{C}_7^{eff*} + \mathcal{C}_9^{eff*} \mathcal{C}_7^{eff} \right) + \\ &\quad 2 \frac{m_b m_B}{q^2} \left( \mathcal{C}_9^{eff\prime} \mathcal{C}_7^{eff\prime*} + \mathcal{C}_9^{eff\prime*} \mathcal{C}_7^{eff\prime} \right) + \\ &\quad \left. 4 \frac{m_b^2 m_B^2}{q^4} \left( |\mathcal{C}_7^{eff}|^2 + |\mathcal{C}_7^{eff\prime}|^2 \right) \right] \end{aligned}$$

1914 and then:

$$\frac{1}{\Gamma} I_1^S = \frac{3}{4} (1 - F_L) \times \left[ 1 + \frac{4m_\mu^2}{3q^2} - \frac{8m_\mu^2}{3q^2} f(\mathcal{C}_7^{eff(\prime)}, \mathcal{C}_9^{eff(\prime)}, \mathcal{C}_{10}^{(\prime)}) \right]$$

1915 If  $f(\mathcal{C}_7^{eff(\prime)}, \mathcal{C}_9^{eff(\prime)}, \mathcal{C}_{10}^{(\prime)})$  is small, this simplifies to:

$$\frac{1}{\Gamma} I_1^S \simeq \frac{3}{4} (1 - F_L) \times \left[ 1 + \frac{4m_\mu^2}{3q^2} \right]$$

1916 For this to be true:

$$\begin{aligned} 2 \frac{m_b m_B}{q^2} \left( \mathcal{C}_9^{eff} \mathcal{C}_7^{eff*} + \mathcal{C}_9^{eff*} \mathcal{C}_7^{eff} + \mathcal{C}_9^{eff\prime} \mathcal{C}_7^{eff\prime*} + \mathcal{C}_9^{eff\prime*} \mathcal{C}_7^{eff\prime} \right) + \\ 4 \frac{m_b^2 m_B^2}{q^4} \left( |\mathcal{C}_7^{eff}|^2 + |\mathcal{C}_7^{eff\prime}|^2 \right) + |\mathcal{C}_9^{eff}|^2 + |\mathcal{C}_9^{eff\prime}|^2 \gg |\mathcal{C}_{10}|^2 + |\mathcal{C}'_{10}|^2 \end{aligned}$$

1917

1918 which will tend to be true for  $q^2 \leq 1$  where the contribution from  $\mathcal{C}_7^{(\prime)}$  dom-  
1919 inates, i.e.  $4m_b^2 m_B^2 (|\mathcal{C}_7|^2 + |\mathcal{C}'_7|^2) / q^4$  is large compared to  $|\mathcal{C}_{10}|^2$ .  $|\mathcal{C}_7|^2 + |\mathcal{C}'_7|^2$   
1920 is known to  $\sim 10\%$  from  $b \rightarrow s\gamma$ .

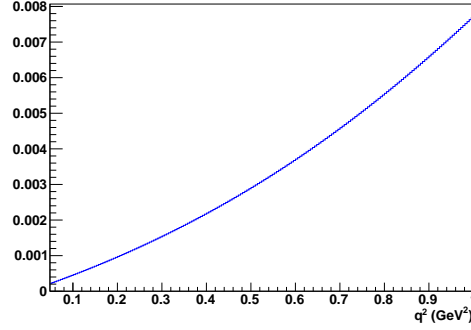


Figure 68: Variation of the function  $8m_\mu^2 f(\mathcal{C}_7^{eff(t)}, \mathcal{C}_9^{eff(t)}, \mathcal{C}_{10}^{(t)})/3q^2$  with  $q^2$ .

1921 Using the SM values for the Wilson coefficients and neglecting  $\mathcal{C}_7^{eff'}$ ,  $\mathcal{C}_9^{eff'}$   
 1922 and  $\mathcal{C}_{10}^{eff'}$  with respect to  $\mathcal{C}_7^{eff}$ , one can draw the variation of:

$$\frac{8m_\mu^2}{3q^2} f(\mathcal{C}_7^{eff(t)}, \mathcal{C}_9^{eff(t)}, \mathcal{C}_{10}^{(t)})$$

1923 as a function of  $q^2$ . It is shown in Fig 68.

1924 In summary, no additional parameters are introduced but kinematical  
 1925 factors, that depend on  $m_\mu^2/q^2$ , appear in front of the usual terms.

## 1926 **E Threshold Terms**

### 1927 **E.1 Testing the correction procedure.**

1928 In order to test its validity, the correction procedure has been applied to a  
1929 large statistics MC sample. The events have been generated according to  
1930 the SM predictions for the physics parameters of interest. The first  $q^2$  bin,  
1931 between  $0.1$  and  $2 \text{ GeV}^2/c^4$  is divided into 19 sub-bins of width  $0.1 \text{ GeV}^2/c^4$ .  
1932 In each of these bins, two fits are performed:

- 1933 • the first fit neglecting the threshold terms completely;
- 1934 • the second fit includes threshold terms.

1935 In both cases the  $q^2$  variation over the bin is neglected. In the second case  
1936 this amounts to treating  $x$  as a constant over the sub-bin. The impact of  
1937 neglecting the threshold terms can be clearly seen in Fig 69, which shows the  
1938 angular distribution of simulated events with  $0.1 < q^2 < 0.2 \text{ GeV}^2/c^4$ . The  
1939  $\cos \theta_l$  distribution is only correctly described if the threshold terms are taken  
1940 into account.

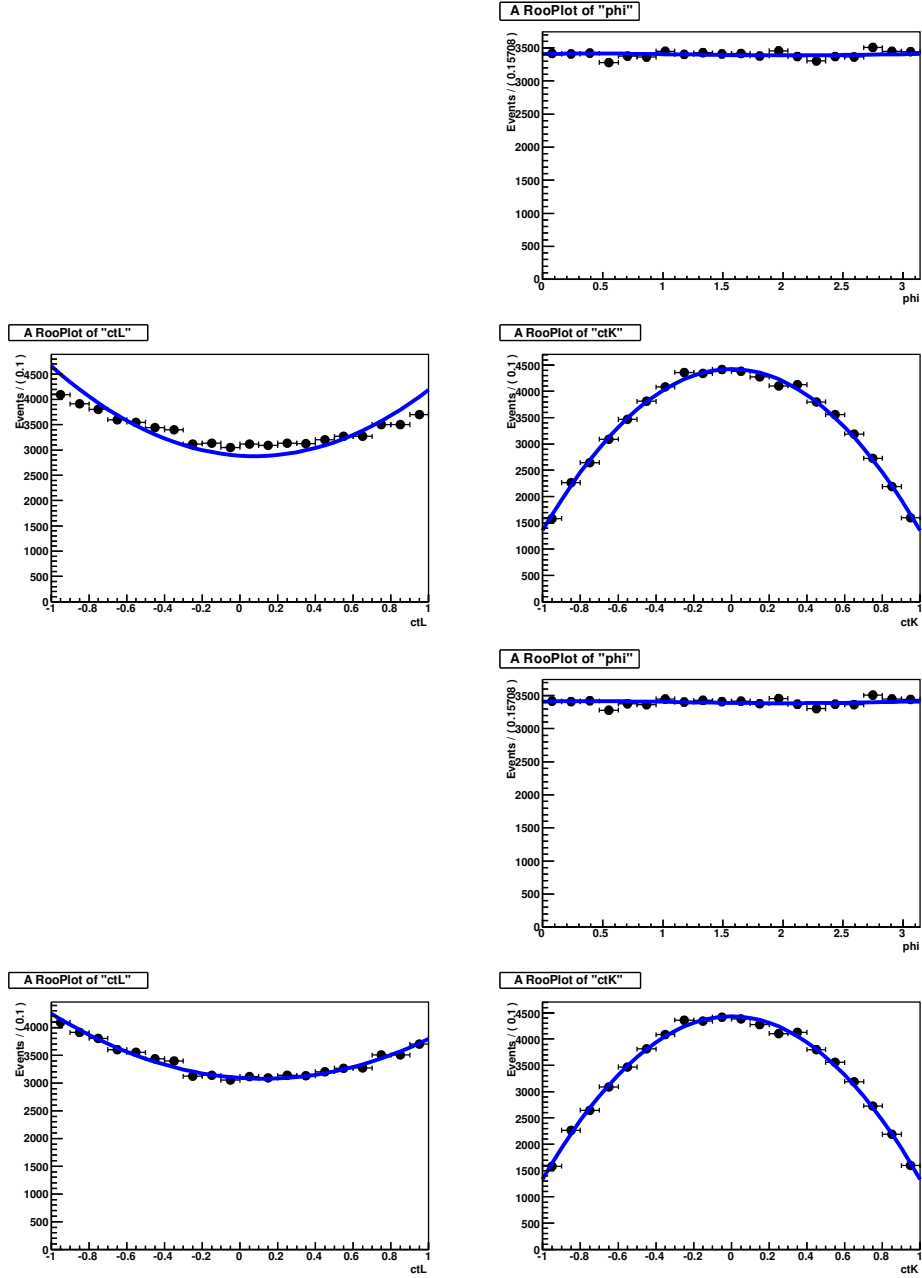


Figure 69: Fit of the angular distributions in simulation with  $0.1 < q^2 < 0.2 \text{ GeV}^2/c^4$  with a pdf without threshold terms (three top plots) and with threshold terms (three bottom plots). The  $\cos \theta_l$  distribution is clearly not well fitted in the first case.

1941 The results of the fits for each observable in the 19 small bins, i.e. as a  
 1942 function of  $q^2$ , are shown on Figs. 70 and 71 for the fits without and with  
 1943 threshold terms respectively. As expected, the ratio of the two fit results  
 1944 approaches one as  $q^2$  becomes large, Fig. 72.

In the MC, where the statistics is large, the true value of the physics parameters over the  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$  bin can be obtained by averaging the results of the fits to the 19 sub-bins, taking into account the threshold terms in the fits (the assumption here is that the  $q^2$  variation over the sub-bins is negligible). The averages are calculated as follows:

$$\langle F_L \rangle = \frac{\sum_{i=1}^{nbins} F_{L,i} N_i}{\sum_{i=1}^{nbins} N_i} \quad (25)$$

$$\langle A_T^2 \rangle = \frac{\sum_{i=1}^{nbins} A_{T,i}^2 N_i (1 - F_{L,i})}{\sum_{i=1}^{nbins} N_i (1 - F_{L,i})} \quad (26)$$

$$\langle A_T^{Im} \rangle = \frac{\sum_{i=1}^{nbins} A_{T,i}^{Im} N_i (1 - F_{L,i})}{\sum_{i=1}^{nbins} N_i (1 - F_{L,i})} \quad (27)$$

$$\langle A_T^{Re} \rangle = \frac{\sum_{i=1}^{nbins} A_{T,i}^{Re} N_i (1 - F_{L,i})}{\sum_{i=1}^{nbins} N_i (1 - F_{L,i})} \quad (28)$$

1945 and are listed in Table 48, third row.

1946 The results of the fit to the whole  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$  bin without  
 1947 taking into account the threshold terms in the PDF are also shown: on the  
 1948 first row without applying the correction procedure and on the second row  
 1949 applying the correction procedure. The values in the second row of table 48  
 1950 are in general in good agreement with the reference values in the third row.

1951 The values of the corrections, evaluated with formulas 8 and 9 using the  
 1952 400 k SM MC candidates with  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$ , are shown in table 47.  
 1953 Three different values of the parameter  $a$  of  $F_L(q^2)$ , defined in eq. 7, have  
 1954 been considered. The results for  $a = 0.66$  and  $a = 1.5$  are shown on tables 49  
 1955 and 50 respectively.

1956 We can notice that assuming a linear behavior for  $A_T^{Re}$  allows to get a  
 1957 correction which gives a more reliable result. The differences in the values  
 1958 for  $A_T^2$  are due to statistical fluctuations, which have a large impact here  
 1959 since the generation value for  $A_T^2$  is about zero. The same analysis for a non  
 1960 SM MC, having a generation value for  $A_T^2$  different from zero, gives a good  
 1961 agreement also for the value of  $A_T^2$ , as can be seen in Tables 52 and 53.

	$a = 0.66$	$a = 1$	$a = 1.5$
Correction on $A_T^2$	1.24	1.26	1.28
Correction on $err(A_T^2)$	1.22	1.24	1.26
Correction on $A_T^{Re}$	1.16	1.17	1.18
Correction on $A_T^{Re}$ (linear approx)	1.08	1.08	1.09
Correction on $err(A_T^{Re})$	1.15	1.16	1.17

Table 47: Values of the corrections evaluated with formulas 8 and 9 using 400k SM MC candidates in the range  $(0.1 - 2) \text{ GeV}^2/c^4$ . Three different values of the parameter  $a$  of  $F_L(q^2)$ , defined in Eq. 7, have been considered.

SM MC a=1					
	$F_L$	$A_T^{Re}$	$A_T^{Re}$ (linear approx.)	$A_T^2$	$A_T^m$
Bin not corrected	$0.4469 \pm 0.0011$	$-0.2783 \pm 0.0029$	-	$0.0004 \pm 0.0060$	$0.0090 \pm 0.0060$
Bin corrected	$0.4468 \pm 0.0011$	$-0.3244 \pm 0.0033$	$-0.3019 \pm 0.0033$	$0.0006 \pm 0.0075$	$0.0114 \pm 0.0075$
Average of results in small bins	$0.4477 \pm 0.0011$	$-0.2956 \pm 0.0033$	-	$0.0030 \pm 0.0077$	$0.0115 \pm 0.0078$

Table 48: Results of the validation of the correction procedure on high statistics SM MC, assuming a=1

SM MC a=0.66					
	$F_L$	$A_T^{Re}$	$A_T^{Re}$ (linear approx.)	$A_T^2$	$A_T^m$
Bin corrected	$0.4469 \pm 0.0011$	$-0.3217 \pm 0.0033$	$-0.3000 \pm 0.0033$	$0.0006 \pm 0.0074$	$0.0112 \pm 0.0074$
Average of results in small bins	$0.4477 \pm 0.0011$	$-0.2956 \pm 0.0033$	-	$0.0030 \pm 0.0077$	$0.0115 \pm 0.0078$

Table 49: Results of the validation of the correction procedure on high statistics SM MC, assuming a=0.66



SM MC a=1.5					
	$F_L$	$A_T^{Re}$	$A_T^{Re}$ (linear approx.)	$A_T^2$	$A_T^m$
Bin corrected	0.4468±0.0011	-0.3273±0.0034	-0.3039±0.0039	0.0006±0.0076	0.0115±0.0076
Average of results in small bins	0.4477±0.0011	-0.2956±0.0033		0.0030±0.0077	0.0115±0.0078

Table 50: Results of the validation of the correction procedure on high statistics SM MC, assuming a=1.5

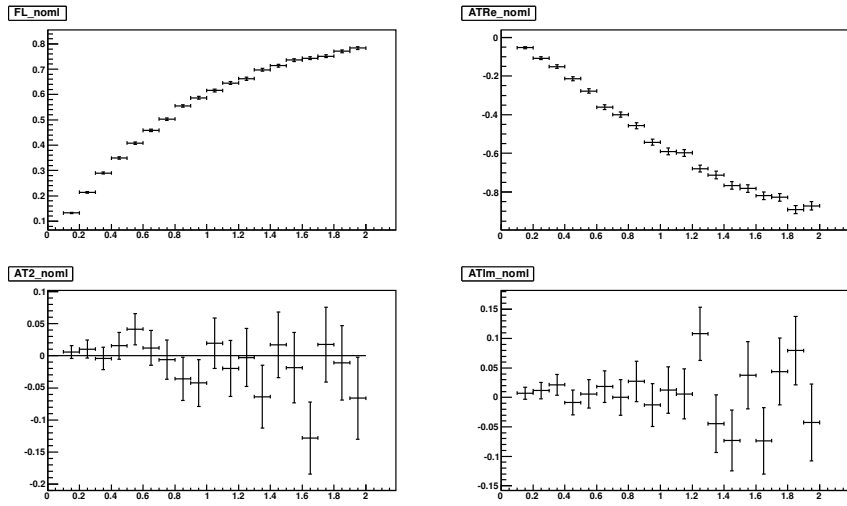


Figure 70: Results of the fits in small bins of  $0.1 \text{ GeV}^2/c^4$  width for the high statistics SM MC, not taking into account the threshold terms.

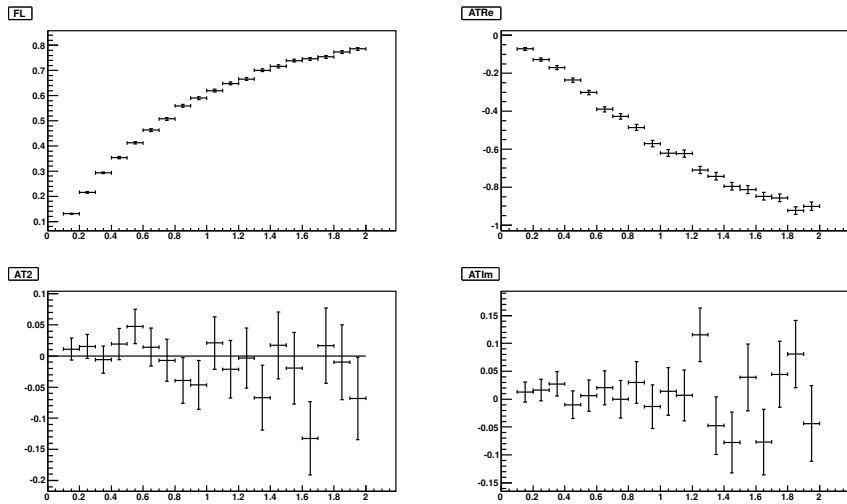


Figure 71: Results of the fits in small bins of  $0.1 \text{ GeV}^2/c^4$  width for the high statistics SM MC, taking into account the threshold terms.

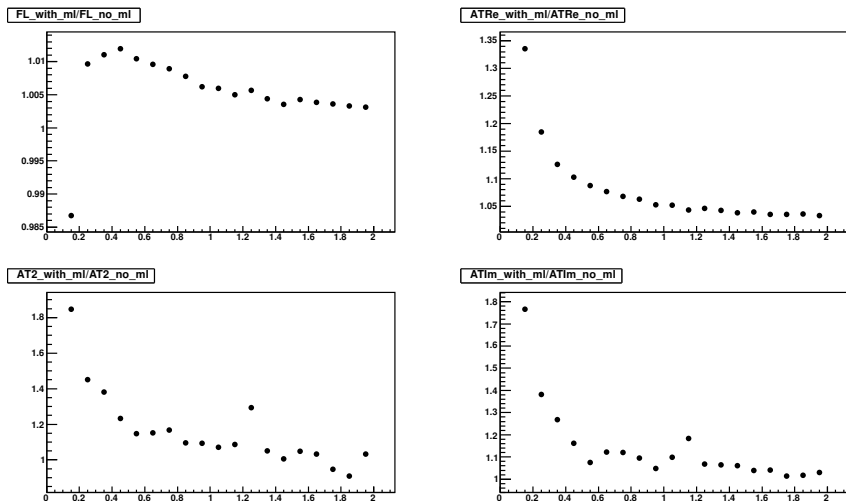


Figure 72: Ratio of the results of the fits in small bins of  $0.1 \text{ GeV}^2/c^4$  width taking into account the threshold terms over the results not taking into account them for the high statistics SM MC.

1962 In order to test the precision to which the correction factors can be  
 1963 determined, the high statistics MC sample has been divided in 1832 sam-  
 1964 ples, each containing 143 signal events as expected in  $1 \text{ fb}^{-1}$  in the range  
 1965  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$ . The corrections have been evaluated for each of these  
 1966 toy samples and the results are shown in Fig. 73. The distributions of the  
 1967 corrections are fit with a Gaussian function, and the results are reported on  
 1968 Table 51 for the mean and the sigma. We can see that the corrections are  
 1969 determined with an uncertainty lower than 1%.

Fit with no threshold terms (a=1)		
Parameter	$m$	$\sigma$
$A_T^2$	1.259	0.016
$err(A_T^2)$	1.240	0.014
$A_T^{Re}$	1.1662	0.0099
$A_T^{Re}$ (linear approx)	1.0851	0.0043
$err(A_T^{Re})$	1.1583	0.0092

Table 51: Results of the Gaussian fit to the distributions of the corrections obtained from 1832 MC toys based on SM MC. Each toy has a statistic corresponding 143 signal events as expected in  $1 \text{ fb}^{-1}$  in the range  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$ .

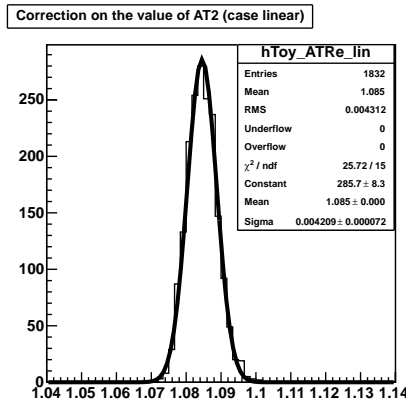
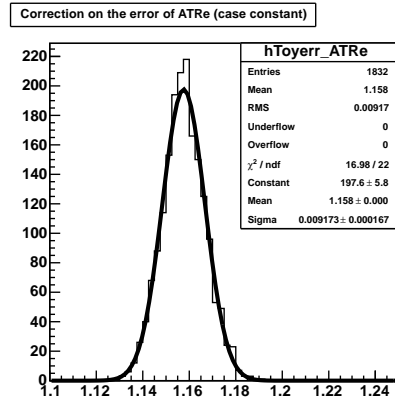
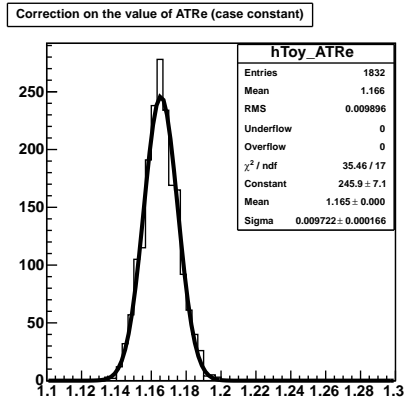
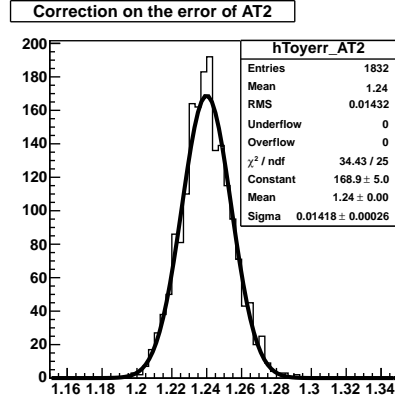
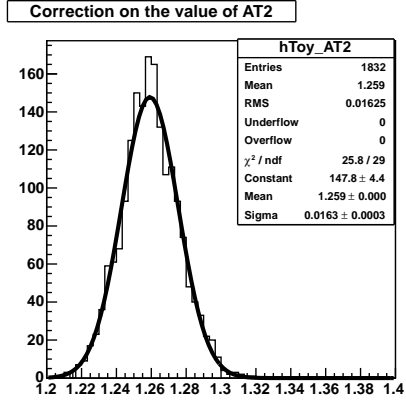


Figure 73: Distributions of the corrections obtained from 1832 MC toys based on SM MC. Each toy has a statistic corresponding 143 signal candidates as expected in  $1 \text{ fb}^{-1}$  of data. The distribution is fitted with a Gaussian function.

	$a = 0.66$	$a = 1$	$a = 1.5$
Correction on $A_T^2$	1.23	1.24	1.26
Correction on $err(A_T^2)$	1.21	1.22	1.24
Correction on $A_T^{Re}$	1.15	1.16	1.17
Correction on $A_T^{Re}$ (linear approx)	1.07	1.08	1.09
Correction on $err(A_T^{Re})$	1.14	1.15	1.16

Table 52: Values of the corrections evaluated with formulas 8 and 9 using 70 k events of non SM MC in the range  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$ . Three different values of the parameter  $a$  of  $F_L(q^2)$ , defined in eq. 7, have been considered.

Non-SM MC, a=1					
	$F_L$	$A_T^{Re}$	$A_T^{Re}$ (linear approx.)	$A_T^2$	$A_T^{Im}$
Bin not corrected	$0.5305 \pm 0.0038$	$-0.3177 \pm 0.0108$	-	$0.1250 \pm 0.0227$	$-0.0168 \pm 0.0228$
Bin corrected	$0.5305 \pm 0.0038$	$-0.3673 \pm 0.0124$	$-0.3428 \pm 0.0124$	$0.1555 \pm 0.0279$	$-0.0209 \pm 0.0279$
Average of results in small bins	$0.5348 \pm 0.0036$	$-0.3433 \pm 0.0122$	-	$0.1613 \pm 0.0291$	$-0.0252 \pm 0.0290$

Table 53: Results of the validation of the correction procedure on high statistics non-SM MC, assuming a=1

## 1970 **E.2 Cross-checking the assumption on the dependence** 1971 **of $F_L$ from $q^2$ .**

As a cross-check we also computed the correction assuming a linear behavior for  $F_L$ , i.e. using the following expression instead of that in equation 7:

$$F_L(q_i^2) = bq_i^2 \quad (29)$$

1972 Table 54 shows the size of the corresponding correction factors for the three  
1973 value of  $b$ . The measured value of  $b$  on data, shown on figure 74, is  $b =$   
1974  $0.29 \pm 0.08$ .

	$b = 0.21$	$b = 0.29$	$b = 0.37$
Correction on $A_T^2, S_3, A_T^{Im}, A_{Im}$	1.18	1.21	1.24
Correction on $err(A_T^2), err(S_3), err(A_T^{Im}), err(A_{Im})$	1.17	1.19	1.22
Correction on $A_T^{Re}, A_{FB}$	1.12	1.13	1.15
Correction on $A_T^{Re}, A_{FB}$ (linear approx)	1.06	1.07	0.09
Correction on $err(A_T^{Re}), err(A_{FB})$	1.11	1.13	1.15

Table 54: Values of the corrections evaluated with Eq. 15 and 16 using 254 events of data in the range  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$ , assuming linear behavior for  $F_L$  as in Eq. 29. Three different values of the parameter  $b$  of  $F_L(q^2)$ , defined in Eq. 29, have been considered.

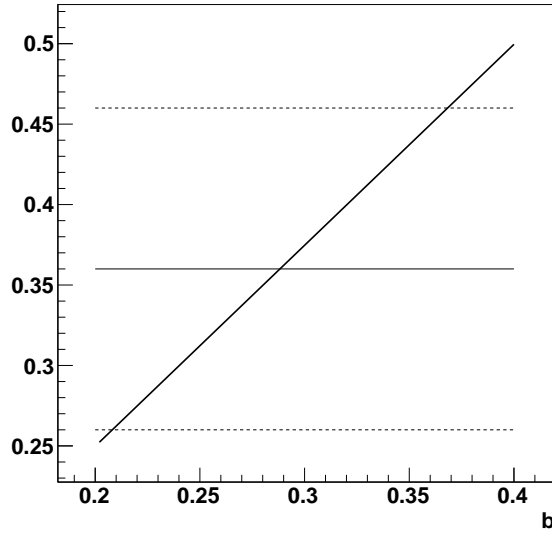


Figure 74: The curve represent the values of  $\langle F_L \rangle$  as function of  $b$  as calculated on data assuming linear behavior for  $F_L$  as in equation 29. The horizontal lines represent the measured value of  $F_L$  and its error. The intersection with the curve gives the measurement of  $b = 0.29 \pm 0.08$ .

1975 **F  $S$ -wave extraction**

1976 **F.1 Validation of the  $S$ -wave extraction with  $B^0 \rightarrow$**   
 1977  $K^{*0} J/\psi$

1978 To determine the  $S$ -wave parameters in data, we perform a simultaneous fit  
 1979 in the two mass regions: above and below the  $K^{*0}$  mass.

1980 The signal is described by the angular Pdf including the extra terms due  
 1981 to the  $S$ -wave, as discussed in Sec. 16, while the  $B^0$ -mass Pdf is identical to  
 1982 the one used in the main fit. In the simultaneous fit all parameters of the two  
 1983 Pdfs, apart for the value of  $A_S^+$  and  $A_S^-$  and the signal fraction, are shared.  
 1984 While in the main fit the  $S$ -wave parameters are fixed to zero, in this more  
 1985 complex fit an iterative procedure is used. The fit is performed as follow:  $F_S$   
 1986 is first fixed to 0, while  $A_S^+$  and  $A_S^-$  are free to float. After the first fit,  $F_S$  is  
 1987 computed using Eq. 4 and fixed to this new value. A second fit is performed  
 1988 to determine again  $A_S^+$  and  $A_S^-$ , so a new value of  $F_S$  is obtained. We found  
 1989 that  $F_S$  varies slightly between the two fits, so there is no need to iterate  
 1990 again. This procedure assumes implicitly that the acceptance corrections  
 1991 calculated for the full sample can be used for both the  $K\pi$  mass regions,  
 1992 i.e. that the acceptance has a small dependence on the  $K\pi$ -mass, which is  
 1993 reasonable to expect.

1994 The iterative fit to extract the  $S$ -wave has been validated on  $B^0 \rightarrow K^{*0} J/\psi$   
 1995 events. The results are shown in Table 55. After the second iteration, the  
 1996  $F_S$  value is found to be  $0.0835 \pm 0.0024$ , consistent with expectations. The  
 1997 value obtained using  $A_S^+$  and  $A_S^-$  from the first iteration was  $F_S = 0.0838$ ,  
 1998 which shows how quickly this procedure converges for the  $B^0 \rightarrow K^{*0} J/\psi$ .

1999 The projection of the four fitted quantities for the two  $K\pi$  mass regions  
 2000 are shown on Figures 75 and 76.

Observable	Fit result
$A_T^{Re}$	$0.010 \pm 0.007$
$F_L$	$0.567 \pm 0.002$
$A_T^2$	$0.050 \pm 0.017$
$A_T^{Im}$	$-0.390 \pm 0.017$
$A_S^+$	$-0.054 \pm 0.004$
$A_S^-$	$-0.288 \pm 0.004$

Table 55: Fit results on  $B^0 \rightarrow J/\psi K^{*0}$  including the  $S$ -wave and exploiting the phase information.



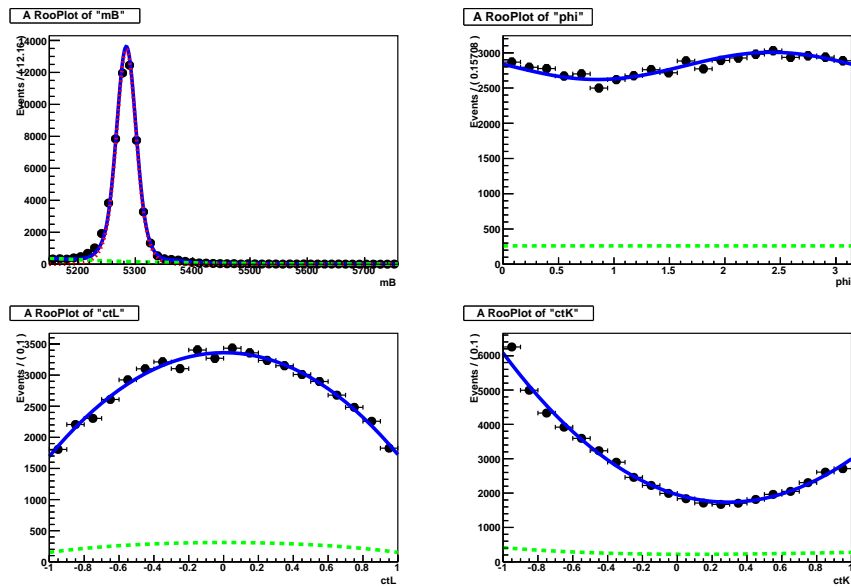


Figure 75: 1D projections of the four fitted quantities for the  $B^0 \rightarrow J/\psi K^{*0}$  dataset with  $M(K\pi) < M(K^{*0})$ . The fitted pdf (blue), the background only pdf (green) are overlaid.

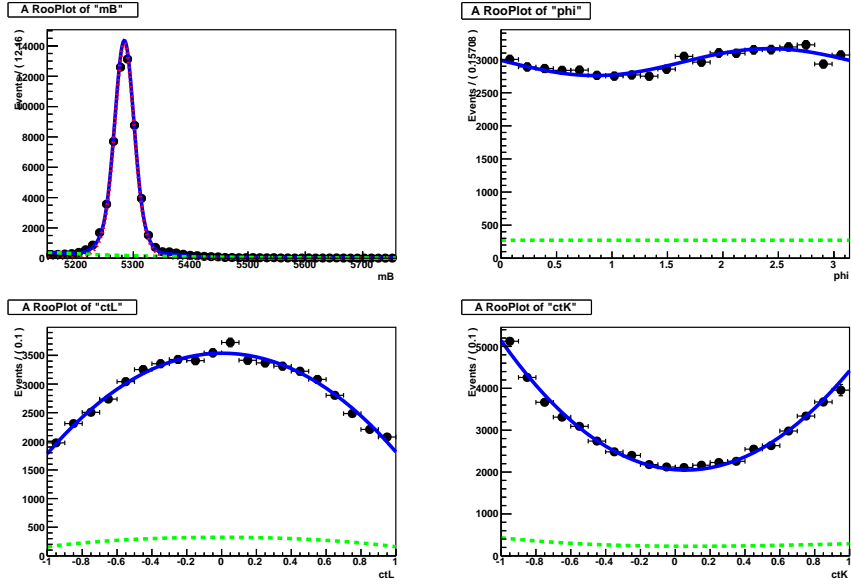


Figure 76: 1D projections of the four fitted quantities for the  $B^0 \rightarrow J/\psi K^{*0}$  dataset with  $M(K\pi) > M(K^{*0})$ . The fitted pdf (blue), the background only pdf (green) are overlaid.

2001 For comparison, a simple fit with  $A_S$  and  $F_S$  as free parameters is per-  
 2002 formed on  $B^0 \rightarrow K^{*0} J/\psi$  events. The results are shown in Table 56. The  $A_S$   
 2003 value can be compared with the mean of  $A_S^+$  and  $A_S^-$  from Table 55. The fit  
 2004 results are compatible with the ones of Table 55 but the method exploiting  
 2005 the phase change gives an error on  $F_S$  smaller by a factor  $\sim 3$ .

Observable	Fit result
$A_T^{Re}$	$0.010 \pm 0.007$
$F_L$	$0.566 \pm 0.003$
$A_T^2$	$0.052 \pm 0.017$
$A_T^{Im}$	$-0.382 \pm 0.017$
$F_S$	$0.0771 \pm 0.0062$
$A_S$	$-0.169 \pm 0.003$

Table 56: Fit results on  $B^0 \rightarrow J/\psi K^{*0}$  including the  $S$ -wave, fitting directly  $F_S$  and  $A_S$ .

2006 We have also tested the method to extract the  $S$ -wave splitting the  $B^0 \rightarrow$   
 2007  $J/\psi K^{*0}$  dataset in 152 files of 1000 events. The value obtained for  $F_S$  and  
 2008 its error after the second fit are shown on Figure 77 and 78, it demonstrates

2009 that this method gives reliable results on small samples.

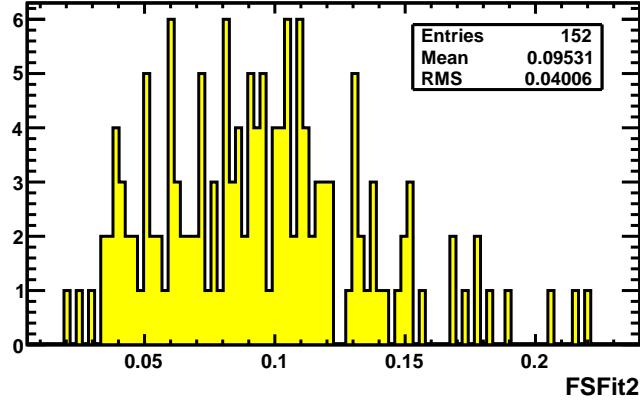


Figure 77:  $F_S$  values obtained from fits on  $B^0 \rightarrow J/\psi K^{*0}$  data samples of 1000 events.

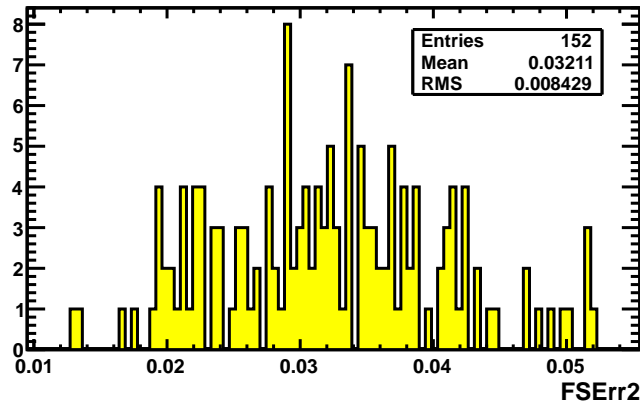


Figure 78:  $F_S$  errors obtained from fits on  $B^0 \rightarrow J/\psi K^{*0}$  data samples of 1000 events.

2010 Using the  $B^0 \rightarrow K^{*0} J/\psi$  events, it was also checked how the calculated  
 2011 values of  $F_S$  depends on the assumptions: the  $S$ -wave was parametrised as  
 2012 varying by  $\pm 20\%$  over  $\pm 100 \text{ MeV}/c^2$  instead of being taken as constant. The  
 2013 Breit Wigner was parametrised as a  $P$ -wave relativistic Breit Wigner instead  
 2014 of the simple BW and central value and sigma of the BW were varied within  
 2015 their errors, resulting among others from different background subtraction .  
 2016 All these variations resulted in  $\langle F_S \rangle$  variations by less than 10%. This 10%

2017 is much smaller than the statistical error on  $F_s$  obtained with  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$   
 2018 events.

2019 **F.2 Fit distribution for the extraction of a  $K^+ \pi^-$  sys-**  
 2020 **tem  $S$ -wave in  $B^0 \rightarrow K^{*0} \mu \mu$**

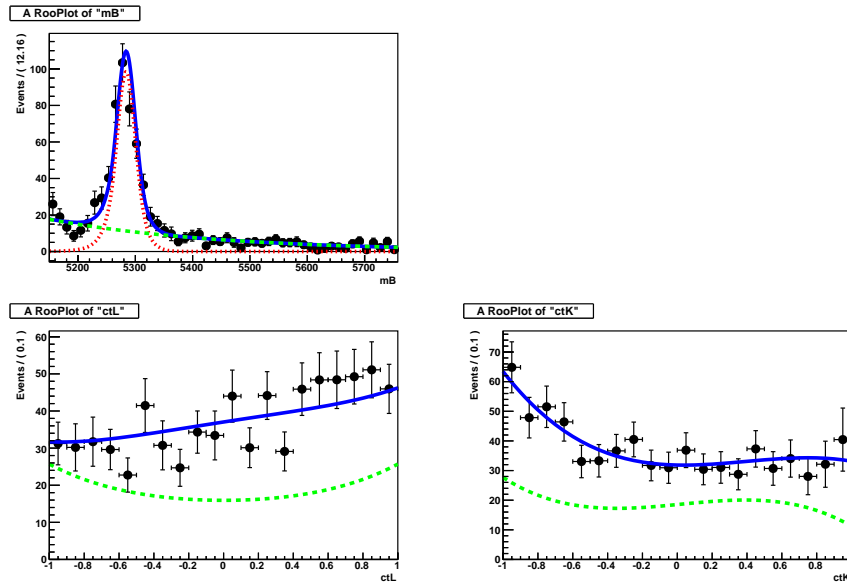


Figure 79: 1D projections of the four fitted quantities for the  $B^0 \rightarrow K^{*0} \mu \mu$  dataset with  $M(K\pi) < M(K^{*0})$  in the  $q^2$  region from 1 to 19  $\text{GeV}^2/c^4$ . The fitted pdf (blue), the background only pdf (green) are overlaid.

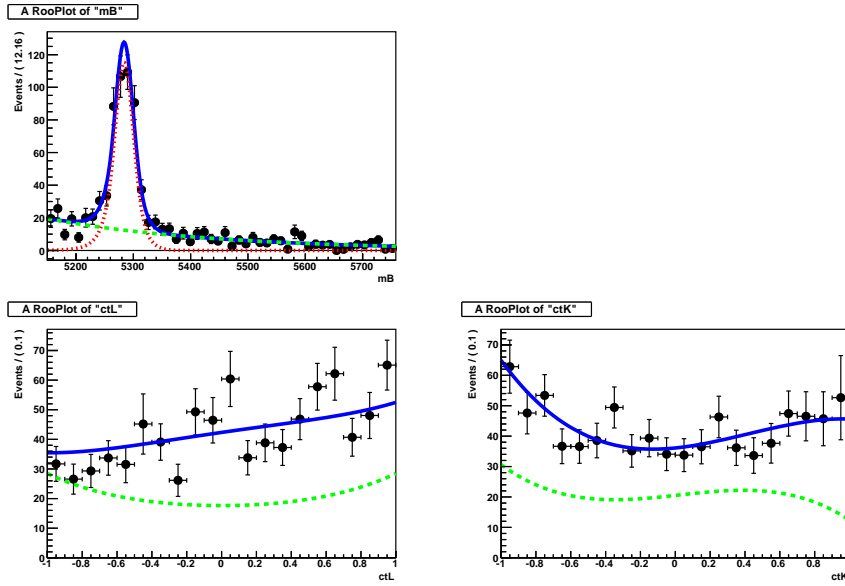


Figure 80: 1D projections of the four fitted quantities for the  $B^0 \rightarrow K^{*0} \mu \mu$  dataset with  $M(K\pi) > M(K^{*0})$  in the  $q^2$  region from 1 to 19  $\text{GeV}^2/c^4$ . The fitted pdf (blue), the background only pdf (green) are overlaid.

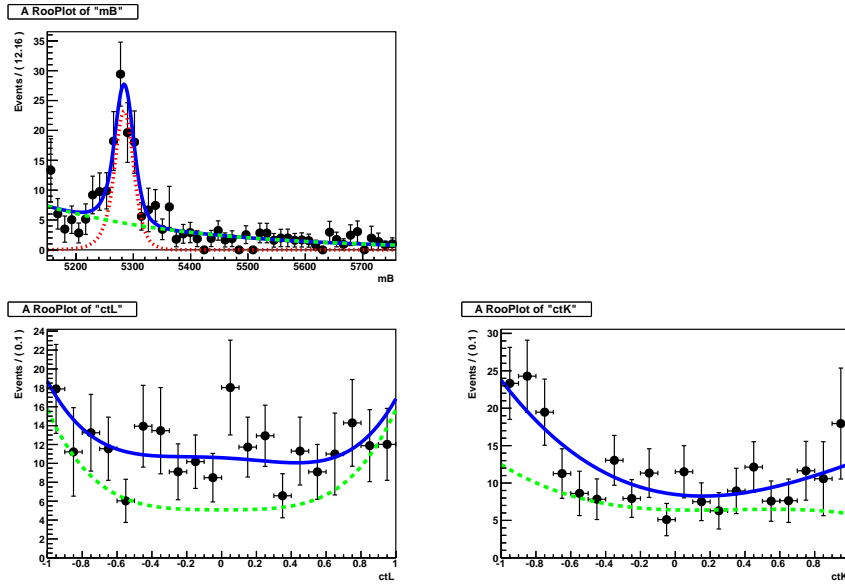


Figure 81: 1D projections of the four fitted quantities for the  $B^0 \rightarrow K^{*0} \mu \mu$  dataset with  $M(K\pi) < M(K^{*0})$  in the  $q^2$  region from 1 to 6  $\text{GeV}^2/c^4$ . The fitted pdf (blue), the background only pdf (green) are overlaid.

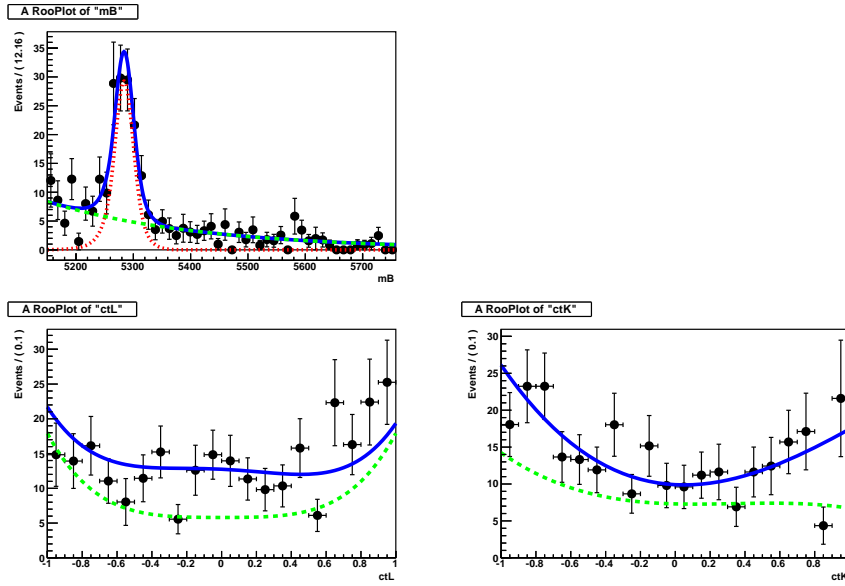


Figure 82: 1D projections of the four fitted quantities for the  $B^0 \rightarrow K^{*0} \mu \mu$  dataset with  $M(K\pi) > M(K^{*0})$  in the  $q^2$  region from 1 to 6  $\text{GeV}^2/c^4$ . The fitted pdf (blue), the background only pdf (green) are overlaid.

## 2021 **G Profile Likelihood**

### 2022 **G.1 Profile-likelihoods**

2023 The 1D likelihood scans can be found at [this location](http://www.hep.ph.ic.ac.uk/~cp309/FCandMINOS_Results/L1/)  
2024 ([http://www.hep.ph.ic.ac.uk/~cp309/FCandMINOS\\_Results/L1/](http://www.hep.ph.ic.ac.uk/~cp309/FCandMINOS_Results/L1/))  
2025 The 2D likelihood scans are shown in Figs. 83-89.



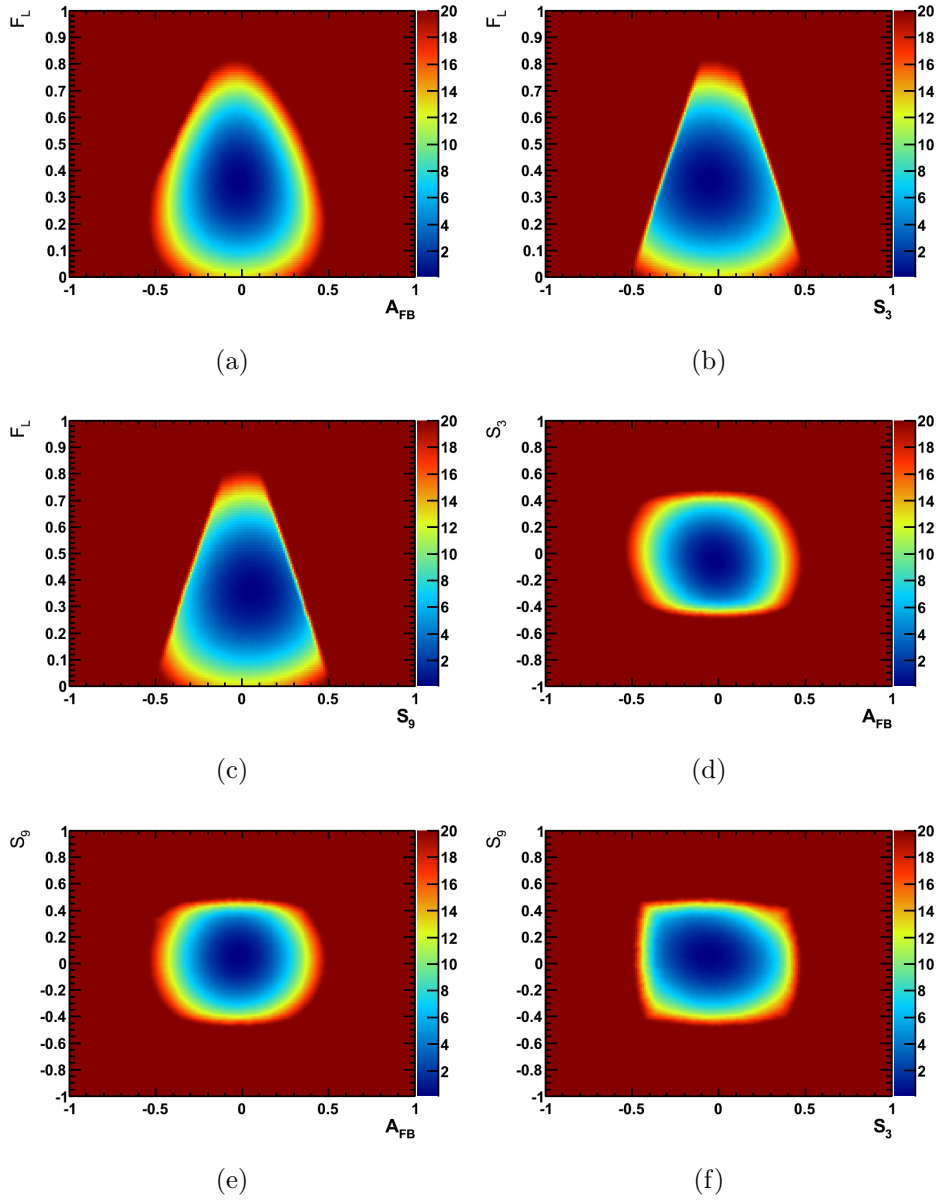


Figure 83: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{FB}$ ,  $S_3$  and  $S_9$  in the  $0.1 < q^2 < 2 \text{ GeV}^2/c^4$   $q^2$ -bin.

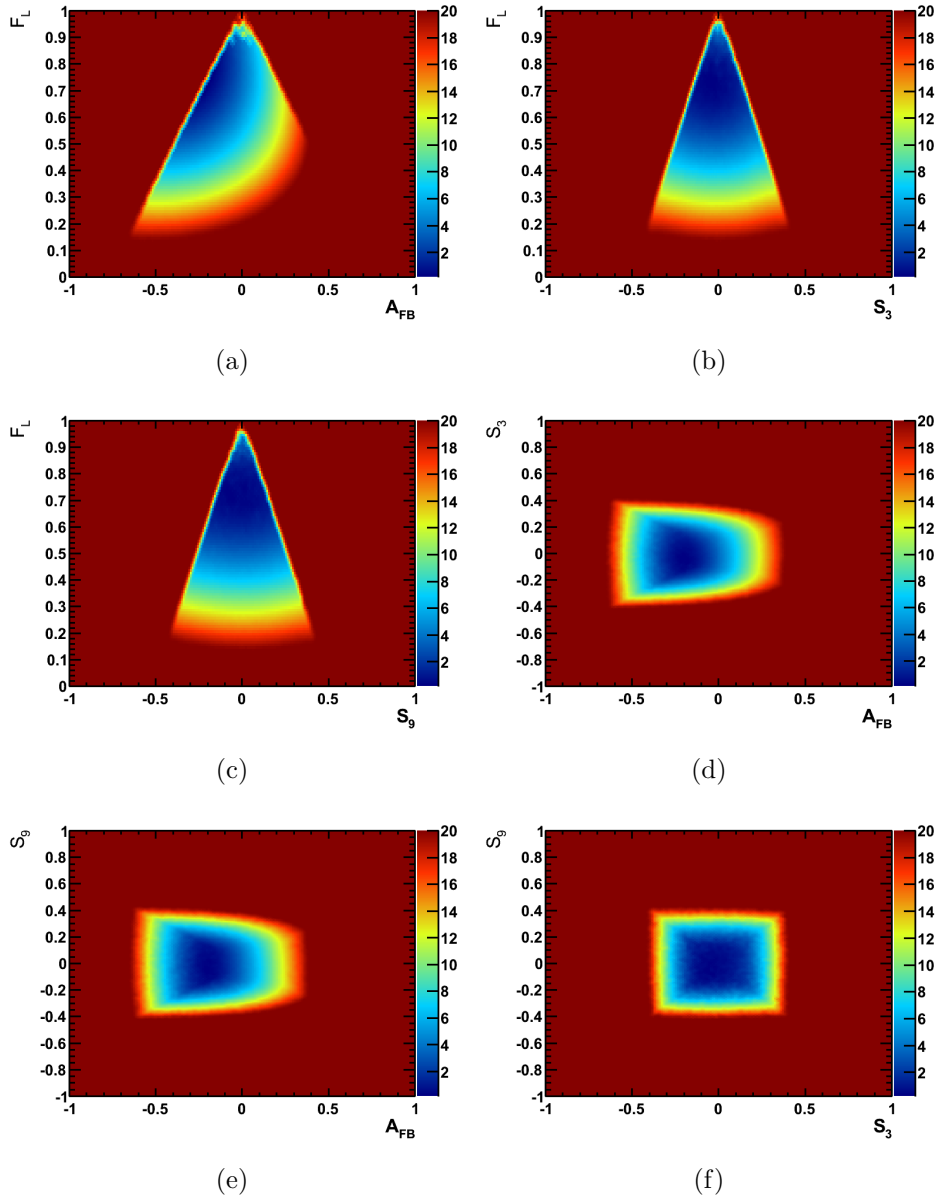


Figure 84: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{FB}$ ,  $S_3$  and  $S_9$  in the  $2 < q^2 < 4.3 \text{ GeV}^2/c^4$   $q^2$ -bin.

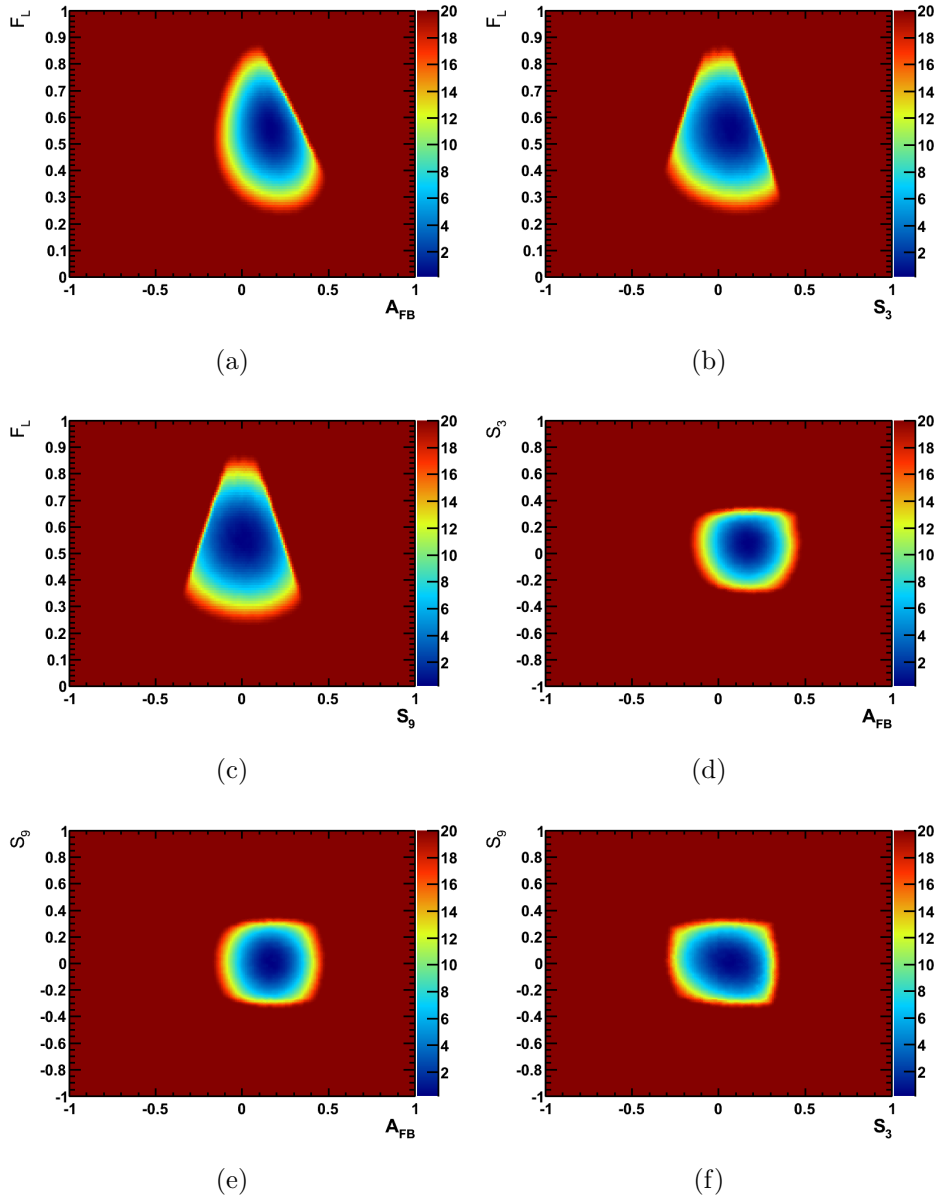


Figure 85: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{\text{FB}}$ ,  $S_3$  and  $S_9$  in the  $4.3 < q^2 < 8.68 \text{ GeV}^2/c^4$   $q^2$ -bin.

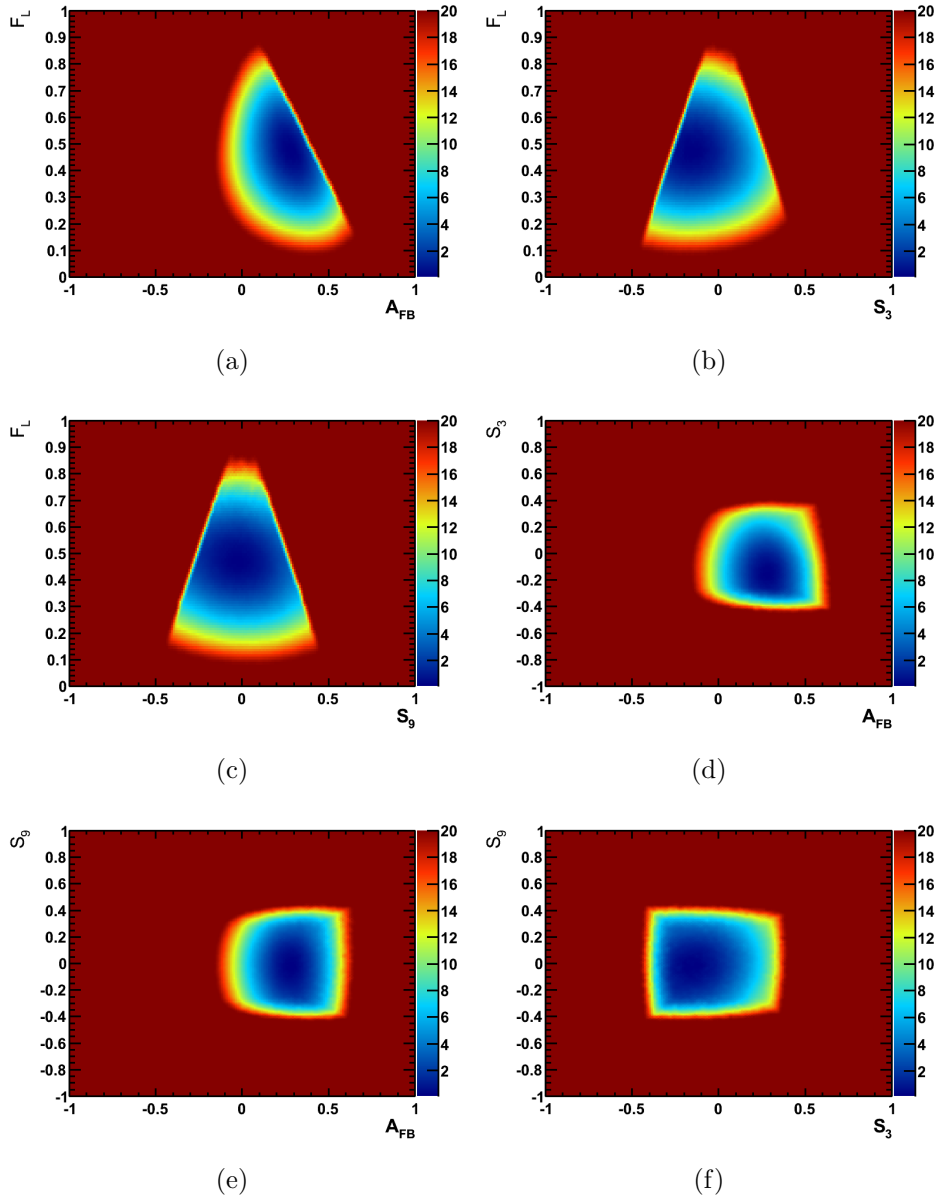


Figure 86: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{\text{FB}}$ ,  $S_3$  and  $S_9$  in the  $10.09 < q^2 < 12.86 \text{ GeV}^2/c^4$   $q^2$ -bin.

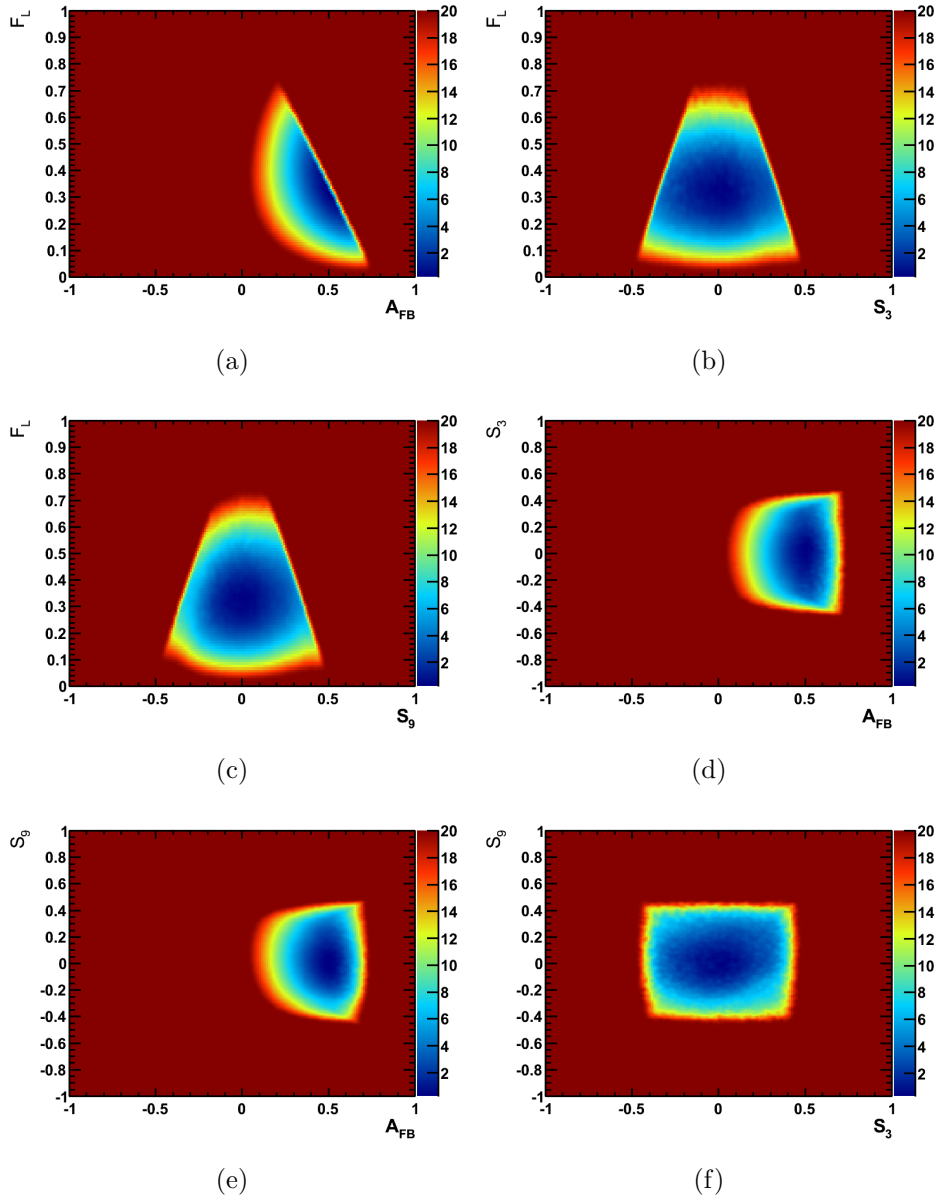


Figure 87: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{\text{FB}}$ ,  $S_3$  and  $S_9$  in the  $14.18 < q^2 < 16 \text{ GeV}^2/c^4$   $q^2$ -bin.

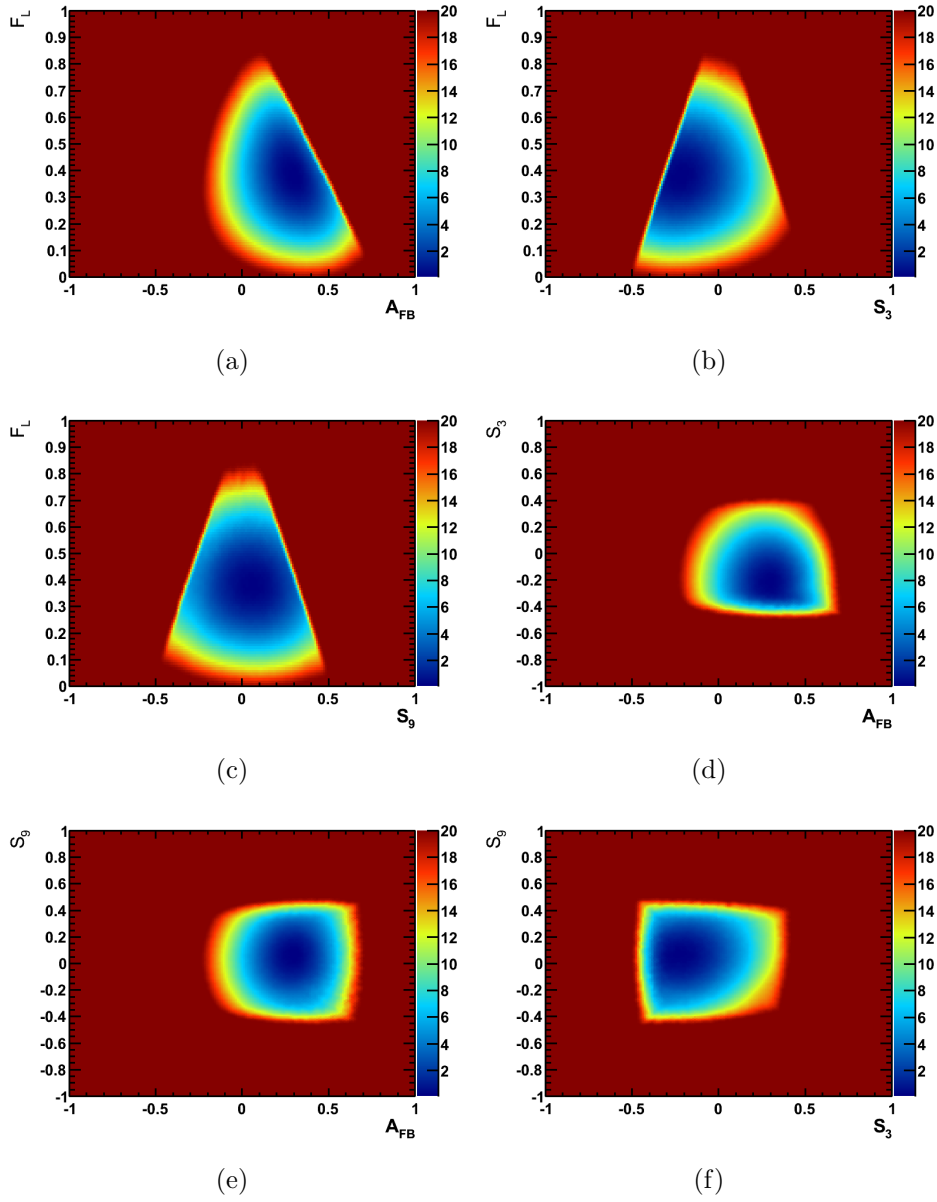


Figure 88: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{FB}$ ,  $S_3$  and  $S_9$  in the  $16 < q^2 < 19 \text{ GeV}^2/c^4$   $q^2$ -bin.

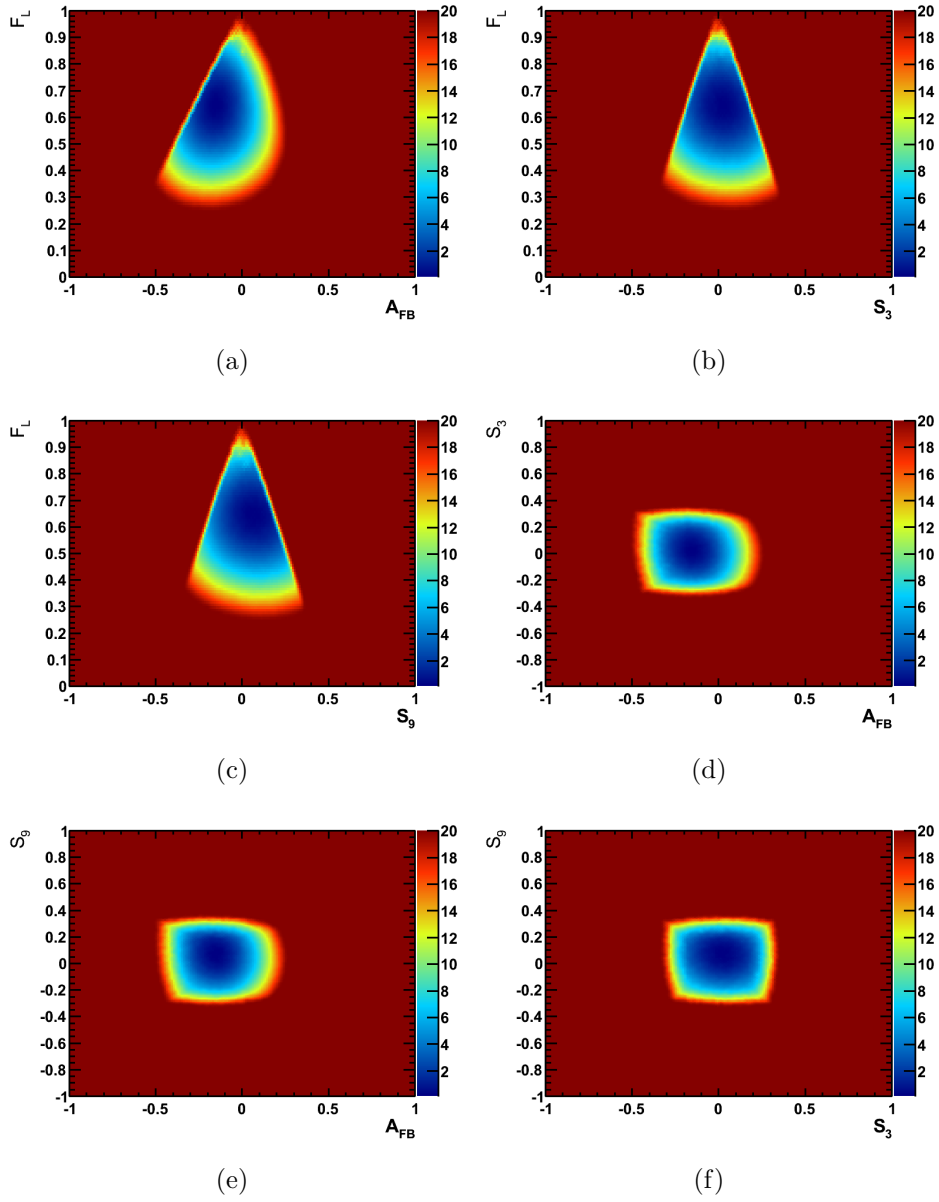


Figure 89: Two dimensional log-likelihood scans for  $F_L$ ,  $A_{FB}$ ,  $S_3$  and  $S_9$  in the  $1 < q^2 < 6 \text{ GeV}^2/c^4$   $q^2$ -bin.

## 2026 **H Systematic variations when re-fitting**

2027 In addition to the toy-based method detailed in section 18 of this note, an al-  
2028 ternative procedure for estimating the systematic uncertainties is performed.

2029 The following systematic uncertainties are extracted as follows. The stan-  
2030 dard angular fit is performed on candidates from the data with the nominal  
2031 acceptance correction applied. The fit is then repeated with a systematically  
2032 varied acceptance correction applied. The difference in the result of the two  
2033 fits is taken as an estimate of the systematic uncertainty.



Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	-0.022	-0.198	0.163	0.280	0.511	0.304	-0.170
$B^0$ $p$ re-weighting [I]	0.001	-0.001	0.002	0.004	0.006	0.002	0.002
$B^0$ $pt$ re-weighting [I]	0.000	-0.001	0.001	0.002	0.002	0.001	-0.000
AC CTK Down [C]	-0.001	-0.011	0.005	0.009	0.015	0.007	-0.006
AC CTK Up [C]	0.001	0.010	-0.005	-0.008	-0.013	-0.006	0.005
AC CTK Down CTK Down [C]	-0.001	-0.004	0.001	0.005	0.010	0.005	-0.000
AC CTK Up CTK Down [C]	0.001	0.004	-0.001	-0.005	-0.010	-0.004	0.000
AC CTK Down [C]	0.001	0.007	-0.004	-0.003	-0.005	-0.002	0.006
AC CTK Up [C]	-0.001	-0.006	0.004	0.003	0.005	0.002	-0.005
PID performance -5% [G]	-0.001	0.002	-0.000	-0.003	-0.002	-0.001	0.001
PID performance -10% [G]	-0.001	-0.001	-0.000	-0.003	-0.004	0.001	-0.002
PID performance -30% [G]	-0.002	-0.001	-0.000	-0.004	-0.004	0.001	-0.002
PID performance +5% [G]	-0.001	0.001	-0.000	-0.004	-0.004	-0.002	0.001
PID performance +10% [G]	-0.001	0.004	-0.001	-0.005	-0.001	0.001	0.004
PID performance +30% [G]	-0.001	0.004	-0.001	-0.004	-0.001	0.001	0.004
Removal of soft tracks [O]	0.002	-0.002	0.003	0.007	0.007	0.006	0.000
IP Smearing [H]	-0.001	0.003	-0.001	0.007	0.004	0.002	0.000
IsMuon efficiency Down [E]	-0.003	-0.006	0.001	0.000	-0.001	-0.000	-0.005
IsMuon efficiency Up [E]	0.003	0.004	-0.000	-0.000	-0.001	-0.001	0.004
0th Order Background Model [K]	-0.038	0.002	-0.015	-0.001	-0.017	-0.028	-0.064
1st Order Background Model [K]	0.003	0.020	0.004	0.004	-0.013	-0.005	-0.001
3rd Order Background Model [K]	-0.003	0.001	0.000	-0.001	-0.002	0.001	0.005
$K^{*0}$ mis-ID Down [L]	-0.000	-0.000	0.000	0.000	-0.000	0.000	-0.000
$K^{*0}$ mis-ID Up [L]	0.000	-0.000	-0.000	-0.000	-0.001	-0.000	0.000
No multiple candidates [N]	-0.006	-0.002	0.002	-0.009	0.001	-0.015	0.003
Signal mass width Down [J]	-0.001	-0.001	0.001	0.000	0.002	0.000	0.001
Signal mass width Up [J]	0.001	0.002	-0.001	-0.000	-0.001	-0.000	-0.001
S-wave component [P]	0.002	-0.011	-0.005	-0.009	0.003	-0.022	0.009
AC Non-factorisable Down [C]	0.003	0.000	0.001	0.003	0.001	0.000	-0.001
AC Non-factorisable Up [C]	-0.003	-0.000	-0.001	-0.003	-0.002	-0.000	0.001
Tracking efficiency Down [F]	-0.000	0.000	-0.000	-0.001	-0.001	-0.001	0.000
Tracking efficiency Up [F]	0.000	-0.000	0.000	0.001	0.000	-0.000	0.000
Trigger efficiency Down [D]	0.000	0.001	0.001	0.002	0.003	0.001	0.002
Trigger efficiency Up [D]	0.001	-0.002	0.001	0.002	0.003	0.001	0.000

Table 57: Variation of  $A_{FB}$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	0.369	0.740	0.566	0.477	0.331	0.372	0.654
$B^0$ $p_T$ re-weighting [I]	-0.002	-0.001	-0.008	-0.009	-0.008	-0.005	-0.003
$B^0$ $p_T$ re-weighting [I]	-0.002	-0.002	-0.003	-0.003	-0.002	-0.003	-0.002
AC CTK Down [C]	-0.020	-0.015	-0.020	-0.020	-0.020	-0.020	-0.020
AC CTK Up [C]	0.018	0.014	0.019	0.018	0.017	0.018	0.018
AC CTK Down CTK Down [C]	-0.013	-0.006	-0.007	-0.009	-0.013	-0.011	-0.010
AC CTK Up CTK Down [C]	0.012	0.005	0.007	0.008	0.013	0.010	0.010
AC CTK Down [C]	0.007	0.009	0.013	0.011	0.007	0.008	0.010
AC CTK Up [C]	-0.006	-0.008	-0.012	-0.010	-0.006	-0.008	-0.009
PID performance -5% [G]	-0.001	0.002	0.003	0.008	0.003	0.007	0.003
PID performance -10% [G]	-0.005	-0.001	0.001	0.007	0.005	0.007	-0.001
PID performance -30% [G]	-0.005	-0.001	0.001	0.008	0.005	0.007	-0.000
PID performance +5% [G]	0.001	0.001	0.003	0.008	0.005	0.009	0.003
PID performance +10% [G]	0.003	0.006	0.005	0.010	0.001	0.005	0.005
PID performance +30% [G]	0.003	0.005	0.005	0.008	0.002	0.005	0.005
Removal of soft tracks [O]	-0.006	-0.003	-0.013	-0.016	-0.010	-0.007	-0.007
IP Smearing [H]	-0.002	0.004	-0.005	-0.015	-0.006	-0.007	-0.002
IsMuon efficiency Down [E]	-0.003	-0.008	-0.003	0.000	0.001	-0.000	-0.006
IsMuon efficiency Up [E]	0.002	0.006	0.003	-0.000	0.002	0.001	0.004
0th Order Background Model [K]	0.006	0.002	0.020	-0.032	0.022	0.026	0.021
1st Order Background Model [K]	0.005	0.026	0.017	-0.033	0.017	0.024	0.026
3rd Order Background Model [K]	0.005	0.001	0.001	-0.002	0.002	0.006	0.001
$K^{*0}$ mis-ID Down [L]	-0.000	-0.000	0.000	-0.000	0.000	0.000	0.000
$K^{*0}$ mis-ID Up [L]	0.000	-0.000	-0.000	-0.000	0.001	-0.000	0.000
No multiple candidates [N]	-0.002	-0.003	0.001	0.004	-0.001	0.016	-0.004
Signal mass width Down [J]	0.004	-0.001	-0.000	0.001	-0.002	-0.001	-0.001
Signal mass width Up [J]	-0.004	0.002	0.000	-0.001	0.002	0.001	0.001
S-wave component [P]	0.012	-0.014	-0.013	0.003	-0.004	0.009	-0.017
AC Non-factorisable Down [C]	0.002	0.000	-0.000	0.002	-0.001	0.001	0.001
AC Non-factorisable Up [C]	-0.002	-0.000	0.000	-0.001	0.002	-0.000	-0.001
Tracking efficiency Down [F]	0.000	0.000	0.002	0.001	0.002	0.001	0.000
Tracking efficiency Up [F]	0.000	-0.000	-0.002	-0.002	-0.000	-0.001	-0.001
Trigger efficiency Down [D]	0.001	0.001	-0.004	-0.004	-0.004	-0.003	0.000
Trigger efficiency Up [D]	-0.002	-0.002	-0.005	-0.004	-0.004	-0.002	-0.003

Table 58: Variation of  $F_L$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	-0.043	-0.038	0.077	-0.157	0.028	-0.223	0.029
$B^0$ $p$ re-weighting [I]	-0.003	-0.001	0.002	0.004	0.002	-0.000	0.000
$B^0$ $pt$ re-weighting [I]	-0.001	-0.001	0.000	0.001	0.001	-0.001	0.000
AC CTK Down [C]	-0.001	-0.001	0.002	0.001	0.002	-0.004	0.002
AC CTK Up [C]	0.001	0.000	-0.002	-0.001	-0.002	0.003	-0.002
AC CTL Down CTK Down [C]	-0.004	-0.001	0.001	0.001	0.001	-0.002	0.002
AC CTL Up CTK Down [C]	0.004	0.000	-0.001	-0.001	-0.001	0.002	-0.002
AC CTL Down [C]	-0.003	-0.000	-0.001	-0.000	-0.001	0.002	-0.000
AC CTL Up [C]	0.003	-0.000	0.001	-0.000	0.001	-0.002	0.000
PID performance -5% [G]	-0.002	0.001	-0.001	0.001	-0.000	0.002	-0.000
PID performance -10% [G]	0.003	-0.001	-0.001	-0.000	-0.001	0.000	-0.000
PID performance -30% [G]	0.002	-0.001	-0.001	-0.000	-0.001	-0.000	-0.000
PID performance +5% [G]	0.001	-0.001	-0.001	-0.000	-0.001	-0.000	-0.000
PID performance +10% [G]	-0.002	-0.001	-0.001	0.002	0.000	0.003	-0.001
PID performance +30% [G]	-0.002	-0.001	-0.001	0.002	0.000	0.003	-0.001
Removal of soft tracks [O]	-0.000	0.002	0.003	0.005	0.003	-0.004	0.001
IP Smearing [H]	0.002	0.003	0.001	0.001	-0.001	-0.008	0.002
IsMuon efficiency Down [E]	0.002	-0.001	0.000	0.001	-0.001	-0.002	0.001
IsMuon efficiency Up [E]	-0.000	-0.000	-0.001	-0.000	0.000	-0.000	-0.001
0th Order Background Model [K]	-0.012	-0.007	-0.013	-0.061	-0.002	0.007	-0.022
1st Order Background Model [K]	-0.020	-0.009	-0.016	-0.062	0.009	0.014	-0.025
3rd Order Background Model [K]	-0.002	-0.002	-0.005	0.000	-0.020	-0.010	-0.002
$K^{*0}$ mis-ID Down [L]	-0.000	-0.000	-0.000	0.000	-0.000	0.000	-0.000
$K^{*0}$ mis-ID Up [L]	0.000	0.000	0.000	0.000	0.000	-0.000	-0.000
No multiple candidates [N]	-0.001	-0.001	0.010	-0.003	0.001	0.003	0.002
Signal mass width Down [J]	-0.001	0.002	-0.003	-0.000	0.000	0.000	0.001
Signal mass width Up [J]	0.001	-0.006	0.003	-0.000	-0.000	-0.000	-0.001
S-wave component [P]	-0.021	-0.014	-0.018	0.013	0.014	0.050	-0.014
AC Non-factorisable Down [C]	0.001	0.003	-0.001	0.006	-0.001	-0.003	-0.002
AC Non-factorisable Up [C]	-0.002	-0.004	0.001	-0.006	0.002	0.003	0.001
Tracking efficiency Down [F]	0.001	-0.000	-0.000	-0.000	-0.001	-0.001	0.000
Tracking efficiency Up [F]	-0.000	-0.000	0.000	0.000	0.000	-0.001	0.000
Trigger efficiency Down [D]	-0.002	-0.000	0.001	0.002	0.001	-0.000	-0.000
Trigger efficiency Up [D]	-0.001	-0.000	0.001	0.002	0.001	-0.000	0.000

Table 59: Variation of  $S_3$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	0.052	-0.030	0.012	-0.015	0.004	0.056	0.071
$B^0$ $p$ re-weighting [I]	0.002	-0.001	0.001	-0.003	-0.002	0.001	0.000
$B^0$ $pt$ re-weighting [I]	0.001	-0.001	0.000	-0.001	-0.001	0.002	0.000
AC CTK Down [C]	0.002	-0.003	0.002	-0.001	-0.002	0.002	0.002
AC CTK Up [C]	-0.001	0.003	-0.002	0.001	0.001	-0.002	-0.002
AC CTK Down CTK Down [C]	0.002	-0.004	0.000	0.000	0.002	0.002	0.002
AC CTK Up CTK Down [C]	-0.002	0.003	0.000	-0.000	-0.002	-0.002	-0.002
AC CTK Down [C]	0.001	-0.000	0.002	0.001	0.003	0.000	-0.000
AC CTK Up [C]	-0.001	0.000	0.002	-0.001	-0.004	-0.000	0.000
PID performance -5% [G]	0.001	0.002	-0.001	-0.000	0.000	-0.002	-0.000
PID performance -10% [G]	0.001	0.002	0.000	-0.000	0.002	-0.002	0.001
PID performance -30% [G]	0.001	0.002	0.000	-0.000	0.002	-0.002	0.001
PID performance +5% [G]	0.001	0.001	-0.000	-0.002	0.001	-0.003	-0.000
PID performance +10% [G]	0.002	-0.001	-0.001	-0.001	0.001	-0.003	-0.001
PID performance +30% [G]	0.002	-0.001	-0.001	-0.001	0.001	-0.003	-0.001
Removal of soft tracks [O]	0.002	-0.001	0.003	-0.005	0.002	0.004	0.001
IP Smearing [H]	0.005	-0.001	-0.000	-0.002	-0.001	-0.002	-0.001
IsMuon efficiency Down [E]	-0.001	-0.000	-0.000	-0.001	-0.001	0.001	0.000
IsMuon efficiency Up [E]	0.000	0.000	-0.000	0.001	0.000	-0.000	-0.000
0th Order Background Model [K]	0.012	0.009	-0.018	-0.002	0.021	-0.008	0.018
1st Order Background Model [K]	0.002	0.013	-0.005	0.004	0.005	0.010	0.004
3rd Order Background Model [K]	0.007	-0.027	0.013	-0.009	-0.012	-0.014	-0.008
$K^{*0}$ mis-ID Down [L]	0.000	-0.000	0.000	-0.000	-0.000	0.000	0.000
$K^{*0}$ mis-ID Up [L]	-0.000	0.000	-0.000	0.000	-0.000	-0.000	-0.000
No multiple candidates [N]	-0.003	-0.007	0.009	0.016	-0.002	0.030	0.004
Signal mass width Down [J]	0.002	0.003	0.002	0.002	-0.003	-0.005	0.002
Signal mass width Up [J]	-0.002	-0.006	-0.001	-0.001	0.002	0.004	-0.002
S-wave component [P]	0.003	-0.019	-0.009	0.003	-0.015	0.009	-0.013
AC Non-factorisable Down [C]	-0.004	-0.002	0.006	-0.005	0.004	-0.005	0.001
AC Non-factorisable Up [C]	0.003	0.002	-0.006	0.006	-0.004	0.005	-0.001
Tracking efficiency Down [F]	-0.000	0.001	-0.000	0.000	-0.000	-0.000	-0.000
Tracking efficiency Up [F]	0.000	-0.001	0.000	-0.000	-0.000	0.001	0.000
Trigger efficiency Down [D]	0.001	-0.001	0.001	-0.002	-0.001	0.001	0.000
Trigger efficiency Up [D]	0.001	-0.000	0.001	-0.002	-0.001	0.001	0.000

Table 60: Variation of  $S_9$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	0.121	0.056	-0.133	-0.003	-0.061	-0.000	0.026
$B^0$ $p$ re-weighting [I]	0.001	-0.005	-0.001	0.000	-0.003	-0.000	-0.000
$B^0$ $p_T$ re-weighting [I]	0.000	0.025	-0.000	0.000	-0.002	-0.001	0.000
AC CTK Down [C]	0.003	-0.009	-0.003	-0.001	-0.001	0.001	0.002
AC CTK Up [C]	-0.002	0.004	0.003	0.000	-0.004	-0.001	-0.001
AC CTK Down CTK Down [C]	0.002	-0.003	-0.001	-0.002	-0.003	0.003	0.001
AC CTK Up CTK Down [C]	-0.002	0.010	0.001	0.001	0.000	-0.003	-0.001
AC CTK Down [C]	-0.000	0.002	0.001	-0.001	-0.002	0.002	-0.001
AC CTK Up [C]	0.000	-0.009	-0.001	0.001	0.003	-0.002	0.001
PID performance -5% [G]	-0.001	-0.012	0.001	-0.000	-0.003	-0.002	-0.000
PID performance -10% [G]	0.001	0.007	0.001	-0.001	0.001	-0.001	0.001
PID performance -30% [G]	-0.000	0.004	0.001	-0.001	-0.002	-0.001	0.001
PID performance +5% [G]	-0.000	0.022	0.000	0.001	0.000	-0.003	0.000
PID performance +10% [G]	-0.001	0.021	0.000	0.001	-0.003	-0.001	-0.001
PID performance +30% [G]	-0.001	0.022	0.000	0.001	-0.001	-0.001	-0.000
Removal of soft tracks [O]	0.004	0.006	-0.002	0.000	-0.002	-0.001	0.001
IP Smearing [H]	-0.000	0.030	-0.002	-0.002	-0.001	-0.001	-0.002
IsMuon efficiency Down [E]	-0.001	0.002	0.000	0.000	0.001	0.000	0.001
IsMuon efficiency Up [E]	0.000	-0.028	0.000	-0.000	-0.004	0.000	-0.001
0th Order Background Model [K]	-0.014	0.023	-0.002	-0.004	-0.034	0.001	-0.013
1st Order Background Model [K]	0.071	0.099	-0.150	0.015	-0.060	-0.046	-0.042
3rd Order Background Model [K]	0.003	-0.026	0.009	0.003	-0.002	0.006	-0.009
$K^{*0}$ mis-ID Down [L]	0.000	0.001	-0.000	-0.000	-0.003	-0.000	0.000
$K^{*0}$ mis-ID Up [L]	-0.000	0.005	0.000	0.000	-0.003	0.000	-0.000
No multiple candidates [N]	-0.012	0.023	0.025	0.017	-0.001	0.017	0.015
Signal mass width Down [J]	-0.002	0.003	0.001	-0.002	0.002	0.003	-0.004
Signal mass width Up [J]	0.002	0.001	-0.001	0.001	-0.006	-0.002	0.003
S-wave component [P]	-0.037	-0.016	0.010	0.002	-0.015	-0.008	-0.011
AC Non-factorisable Down [C]	-0.004	-0.007	0.001	0.001	0.004	0.009	0.001
AC Non-factorisable Up [C]	0.004	0.007	-0.002	-0.001	-0.009	-0.009	-0.001
Tracking efficiency Down [F]	-0.000	-0.000	0.000	-0.000	-0.002	0.001	-0.000
Tracking efficiency Up [F]	-0.000	0.001	-0.000	0.000	-0.003	0.000	-0.000
Trigger efficiency Down [D]	0.000	0.007	-0.000	0.000	-0.002	-0.000	-0.000
Trigger efficiency Up [D]	0.001	-0.009	-0.001	0.000	-0.002	-0.000	0.000

Table 61: Variation of  $A_9$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	-0.046	-1.000	0.500	0.713	1.000	0.644	-0.656
$B^0$ $p$ re-weighting [I]	0.002	-0.000	-0.004	-0.002	-0.000	-0.000	0.013
$B^0$ $pt$ re-weighting [I]	0.001	-0.000	-0.001	-0.001	-0.000	-0.001	0.004
AC CTK Down [C]	-0.002	0.000	-0.008	-0.005	0.000	-0.005	0.016
AC CTK Up [C]	0.002	-0.000	0.007	0.004	-0.000	0.004	-0.014
AC CTK Down CTK Down [C]	-0.001	0.000	-0.005	0.001	-0.000	-0.001	0.019
AC CTK Up CTK Down [C]	0.001	-0.000	0.005	-0.001	0.000	0.001	-0.017
AC CTK Down [C]	0.001	-0.000	0.003	0.005	-0.000	0.003	0.003
AC CTK Up [C]	-0.001	-0.000	-0.002	-0.005	-0.000	-0.003	-0.002
PID performance -5% [G]	-0.002	-0.000	0.003	0.002	0.000	0.005	-0.000
PID performance -10% [G]	-0.003	-0.000	0.000	0.001	-0.000	0.009	-0.006
PID performance -30% [G]	-0.003	-0.000	0.001	0.001	0.000	0.009	-0.005
PID performance +5% [G]	-0.002	-0.000	0.003	0.001	0.000	0.006	-0.002
PID performance +10% [G]	-0.002	-0.000	0.003	0.001	-0.000	0.007	0.006
PID performance +30% [G]	-0.003	0.000	0.003	0.001	-0.000	0.007	0.006
Removal of soft tracks [O]	0.004	0.000	-0.006	-0.004	-0.000	0.005	0.014
IP Smearing [H]	-0.003	-0.000	-0.008	-0.004	-0.000	-0.003	0.003
IsMuon efficiency Down [E]	-0.007	-0.000	-0.001	0.001	-0.000	-0.001	-0.008
IsMuon efficiency Up [E]	0.005	0.000	0.001	-0.001	-0.000	-0.001	0.006
0th Order Background Model [K]	-0.080	0.000	-0.023	-0.048	-0.000	-0.031	-0.271
1st Order Background Model [K]	0.007	-0.000	0.031	-0.038	-0.000	0.013	-0.048
3rd Order Background Model [K]	-0.007	0.000	0.002	-0.006	-0.000	0.009	0.016
$K^{*0}$ mis-ID Down [L]	-0.000	0.000	0.000	0.000	-0.000	0.000	-0.000
$K^{*0}$ mis-ID Up [L]	0.000	0.000	-0.000	-0.000	-0.000	-0.000	0.000
No multiple candidates [N]	-0.012	-0.000	0.006	-0.017	-0.000	-0.015	0.020
Signal mass width Down [J]	-0.001	-0.000	0.002	0.002	-0.000	-0.000	0.005
Signal mass width Up [J]	0.001	-0.000	-0.002	-0.002	-0.000	0.000	-0.004
S-wave component [P]	0.003	0.000	-0.030	-0.018	-0.000	-0.037	0.071
AC Non-factorisable Down [C]	0.005	-0.000	0.003	0.010	-0.000	0.001	-0.006
AC Non-factorisable Up [C]	-0.006	-0.000	-0.003	-0.010	-0.000	-0.001	0.005
Tracking efficiency Down [F]	-0.001	-0.000	0.001	-0.000	-0.000	-0.001	-0.000
Tracking efficiency Up [F]	0.001	-0.000	-0.001	-0.001	0.000	-0.001	0.001
Trigger efficiency Down [D]	0.001	0.000	-0.002	-0.001	0.000	-0.000	0.007
Trigger efficiency Up [D]	0.001	0.000	-0.002	-0.001	-0.000	-0.000	0.006

Table 62: Variation of  $A_{\Gamma}^{Re}$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	0.369	0.740	0.566	0.477	0.329	0.372	0.654
$B^0$ $p_T$ re-weighting [I]	-0.002	-0.001	-0.008	-0.009	-0.008	-0.005	-0.003
$B^0$ $p_T$ re-weighting [I]	-0.002	-0.002	-0.003	-0.003	-0.002	-0.003	-0.002
AC CTK Down [C]	-0.020	-0.015	-0.020	-0.020	-0.020	-0.020	-0.020
AC CTK Up [C]	0.018	0.014	0.019	0.018	0.018	0.018	0.018
AC CTK Down [C]	-0.013	-0.006	-0.007	-0.009	-0.013	-0.011	-0.010
AC CTK Up [C]	0.012	0.005	0.007	0.008	0.012	0.010	0.010
AC CTK Down [C]	0.007	0.009	0.013	0.011	0.007	0.008	0.010
AC CTK Up [C]	-0.006	-0.008	-0.012	-0.010	-0.006	-0.008	-0.009
PID performance -5% [G]	-0.001	0.002	0.003	0.008	0.003	0.007	0.003
PID performance -10% [G]	-0.005	-0.001	0.001	0.007	0.004	0.007	-0.001
PID performance -30% [G]	-0.005	-0.001	0.001	0.008	0.005	0.007	-0.000
PID performance +5% [G]	0.001	0.001	0.003	0.008	0.004	0.009	0.003
PID performance +10% [G]	0.003	0.005	0.005	0.010	0.001	0.005	0.005
PID performance +30% [G]	0.003	0.005	0.005	0.008	0.001	0.005	0.005
Removal of soft tracks [O]	-0.006	-0.003	-0.013	-0.016	-0.010	-0.007	-0.007
IP Smearing [H]	-0.002	0.004	-0.005	-0.015	-0.006	-0.007	-0.002
IsMuon efficiency Down [E]	-0.003	-0.008	-0.003	0.000	0.000	-0.000	-0.006
IsMuon efficiency Up [E]	0.002	0.006	0.003	-0.000	0.001	0.001	0.004
0th Order Background Model [K]	0.006	0.004	0.020	-0.032	0.021	0.026	0.021
1st Order Background Model [K]	0.005	0.027	0.017	-0.033	0.017	0.024	0.026
3rd Order Background Model [K]	0.005	0.002	0.001	-0.002	0.001	0.006	0.001
$K^{*0}$ mis-ID Down [L]	-0.000	-0.000	0.000	-0.000	-0.000	-0.000	0.000
$K^{*0}$ mis-ID Up [L]	0.000	0.000	-0.000	0.000	0.000	0.000	0.000
No multiple candidates [N]	-0.002	-0.003	0.001	0.004	-0.001	0.016	-0.004
Signal mass width Down [J]	0.004	-0.002	-0.000	0.001	-0.002	-0.001	-0.001
Signal mass width Up [J]	-0.004	0.002	0.000	-0.001	0.002	0.001	0.001
S-wave component [P]	0.012	-0.015	-0.013	0.003	-0.004	0.009	-0.017
AC Non-factorisable Down [C]	0.002	0.000	-0.000	0.002	-0.001	0.001	0.001
AC Non-factorisable Up [C]	-0.002	-0.000	0.000	-0.001	0.002	-0.000	-0.001
Tracking efficiency Down [F]	0.000	0.000	0.002	0.001	0.002	0.001	0.000
Tracking efficiency Up [F]	0.000	-0.000	-0.002	-0.002	-0.000	-0.001	-0.001
Trigger efficiency Down [D]	0.001	0.001	-0.004	-0.004	-0.004	-0.003	0.000
Trigger efficiency Up [D]	-0.002	-0.002	-0.005	-0.004	-0.004	-0.002	-0.003

Table 63: Variation of  $F_L$  when systematically varying fit parameters or the weights applied to the input data set.

Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	-0.137	-0.290	0.355	-0.601	0.067	-0.709	0.167
$B^0$ $p$ re-weighting [I]	-0.008	-0.001	0.000	0.026	0.006	0.004	-0.001
$B^0$ $pr$ re-weighting [I]	-0.002	-0.001	-0.002	0.008	0.001	-0.001	-0.000
AC CTK Down [C]	0.001	0.013	-0.006	0.029	0.004	0.011	0.004
AC CTK Up [C]	-0.001	-0.011	0.006	-0.025	-0.004	-0.010	-0.003
AC CTL Down CTK Down [C]	-0.010	0.003	-0.002	0.016	0.002	0.007	0.006
AC CTL Up CTK Down [C]	0.010	-0.003	0.002	-0.015	-0.001	-0.006	-0.005
AC CTL Down [C]	-0.011	-0.009	0.004	-0.012	-0.003	-0.004	0.002
AC CTL Up [C]	0.011	0.008	-0.004	0.011	0.002	0.004	-0.002
PID performance -5% [G]	-0.005	0.001	-0.000	-0.004	0.000	-0.003	-0.001
PID performance -10% [G]	0.009	-0.002	-0.004	-0.010	-0.003	-0.010	-0.001
PID performance -30% [G]	0.007	-0.002	-0.004	-0.010	-0.004	-0.009	-0.001
PID performance +5% [G]	0.002	-0.006	0.001	-0.002	-0.001	-0.001	0.000
PID performance +10% [G]	-0.007	-0.013	-0.001	-0.003	0.000	0.004	-0.004
PID performance +30% [G]	-0.006	-0.015	-0.002	-0.001	0.001	0.003	-0.006
Removal of soft tracks [O]	0.000	0.016	0.001	0.039	0.007	-0.005	0.005
IP Smearing [H]	0.006	0.020	0.001	0.021	-0.004	-0.019	0.013
IsMuon efficiency Down [E]	0.006	0.004	-0.000	0.002	-0.002	-0.005	0.005
IsMuon efficiency Up [E]	-0.002	-0.007	-0.000	-0.000	0.001	-0.002	-0.002
0th Order Background Model [K]	-0.037	-0.075	-0.043	-0.210	-0.003	-0.008	-0.109
1st Order Background Model [K]	-0.065	-0.085	-0.056	-0.213	0.029	0.017	-0.125
3rd Order Background Model [K]	-0.007	-0.018	-0.020	0.004	-0.052	-0.039	-0.009
$K^{*0}$ mis-ID Down [L]	0.000	0.000	0.000	0.000	-0.000	0.000	0.000
$K^{*0}$ mis-ID Up [L]	-0.000	-0.000	-0.000	-0.000	0.000	-0.000	0.000
No multiple candidates [N]	-0.002	-0.005	0.047	-0.015	0.002	-0.010	0.011
Signal mass width Down [J]	-0.005	0.046	-0.014	-0.001	0.002	0.002	0.008
Signal mass width Up [J]	0.005	-0.045	0.012	-0.001	-0.002	-0.001	-0.007
S-wave component [P]	-0.068	-0.095	-0.097	0.045	0.036	0.148	-0.092
AC Non-factorisable Down [C]	0.004	0.027	-0.006	0.020	-0.005	-0.010	-0.009
AC Non-factorisable Up [C]	-0.007	-0.027	0.007	-0.022	0.004	0.011	0.005
Tracking efficiency Down [F]	0.003	-0.002	0.001	-0.002	-0.001	-0.004	0.001
Tracking efficiency Up [F]	-0.001	-0.001	-0.001	0.004	0.001	-0.002	-0.000
Trigger efficiency Down [D]	-0.007	-0.002	0.000	0.012	0.003	0.003	-0.001
Trigger efficiency Up [D]	-0.003	0.001	0.000	0.012	0.002	0.001	-0.000

Table 64: Variation of  $A_1^2$  when systematically varying fit parameters or the weights applied to the input data set.



Systematic	$0.1 < q^2 < 2.0$	$2.0 < q^2 < 4.3$	$4.3 < q^2 < 8.68$	$10.09 < q^2 < 12.86$	$14.18 < q^2 < 16.0$	$16.0 < q^2 < 19.0$	$0.1 < q^2 < 6.0$
Nominal value	0.164	-0.232	0.054	-0.056	0.019	0.179	0.411
$B^0$ $p$ re-weighting [I]	0.006	-0.008	0.005	-0.013	-0.005	0.003	-0.001
$B^0$ $pt$ re-weighting [I]	0.002	-0.004	0.001	-0.005	-0.002	0.004	-0.002
AC CTK Down [C]	-0.000	-0.010	0.006	0.001	-0.005	0.001	-0.010
AC CTK Up [C]	0.001	0.008	-0.005	-0.001	0.004	-0.001	0.009
AC CTK Down [C]	0.005	-0.019	-0.001	0.002	0.007	0.004	-0.000
AC CTK Up [C]	-0.004	0.018	0.001	-0.001	-0.006	-0.004	0.001
AC CTK Down [C]	0.005	-0.010	-0.006	0.002	0.011	0.003	0.009
AC CTK Up [C]	-0.005	0.010	0.006	-0.002	-0.010	-0.003	-0.009
AC CTK Up [C]	0.004	0.010	-0.002	-0.002	0.001	-0.005	0.001
PID performance -5% [G]	0.000	0.019	0.002	-0.002	0.007	-0.003	0.003
PID performance -10% [G]	0.001	0.019	0.002	-0.002	0.007	-0.003	0.003
PID performance -30% [G]	0.005	0.006	-0.001	-0.003	0.007	-0.004	0.003
PID performance +5% [G]	0.007	-0.010	-0.003	-0.006	0.004	-0.007	0.002
PID performance +10% [G]	0.006	-0.011	-0.002	-0.005	0.003	-0.009	0.002
PID performance +30% [G]	0.004	-0.007	0.012	-0.019	-0.006	0.010	-0.003
Removal of soft tracks [O]	0.014	-0.007	-0.002	-0.006	-0.001	-0.007	-0.006
IP Smearing [H]	-0.003	0.007	-0.001	-0.003	-0.001	0.005	-0.005
IsMuon efficiency Down [E]	0.001	-0.005	-0.001	0.003	0.001	-0.000	0.004
IsMuon efficiency Up [E]	0.039	0.093	-0.079	-0.004	0.062	-0.017	0.119
0th Order Background Model [K]	0.009	0.095	-0.018	0.019	0.014	0.039	0.049
1st Order Background Model [K]	0.023	-0.204	0.060	-0.033	-0.037	-0.042	-0.043
3rd Order Background Model [K]	0.000	-0.000	0.000	-0.000	0.000	0.000	0.000
$K^{*0}$ mis-ID Down [L]	-0.000	0.000	-0.000	0.000	-0.000	-0.000	-0.000
$K^{*0}$ mis-ID Up [L]	-0.009	-0.054	0.042	0.059	-0.004	0.097	0.021
No multiple candidates [N]	0.008	0.044	0.008	0.006	-0.009	-0.016	0.013
Signal mass width Down [J]	-0.007	-0.046	-0.006	-0.005	0.008	0.014	-0.012
Signal mass width Up [J]	0.012	-0.138	-0.043	0.011	-0.045	0.032	-0.097
S-wave component [P]	-0.012	-0.018	0.027	-0.020	0.013	-0.016	0.009
AC Non-factorisable Down [C]	0.011	0.020	-0.028	0.022	-0.012	0.015	-0.009
AC Non-factorisable Up [C]	-0.001	0.006	-0.002	0.001	0.000	0.000	0.000
Tracking efficiency Down [F]	0.000	-0.006	0.001	-0.000	-0.001	0.004	0.001
Tracking efficiency Up [F]	0.004	-0.005	0.002	-0.007	-0.003	0.001	0.001
Trigger efficiency Down [D]	0.002	-0.002	0.002	-0.007	-0.003	0.002	-0.002
Trigger efficiency Up [D]	0.002	-0.002	0.002	-0.007	-0.003	0.002	-0.002

Table 65: Variation of  $A_{\Gamma}^{lm}$  when systematically varying fit parameters or the weights applied to the input data set.

## I Weight scaling scheme

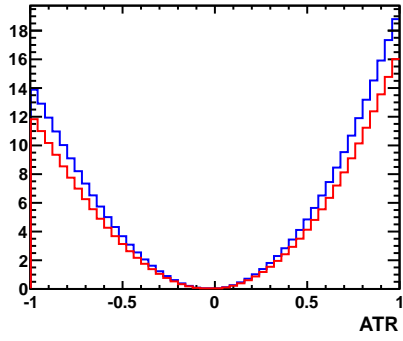
In the acceptance correction procedure, each candidate is re-weighted according to the inverse of the efficiency. As the total efficiency of each candidate is on the order of 0.5% , the weight given to each candidate is on the order of 200. In the analysis, the weights are renormalised according to

$$\alpha = \frac{N}{\sum_{i=1}^N w_i}, \quad (30)$$

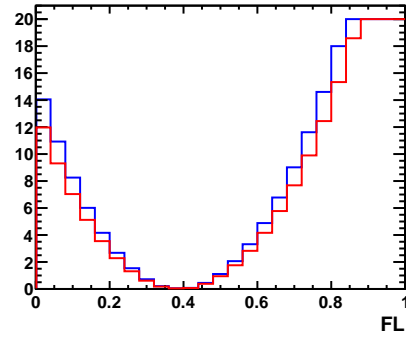
where  $N$  is the number of candidates in the sample, and  $w_i$  is the weight of each candidate. This ensures that the sum-of-weights of the candidates is equal to the number of candidates in the sample. An alternative approach would be to scale the weights according to

$$\alpha = \frac{\sum_{i=1}^N w_i}{\sum_{i=1}^N (w_i)^2}. \quad (31)$$

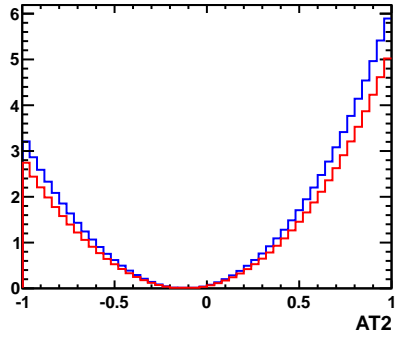
2035 To compare the two weighting schemes, 1D likelihood scans are produced  
 2036 for each observable using each of the weighting schemes, see Figs. 90 and  
 2037 91. These distributions indicate that the weighting scheme given in Eq. 31  
 2038 gives larger confidence intervals for each observable than that used in the  
 2039 analysis (Eq. 30), which are more similar to the intervals obtained from the  
 2040 FC procedure in Sec. 15.1.1. The same behaviour is observed in each of the  
 2041  $q^2$  bins.



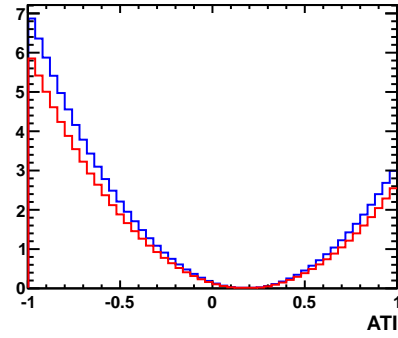
(a)  $A_T^{Re}$



(b)  $F_L$

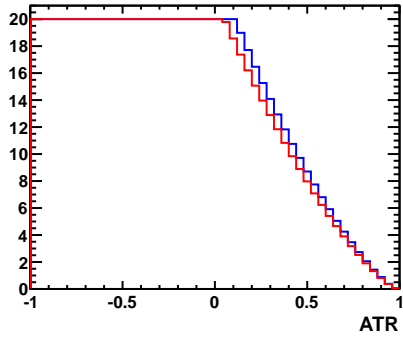


(c)  $A_T^2$

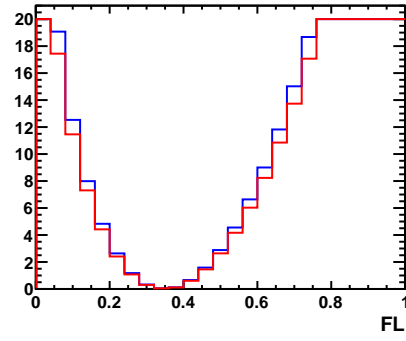


(d)  $A_T^{Im}$

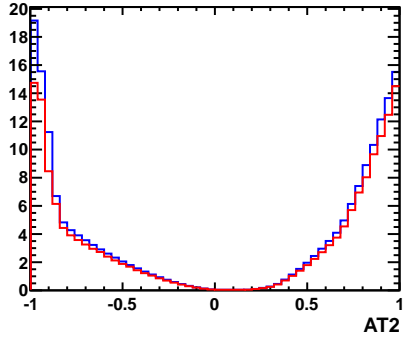
Figure 90: Comparison of likelihood scans for the observables (a)  $A_T^{Re}$ , (b)  $F_L$ , (c)  $A_T^2$  and (d)  $A_T^{Im}$  in the  $0.10 < q^2 < 2.00 < \text{GeV}^2/c^4$  region, if the weight of candidates from the data is renormalised according to Eq. 30 (blue histogram) and Eq. 31 (red histogram).



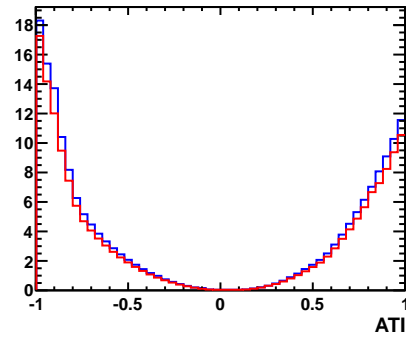
(a)  $A_T^{Re}$



(b)  $F_L$



(c)  $A_T^2$



(d)  $A_T^{Im}$

Figure 91: Comparison of likelihood scans for the observables (a)  $A_T^{Re}$ , (b)  $F_L$ , (c)  $A_T^2$  and (d)  $A_T^{Im}$  in the  $14.18 < q^2 < 16.00 \text{ GeV}^2/c^4$  region, if the weight of candidates from the data is renormalised according to Eq. 30 (blue histogram) and Eq. 31 (red histogram).

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